

**General Certificate of Education  
(Advanced Level)**

**BIOLOGY**

**Grade 13**

**Resource Book**

**Unit 07-Molecular Biology and Recombinant DNA  
Technology**

**Unit 08-Environmental Biology**

**Department of Science  
Faculty of Science & Technology  
National Institute of Education**

www.nie.lk

**G.C.E. (Advanced Level)**  
**Biology**  
**Grade 13**  
**Units -07 & 08**

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Department of Science  
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***Message from the Director General***

The National Institute of Education takes opportune steps from time to time for the development of quality in education. Preparation of supplementary resource books for respective subjects is one such initiative.

Supplementary resource books have been composed by a team of curriculum developers of the National Institute of Education, subject experts from the national universities and experience teachers from the school system. Because these resource books have been written so that they are in line with the G. C. E. (A/L) new syllabus implemented in 2017, students can broaden their understanding of the subject matter by referring these books while teachers can refer them in order to plan more effective learning teaching activities.

I wish to express my sincere gratitude to the staff members of the National Institute of Education and external subject experts who made their academic contribution to make this material available to you.

**Dr. (Mrs.) T. A. R. J. Gunasekara**

Director General

National Institute of Education

Maharagama.

## **Message from the Director**

Since 2017, a rationalized curriculum, which is an updated version of the previous curriculum is in effect for the G.C.E (A/L) in the general education system of Sri Lanka. In this new curriculum cycle, revisions were made in the subject content, mode of delivery and curricular materials of the G.C.E. (A/L) Physics, Chemistry and Biology. Several alterations in the learning teaching sequence were also made. A new Teachers' Guide was introduced in place of the previous Teacher's Instruction Manual. In concurrence to that, certain changes in the learning teaching methodology, evaluation and assessment are expected.

The previous Teachers' Instruction Manual contains a line-up of subject matter expected to be learnt, but the newly introduced Teachers' Guide does not accommodate any subject matter. Yet, it provides learning outcomes, a guideline for teachers to mould the learning events, assessment and evaluation. Thus, the need of a resource book, which simply describes the subject content emerges. This book comes to you as a result of an attempt to fulfil that requirement. When implementing the previous curricula, the use of internationally recognized standard textbooks published in English was imperative for the Advanced Level science subjects. Due to the contradictions of facts related to the subject matter between different textbooks and inclusion of the content beyond the limits of the local curriculum, the usage of those books was not convenient for both teachers and students.

As this book is available in Sinhala, Tamil, and English, the book offers students an opportunity to refer the relevant subject content in their mother tongue as well as in English within the limits of the local curriculum. It also provides both students and teachers a source of reliable information expected by the curriculum instead of various information gathered from the other sources.

This book authored by experienced subject teachers and subject experts from the universities is presented to you followed by the approval of the Academic Affairs Board and the Council of the National Institute of Education. Thus, it can be recommended as a material of high standard.

**Dr. A. D. Asoka De Silva**

Director

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## 07

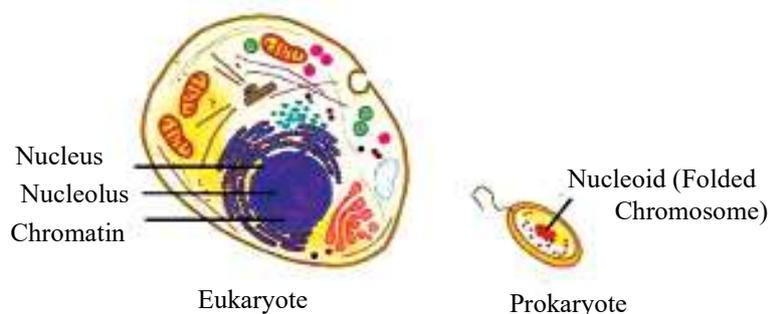
## Molecular Biology and Recombinant DNA Technology

Nucleic acids are capable in directing their own replication from their monomers. In most organisms, DNA is found as the genetic material. However, some viruses such as influenza virus, consist RNA as their genetic material. Accurate replication of DNA, its transmission from one generation to the other and its ability to store and express hereditary information facilitates DNA for acting as vital genetic material in organisms.

**DNA Double Helix Model:** James Watson and Francis Crick, based on the X-ray crystallography of a DNA molecule obtained by Rosalind Franklin, proposed the double helix model to describe how the six molecules; de-oxy ribose sugar, phosphate group and four different nitrogenous bases, are organized into DNA and explain its properties. According to this model, DNA is a twisted ladder (spiral staircase) in which the rails are composed of alternating phosphate and sugar molecules forming the back bone. The steps of the ladder are paired nitrogenous bases. A purine is paired with a pyrimidine as per the base pairing rule with either two hydrogen bonds (A=T) or three (G ≡ C). T.H. Morgan and his group, through their experiments concluded that chromosomes are made up of DNA and proteins and genes as regions of chromosomes.

### Architecture of Chromosomes

Architecture of chromosomes is the way the DNA molecules are arranged in the nucleoid or nuclear region of the cytoplasm of the prokaryotic cells or in the nucleus of eukaryotic cells.



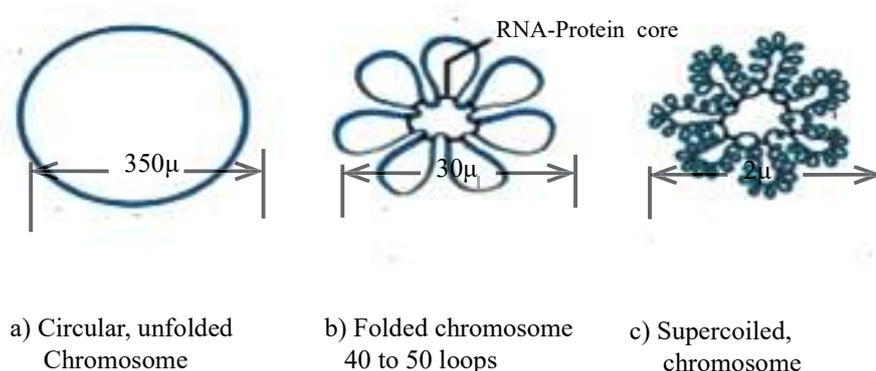
**Figure 7.1:** DNA is packaged into a nucleus in eukaryotes and a nucleoid in prokaryotes.

DNA of both prokaryotes and eukaryotes are called chromosomes. However, true chromosomes are present only in eukaryotes.

Prokaryotic (bacterial) chromosome is a single double stranded circular DNA molecule associated with a few protein molecules. Eukaryotes have several chromosomes, each consisting of a single double stranded linear DNA associated with histones and other protein molecules.

When the size of all chromosomes of an organism are considered, it is an enormous amount of DNA. These facts illustrate that, a cell has a huge problem in having its DNA either in the nucleoid of prokaryotes or the nucleus of eukaryotes. The containment of the genome/DNA in the nucleoid or in the nucleus is called DNA packaging.

Packaging of DNA in prokaryotes is facilitated by the proteins associated with the DNA molecule. The protein molecules cause the DNA to coil (or fold or loop) and supercoil, so the DNA molecule is compacted into the nucleoid. The DNA molecule is initially coiled into loops and these loops then independently supercoil into domains identifiable in electron micrographs. The loops of compacted mass of DNA are bound to a 'core' consisting of RNA and protein. The 'core' also attaches the chromosome to the membrane. The supercoiled DNA can be relaxed by introducing single strand nicks. Since the chromosomes are attached to the membrane and held together by the 'core' acting as a barrier for rotation, the domains can relax and supercoil independently. This is important in the transcription of specific genes. Removal of RNA will lead to loss of independence of the loops.

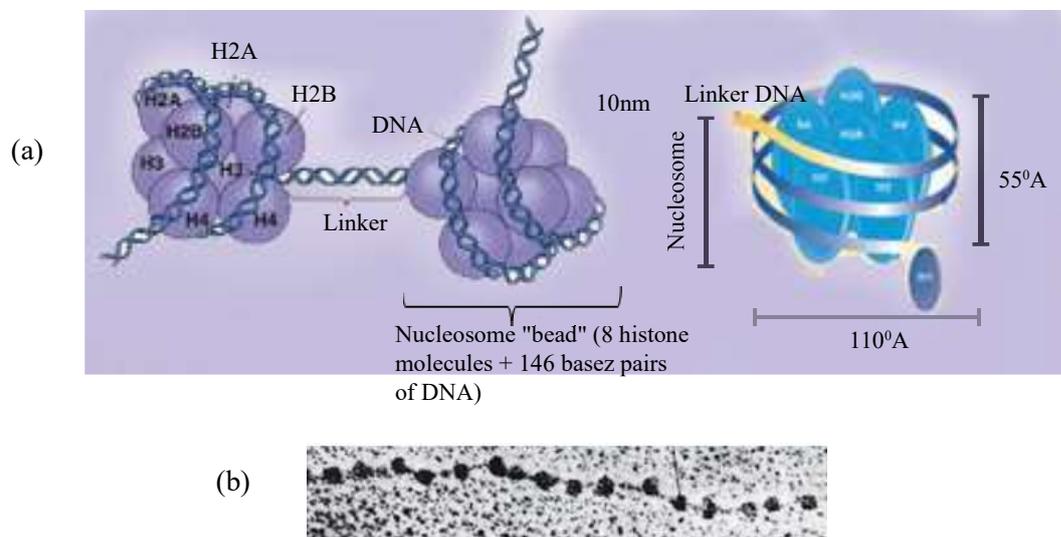


**Figure 7.2: Folding and supercoiling compact the prokaryotic chromosomes**

In addition to the chromosomal DNA, certain prokaryotes have extra chromosomal genetic elements called plasmids. They are also circular DNA and are coiled and supercoiled.

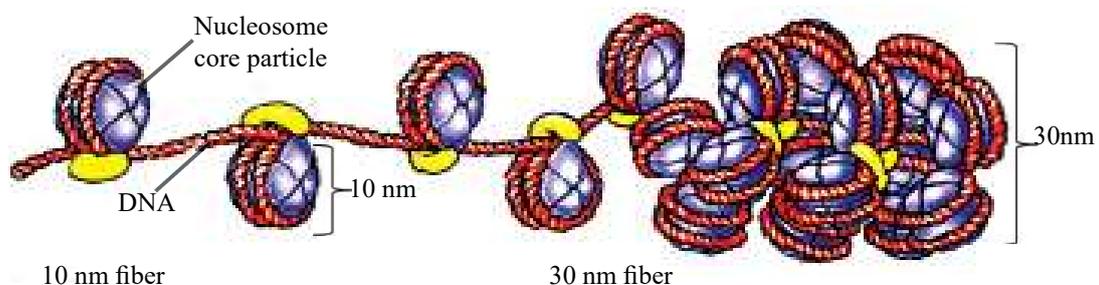
Eukaryotic chromosomes are associated with a large number of proteins called histones that help to organize the DNA inside the nucleus. This DNA-protein complex is known as chromatin. The chromatin may be lightly packed as in euchromatin or tightly packed as in heterochromatin. Euchromatin is rich in genes and is probably active in transcription. Heterochromatin consists of nucleotide sequences which are mostly inactive. They may contribute to gene regulation, epigenetic inheritance and protection of chromosomal integrity.

In the first level, the double helix winds around a complex of eight histone molecules. These are called nucleosomes and they look like beads of a necklace. The adjoining beads of nucleosomes are linked together by a stretch of DNA: linker DNA. (Figure 7.3)



**Figure 7.3: a) First level of packing: Forming beads of nucleosomes joined together by linker DNA, b) Electron micrograph showing nucleosome (beads) and linkers (strings)**

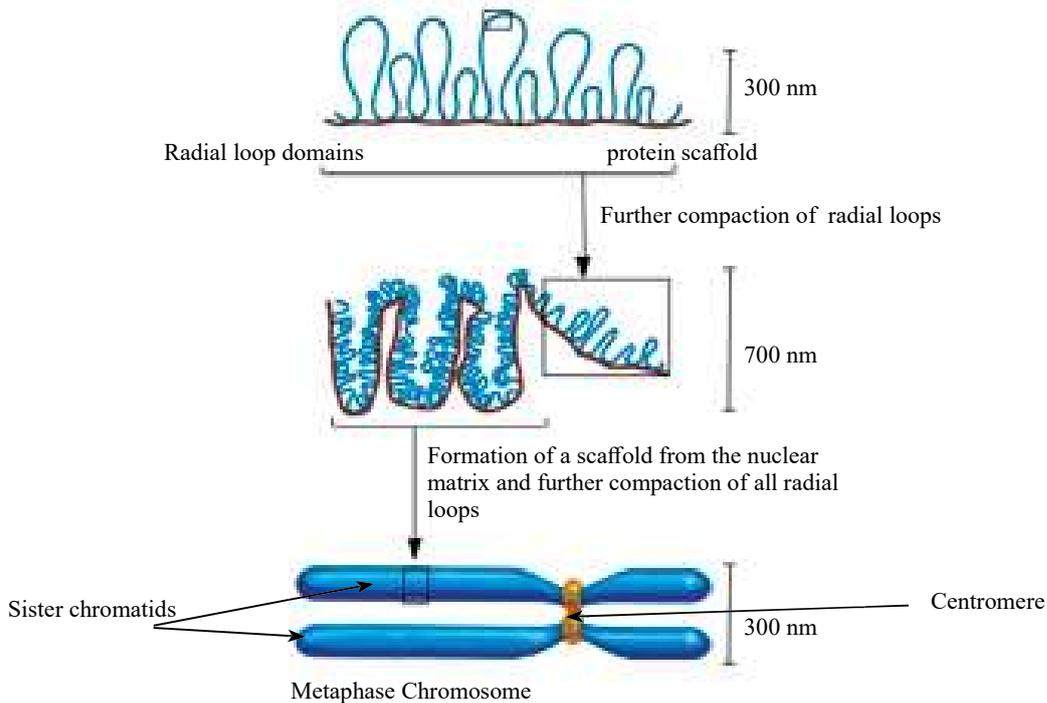
In the second level, the nucleosomes twist and pack in a spiral fashion to form a chromatin fibre of roughly 30 nm in diameter: 30 nm fibres from 10 nm fibres. (Figure 7.4)



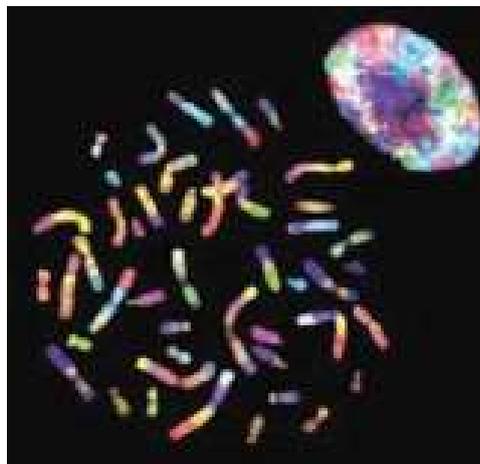
**Figure 7.4: Formation of a 30 nm fiber (Cylindrically coiled)**

At the third level, the 30 nm fiber forms loops, called looped domains, attached to a protein scaffold. This structure has a thickness of 300 nm. (Figure 7.5)

Finally, at the fourth level, the looped domains coil, fold and further compact to form the mitotic chromosome. The diameter of a chromatid is about 700 nm. In the metaphase chromosome, the chromatids are already replicated (Figure 7.5).



**Figure 7.5: Compaction into looped domains on scaffold and into chromatids**



**Figure 7.6: Metaphase chromosomes (discrete units) and chromatin in the interphase**

## **DNA Replication -**

This is the process which copies a double stranded DNA molecule to produce two identical copies. Because, DNA replication process is basically similar in prokaryotes and eukaryotes. However, there are differences in enzymes involved. This is because the Eukaryotic nuclear DNA is organized into chromosomes and contain histones in their structure for packaging, and the prokaryotic DNA are usually found as circular molecules, which are supercoiled for packaging.

### **Importance of DNA replication**

- The essential information for life is stored in DNA. Therefore, the new cells produced must receive the DNA from their parent cells as, each cell in the body of a diploid organism should contain the same genetic information as was in the zygote. A multicellular organism grows by addition of new cells.
- Damaged or dead cells are also replaced by new cells.
- In asexual reproduction, the offspring is identical to the parent cell. This is possible only because DNA has the ability to replicate and provide an identical set of genetic information stored in DNA to each daughter cell by mitosis.
- In sexually reproducing organisms, meiosis occurs at some point of life cycle in order to maintain a constant number of chromosomes. DNA replication occurs prior to meiosis.
- DNA replication is a very accurate process, so that it makes identical copies. However, rare errors occur in DNA replication, introducing mutations which results in variation. Variation leads to evolution of organisms.
- Therefore, DNA replication is important in maintenance of the life of an individual organism and continuation of a species.

### **The process of DNA Replication in prokaryotes**

The entire replication process is carefully controlled and coordinated by a number of enzymes and other proteins.

DNA is synthesized on the existing DNA strands of the double helix of the DNA molecule. The parent DNA strands are used as the templates. Thus, the newly synthesized DNA double helix contains one parent DNA strand and a new complimentary strand.

First of all, the tightly packed DNA (supercoiled DNA in prokaryotes and chromatin in eukaryotes) has to relax, so that the DNA replication machinery can have access to the place where the replication begins.

The separation of the double helix occurs at the origin of replication. Origin of replication or 'Ori' is a specific sequence of DNA to which proteins that initiate DNA replication bind. Starting from this, the entire circular DNA is replicated on both directions. Since the enzyme synthesizing the new DNA strand can move only in one direction (5' to 3' direction) one of the new strands can be synthesized continuously while the other will be synthesized as small fragments. The

small fragments of lagging strand are called okazaki fragments. These are called leading and lagging strands respectively. Replication of large DNA molecules may begin at several origins of replication to speed up the process.

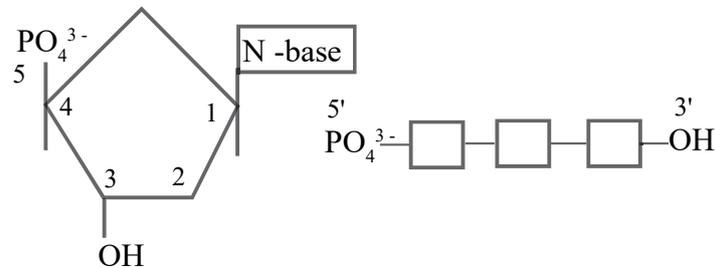


Figure 7.7: 5'- phosphate and 3'- OH of a DNA Molecule

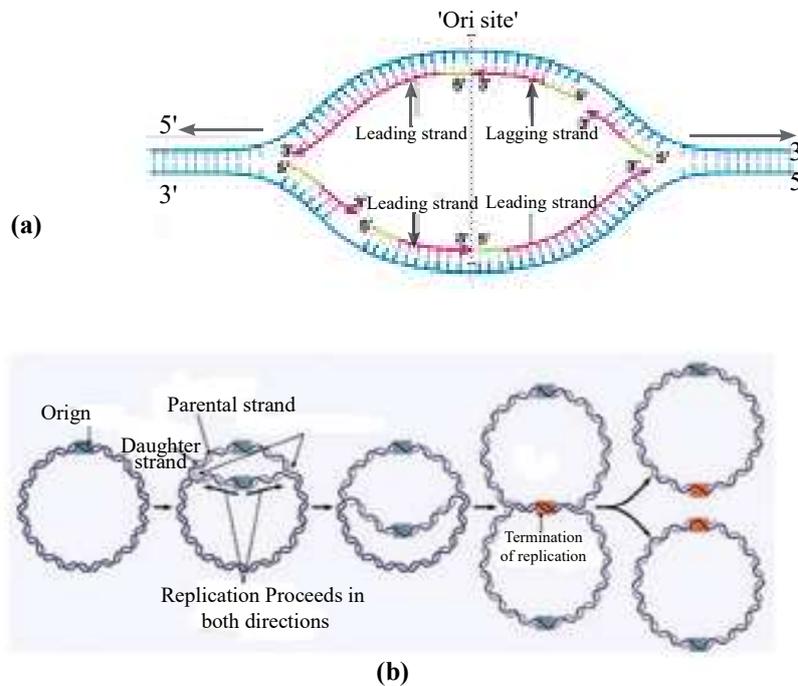


Figure 7.8: (a) Details of DNA a sreplication (b) DNA replication of small circular DNA

### Functions of major enzymes and other proteins of replication machinery

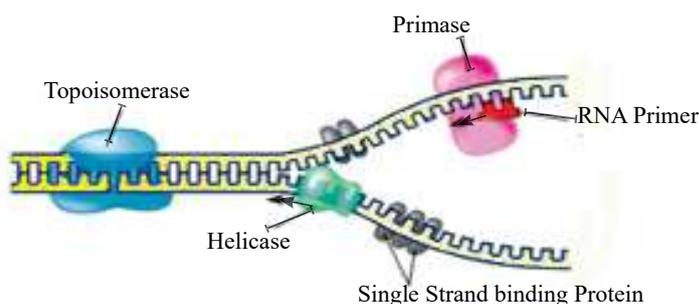
A number of enzymes and other proteins are required in the replication of DNA. These proteins assemble at the origin of replication. The major enzymes involved in the DNA replication are: helicase, topoisomerase, primase, DNA polymerases and DNA ligase. There are several other proteins in the replication machinery including Single Strand Binding (SSB) proteins.

**Helicase:** These are the enzymes that unwind the double helix and separate the two strands of a DNA molecule, with the expense of energy as ATP. The separation occurs by breaking hydrogen bonds between the base pairs of the two strands. This is important for exposing the two strands to function as template for new DNA synthesis (replication).

**Topoisomerase:** These enzymes are working ahead of the direction of DNA synthesis. The untwisting of the strands at one place causes further twisting and strain on other places. Topoisomerase enzymes, introduce breaks on one or both strands of DNA, twist the molecule to relieve the strain and then reseal the cut ends.

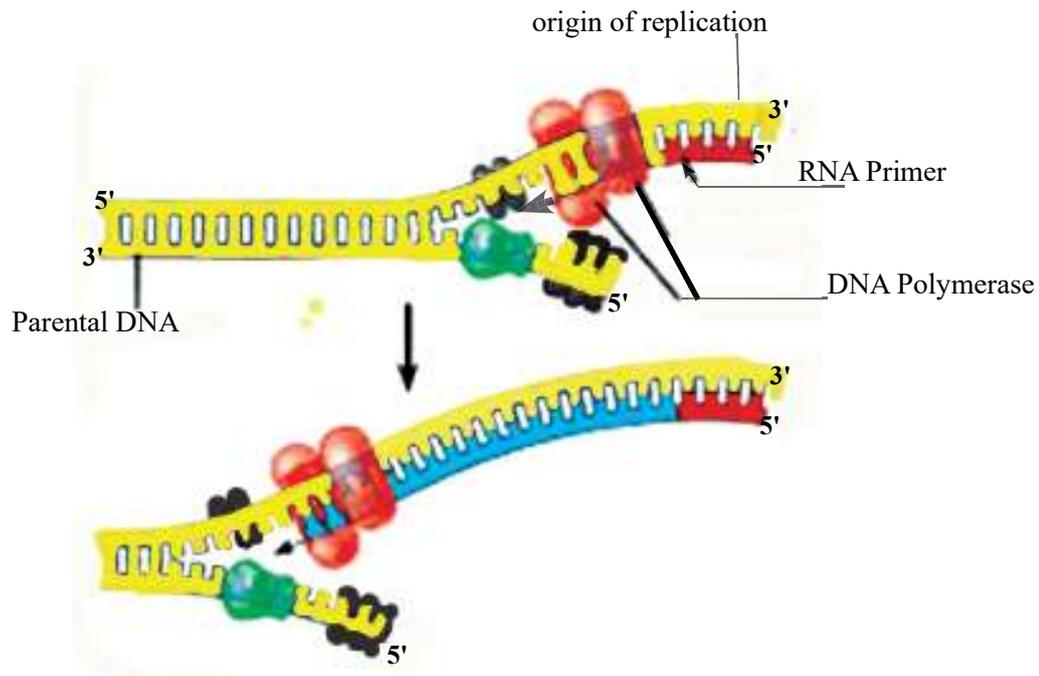
**Single Strand Binding Proteins (SSB):** These protein molecules bind to exposed single stranded DNA to prevent re-pairing of the separated DNA strands and to stabilize them. If the two strands re-pair, then they cannot serve as templates for new DNA synthesis.

**Primase:** In order to synthesize a new DNA strand on a template, complementary deoxyribonucleotides should be added one after the other in the correct sequence. This is done by DNA polymerase, but DNA polymerases can add nucleotides only to the 3' end of an already formed nucleic acid chain. For this, a small fragment of nucleic acid chain is sufficient and this fragment is called a primer. Primase is a type of RNA polymerase which can initiate synthesis of RNA on a DNA template by adding ribonucleotides. Primase adds a short RNA primer on the DNA template forming DNA-RNA hybrid to facilitate the action of DNA polymerase (figure 7.9).



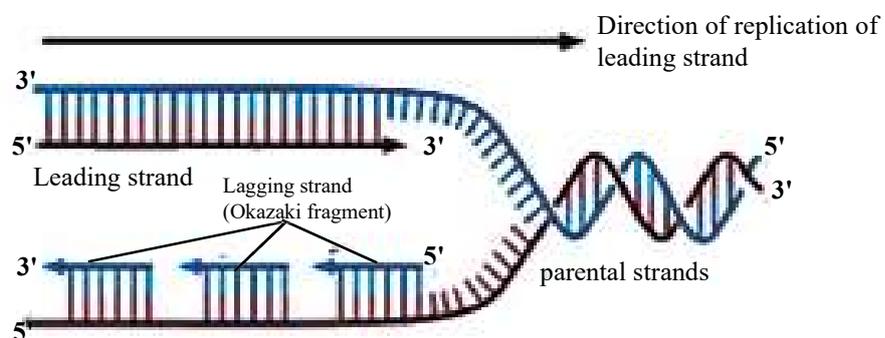
**Figure 7.9 : ds DNA replication at the origin of replication forming replication bubble**

**DNA polymerases:** There are several types of DNA polymerases. One type of DNA polymerase initiates DNA polymerization by adding a deoxyribonucleotide to the 3' end of a primer and continues polymerization on 5' to 3' direction elongating the new DNA strand with deoxyribonucleotides with bases complementary to template (figure 7.10).



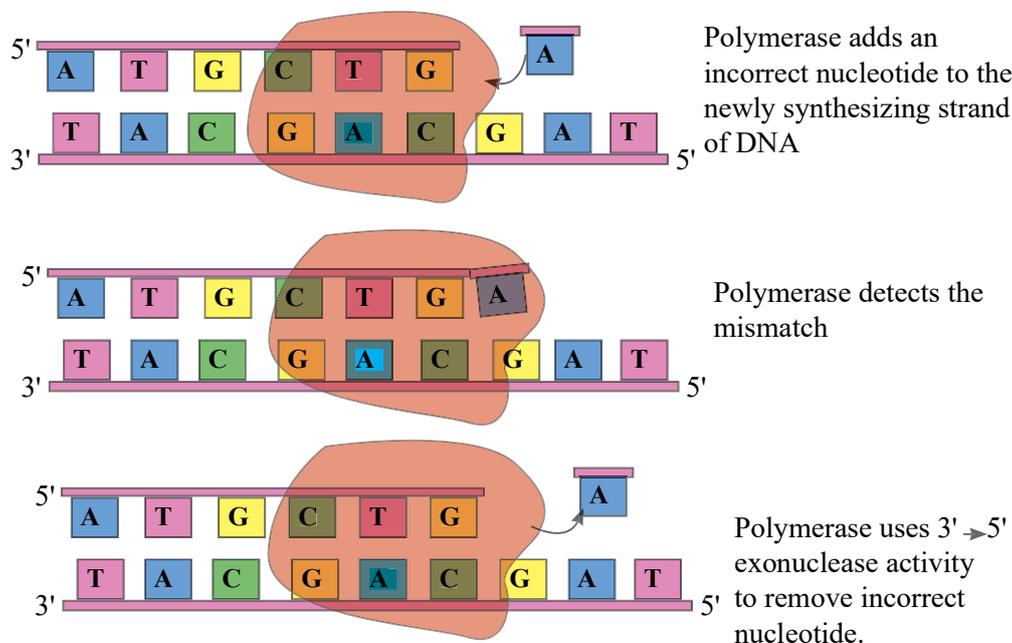
**Figure 7.10: DNA Polymerase extends the new strand of DNA starting from 3' end of RNA primer**

This DNA polymerase is almost 100% error-free in adding correct complementary nucleotides to growing strand, according to the nucleotide sequence of the parent DNA strands. However one error in  $10^5$  added nucleotides is possible, has a proofreading mechanism and therefore can correct its own mistakes and the error rate will be reduced by 100,000 times to one in  $10^{10}$ . Therefore, the daughter DNA molecules are almost identical to the parent DNA molecule, and of course to each other.



**Figure 7.11: How DNA Polymerase solves the problem of antiparallel nature of DNA molecule**

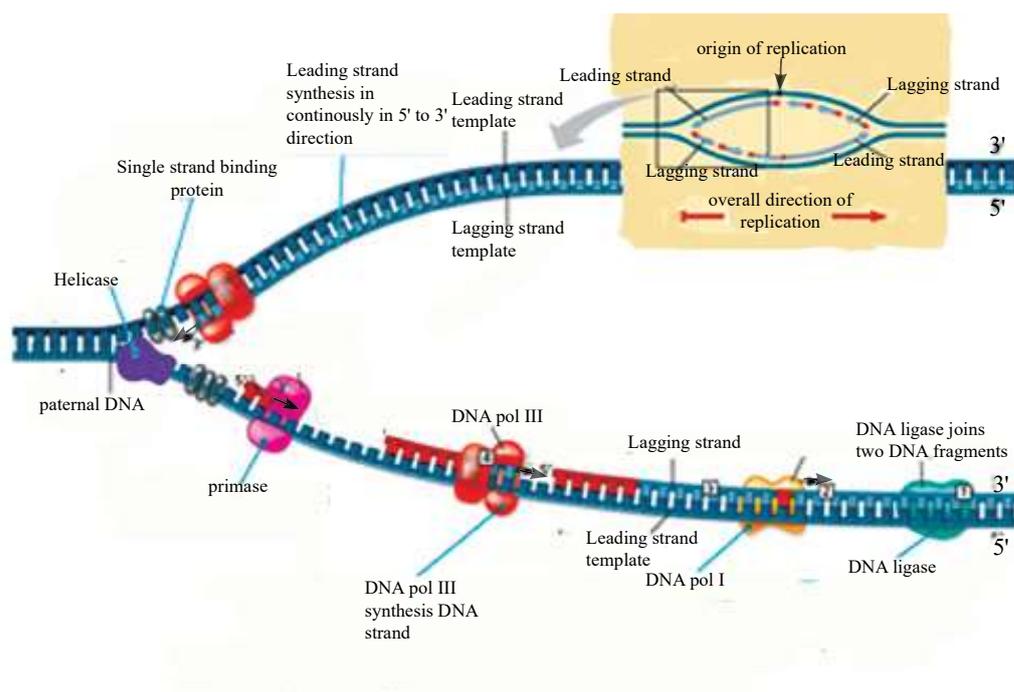
When a wrong nucleotide is added to the growing chain of DNA by DNA Polymerase this mismatch is identified by the same enzyme, stops adding the next nucleotide, removes the incorrect nucleotide exonuclease activity and continues again its polymerase activity. This is called as proofreading activity of DNA polymerase.



**Figure 7.12. Proofreading activity of DNA Polymerase**

Another type of DNA polymerase identifies DNA-RNA hybrid, removes ribonucleotides and replaces those with deoxyribonucleotides and so replaces the RNA primer with DNA. Now the DNA segment is incomplete, but the DNA polymerase unable to correct the ends of okazaki fragments, results gaps.

**DNA ligase:** In DNA synthesis, it joins the newly synthesized adjacent fragments making a complete strand by the formation of a phosphodiester bond. It seals the gaps of the newly synthesized DNA strand.



**Figure 7.13: Overall process of DNA replication**

### Overall Process of DNA Replication

- Relaxation of tightly wound DNA
- Unwinding/ unzipping of the double helix
- Stabilization of single stranded DNA
- Priming of DNA synthesis by a RNA primer
- Extension of new DNA strand - Leading strand: Continuous
  - Lagging strand – Discontinuous
- Removal of RNA primer and replacing RNA (ribonucleotides) with DNA (deoxyribonucleotides)
- Sealing gap between the adjacent nucleotides

### Similarities and Differences between Prokaryotic and Eukaryotic DNA Replication:

Eukaryotes share many characteristics of DNA replication of the Prokaryotes. They also use helicase to unwind the dsDNA, and use DNA polymerases for the polymerization reaction. The replication is originated in both types at specific sequences (origin of replication – Ori) to initiate DNA replication, packed DNA is relaxed with topoisomerase and replication process move in the same manner and therefore having leading and lagging strand. RNA primers are formed and replaced. The gaps are sealed by ligase.

Although the process is superficially similar, there are several significant differences too. The size of the DNA molecule in a eukaryotic chromosome is much larger than that of a circular DNA molecule of a bacterium. Therefore prokaryotes usually have one Ori while an Eukaryotic chromosome has several Ori. The DNA polymerases in eukaryotes and prokaryotes are different from each other in their structure, while having the same functions. The DNA replication of the prokaryotes occurs continuously, whereas in eukaryotes it happens only in the S-phase of cell cycle.

### **DNA repair and its significance**

Damage to DNA can be caused by various chemical and physical agents introducing mismatches in DNA double helix and may lead to a permanent change in the sequence of DNA. This may also be caused by errors in DNA replication that goes unnoticed by proofreading. This is called mutation. A mutation or collection of mutations can make a cell malignant and result in a cancer. Mutations also alter the phenotype, and very often they are either lethal or at least produce unfavorable phenotypes. When the mutations occur in cells producing gametes, they may be passed onto the next generations resulting in variations among offspring.

When such a mismatch is there, the shape of the double helix is distorted. For example, UV radiation can covalently link two adjacent thymine bases, distorting the DNA molecule. One of the two copies of DNA molecules generated by these distorted sequences carries a permanent change in the sequence, a mutation. Such distorted locations are usually recognized and repaired by the DNA repair mechanism of the cell before it becomes permanent, and that reduces the risk of accumulation of mutations. Because DNA repair is very important for the survival of organisms, a large number of DNA repairing enzymes are found in various organisms.

Such enzymes may cut off the mismatched sequences in the damaged strand and replace with correct nucleotides. The cutting (excision) is done by a nuclease and filling the gap, using the correct strand as the template, is done by a type of DNA polymerase. This is called nucleotide excision repair. DNA ligase completes the DNA strand by sealing it with a phosphodiester bond.

### **Genes and how they work**

#### **The nature of prokaryotic and eukaryotic genes**

When Gregor Mendel put forward his laws of inheritance in 1860, he used the term hereditary factors to explain what control the characters shown in a phenotype and which are transmitted from generation to generation. At that time, these were imaginary units and where they were located in cellular structure was not known. Today, these physical and functional units of

heredity have been identified as genes that are located on chromosomes as discrete units. This disclosure began with the development of cytology and the ability to observe the behavior of chromosomes in mitosis and meiosis. Behavior of chromosomes and the behavior of the Mendel's hereditary factors show the same pattern. In eukaryotes, chromosomes occur in pairs in diploid somatic cells, and hence genes also occur in pairs. A pair of chromosomes, coming from the two parents, containing the same genes is called homologous chromosomes. Generally prokaryotes have one chromosome in each cell, and hence may be considered haploid.

#### Gene

Gene is the fundamental physical and functional unit of heredity.

A gene is comprised of a segment of DNA on a specific site on a chromosome. It specifies the sequence of RNA.

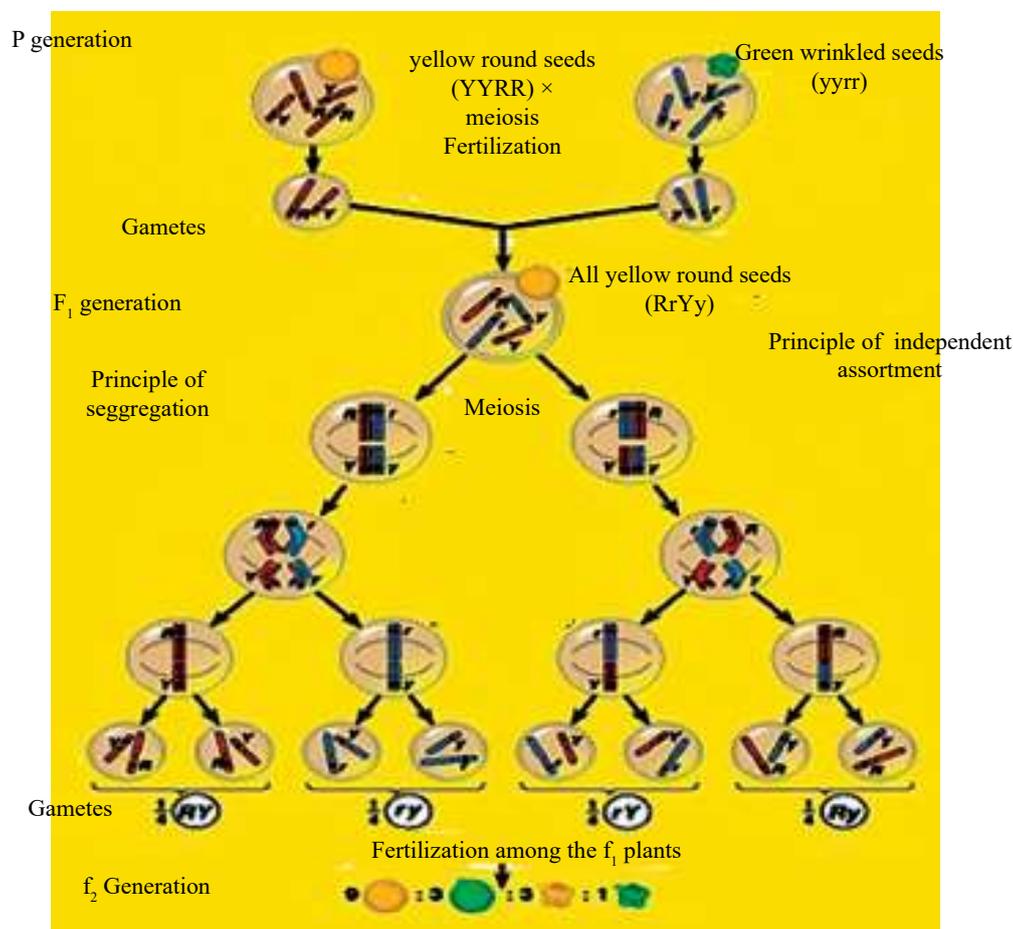
The location or the site of a gene on the chromosome is called a locus (loci in plural). The alteration versions of genes that are located at the same locus on different chromosomes are called alleles of the genes. Prokaryotic genes are housed in loci on the circular DNA molecule, as discrete segments of DNA.

There are many steps in a biochemical pathway and each step is controlled by a gene, and therefore many genes are involved in controlling a particular phenotype. In eukaryotes these genes are scattered among several chromosomes, while in prokaryotes, they are arranged in the same region of the chromosome one after the other as clusters. These clusters are expressed together by a single control region and are transcribed into one mRNA. Such mRNA molecules will be translated into several different peptides. These organized gene clusters in prokaryotes are called operons.

**Operon:** A group of genes that functions as a single transcription unit. It is comprised of a control region (an operator, a promoter) and structural genes that are transcribed into one mRNA. Coding for several peptides.

In prokaryotes, all DNA segments in the chromosome are functional (either transcribed into mRNA or act as control regions), while in eukaryotes, a large proportion of DNA has no identified function. Such DNA segments that are present in between the genes are referred to as intergenic DNA. There are also sequences within the genes that are transcribed, but are not translated into polypeptides. That means there are coding sequences as well as noncoding sequences in the transcript of the gene. The noncoding sequences within the genes are called introns while the sequences that code for polypeptides are exons. Accordingly the transcript contains exons as well as introns. The transcript is a pre-mRNA and it undergoes processing where introns are excised and exons are joined in order to produce mRNA.

## Chromosome Theory of Inheritance



**Figure 7.14: Chromosomal basis of Mendel's Laws: How the alleles of genes on homologous chromosomes behave during meiosis**

Figure 7.14 shows the parallelism between the behavior of Mendel's hereditary factors and genes and their alleles located on chromosomes. Evidences collected in genetic studies were noted by scientists and several of them independently developed chromosome theory of inheritance. The Mendelian hereditary factors or genes are located on the chromosomes at specific loci. Therefore chromosomes and genes housed on them occur in pairs in diploid cells. The pairing of homologous chromosomes in metaphase I occurs at random and therefore this is independent assortment (i.e. no order in arranging maternal and paternal chromosomes). In anaphase I the independently assorted homologous chromosomes separate reducing the number of chromosomes to half. This is segregation. With the independent assortment and segregation of chromosomes, alleles of genes on nonhomologous chromosomes are assorted independently in different combinations in Metaphase I. The alleles segregate after completion of anaphase I to form four haploid cells having different allele combinations in equal proportions. The same reasoning can explain the phenotypic ratios observed by Mendel in F<sub>2</sub> generation after random crossing of F<sub>1</sub>.

## Gene Expression

Genes control characters when a gene is at work and, it is said that the gene is expressed. Gene expression is the process by which the information stored in a gene is used to make a functional gene product. The final product of a gene is usually a polypeptide, which after suitable modifications becomes a protein. However several RNAs also function as final gene products. For example, ribosomal RNA (rRNA) and transfer RNA (tRNA) are such RNA molecules, having direct functions.

In examining how genes control characters, it was revealed that (the first suggestion came in 1902 from Archibald Garrod) inherited diseases are caused by the inability to produce related enzymes as a result of inborn errors in metabolism. The symptoms of a hereditary disease condition, known as alkaptonuria is due to inability to make the metabolic enzyme which metabolizes the chemical alkapton. In patients alkapton remains in urea and its oxidation results in black colouration of urine.

The gene expression begins by copying the information stored in a segment of DNA or a gene into sequence of RNA. In synthesis of polypeptide the gene is not directly converted into polypeptide, but involves an RNA molecule to pass the message in DNA to a message in polypeptide. Since the RNA molecule acts as a messenger to communicate the information from DNA to polypeptide, it is called messenger RNA (mRNA). The two steps in polypeptide synthesis are:

1. Transcription - Copying a sequence of DNA into mRNA
2. Translation – Converting the information in mRNA to a sequence of amino acids

Transcription is similar to replication since a DNA strand is acting as a template to make a complementary mRNA strand. The difference in transcription is that the copy is a mRNA molecule and only one DNA strand is copied. The major enzyme catalyzing polymerization is named as RNA polymerase. This is mRNA, because it transmits the message stored in the gene to the place where the polypeptide chain is actually being assembled.

The term copying is used in transcription, as in linguistics, since the information written in the nucleotide sequence of DNA by four letters (AGCT) is copied to the same chemical language as a nucleotide sequence of RNA written in four letters (AGCU), with only difference that T is replaced by U. The amino acid sequence in the polypeptide chain is also linear and parallel to the base sequence in the gene or mRNA, but the chemical languages are different: language written in four letters to a language written in 20 letters. This is the reason why the second step is called translation.

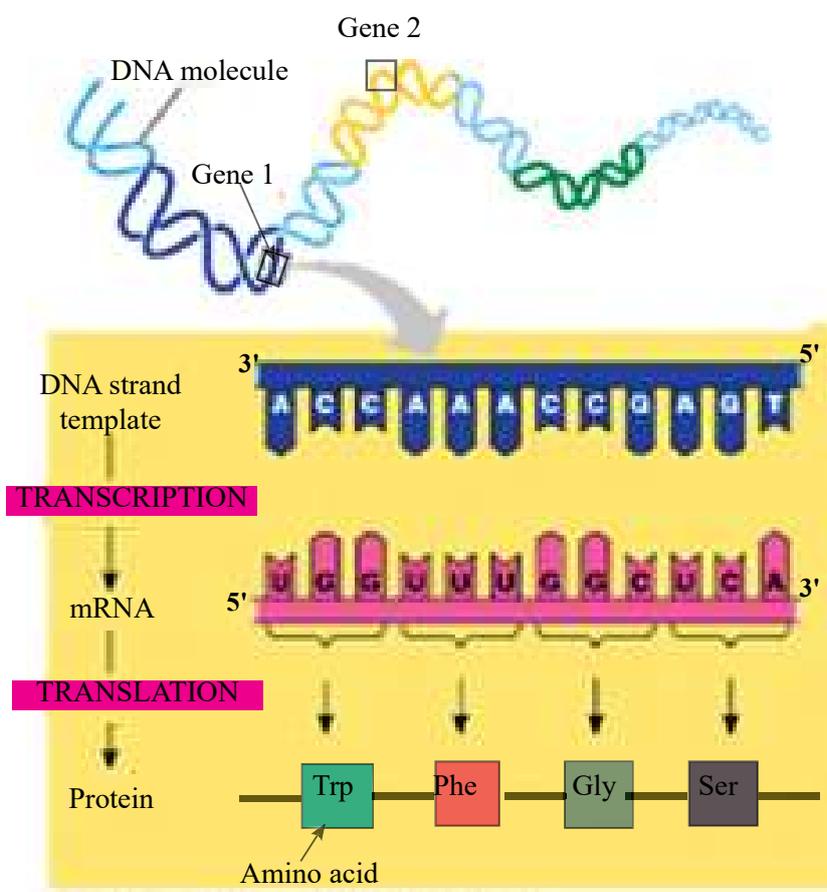
Message in mRNA is translated to a sequence of amino acids. This process occurs in association with ribosomes, which are present in the cytosol.

In addition to mRNA, other types of RNAs and enzymes are involved in the polypeptide synthesis. The basic mechanism of the process of polypeptide synthesis is similar in prokaryotes and eukaryotes, with some important differences.

### **Genetic Code**

In transcription, each letter of the template will be copied to the corresponding letter in mRNA. Note that the mRNA is complementary to template, and hence it is a copy of the other DNA strand. This seems to be straight forward, one to one copying. On the other hand, language of nucleic acid has four letters (nucleotides), whereas the language of protein has 20 letters (amino acids). If each kind of nucleotide is translated into amino acid, only four amino acids would be specified or coded. Therefore a combination of nucleotides would be needed to code for one amino acid. It has been proven by experiment that amino acids are coded by triplets of nucleotide bases and the protein synthesis is based on a triplet code. Therefore, the genetic code is a triplet code. If three-letter combinations or triplets are considered,  $4^3 = 64$  possibilities are there. The three letter words or triplets are read one after the other, and hence are non-overlapping. Since all the words have three letters, no space is required to delimit the words. The genetic code stored in a gene in non-overlapping three-letter word is copied to non-overlapping, three-letter word in complementary mRNA. This is translated by reading three letters at a time identifying an amino acid corresponding to each three-letter word (Figure 7.16). The triplet of nucleotide bases of mRNA or coding for an amino acid formation is called a codon. Therefore the genetic code has 64 codons.

Out of 64, 61 triplets code for 20 amino acids, and the other three are used to signal the “stop” of translation or as “termination” codons (UAA, UAG and UGA). AUG codon codes for methionine (Met) as “start codon” signaling the protein synthesizing machinery to start translation of mRNA at that codon. Therefore, the first amino acid of all protein is methionine, but this may be enzymatically removed after the translation. Figure 7.16 shows all 64 codons and what each of them codes for. It is easily noticeable that certain amino acids are coded by more than one codon.



**Figure 7.15: The genetic code is copied to mRNA and translated into amino acid in polypeptide chain using triplet codons**

To read a message accurately, a starting point and a termination point as well as correct sequence of letters should be identified. This is called a reading frame. The protein synthesizing machinery starts and ends reading at precise positions and reads triplets one after the other in a non-overlapping fashion. Since all words are three letter ones, no spaces between words are required. If the reading starts at a wrong place, entirely wrong message will be read and a wrong polypeptide will be synthesized. If one letter is missing or one letter is added in the reading frame, the wrong message will be read from that point onwards, once again making a wrong polypeptide, if at all. The reading of the message is always from left to right by convention.

Another interesting feature of the genetic code is that its universality. This means nearly all organisms share the same genetic code. Accordingly, a gene isolated from one organism and inserted in to another related or nonrelated organism should express the same protein. This is how human insulin is produced by bacteria. The reading frame for insulin protein will be translated

in exactly the same way in both human and bacterial cells. A firefly gene can be expressed in tobacco plant, so that the plant emits light.

		Second letter					
		U	C	A	G		
First letter	U	UUU } Phe UUC } UUA } Leu UUG }	UCU } UCC } Ser UCA } UCG }	UAU } Tyr UAC } UAA Stop UAG Stop	UGU } Cys UGC } UGA Stop UGG Trp	U C A G	Third letter
	C	CUU } CUC } Leu CUA } CUG }	CCU } CCC } Pro CCA } CCG }	CAU } His CAC } CAA } Gln CAG }	CGU } CGC } Arg CGA } CGG }	U C A G	
	A	AUU } AUC } Ile AUA } AUG Met	ACU } ACC } Thr ACA } ACG }	AAU } Asn AAC } AAA } Lys AAG }	AGU } Ser AGC } AGA } Arg AGG }	U C A G	
	G	GUU } GUC } Val GUA } GUG }	GCU } GCC } Ala GCA } GCG }	GAU } Asp GAC } GAA } Glu GAG }	GGU } GGC } Gly GGA } GGG }	U C A G	

Figure 7.16: The codon table for mRNA

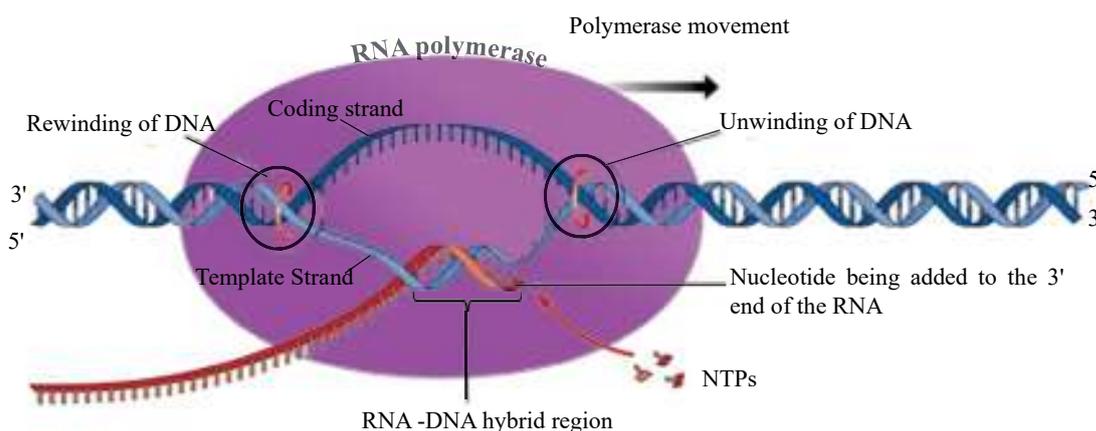
## Mechanism of Polypeptide Synthesis

### I. Transcription

Transcription is DNA directed RNA synthesis. This is completed in three steps.

1. **Initiation:** The process of transcription is initiated at a specific site called promoter. The promoter site includes the transcription initiation site and several other nucleotides. Only one strand of the double stranded DNA acts as a template for transcription. This is because only the template strand will have the promoter sequence in the correct orientation, which facilitates the binding of RNA polymerase. The enzyme polymerizing the RNA is RNA polymerase. This enzyme binds to the promoter site in correct orientation. The RNA polymerase then unwinds the two DNA strands and begins the transcription at start point. A component of RNA polymerase has the helicase activity, and hence DNA helicase is not involved in transcription.

2. Elongation: RNA polymerase enzymes can start adding complementary ribonucleotides against the template DNA. RNA polymerase continues to add nucleotides in 5' to 3' direction until it reaches the transcription termination site. The DNA strands unwind as the RNA polymerase moves forward, exposing template DNA and allowing pairing with ribonucleotide. The two strands rewind at the other end (figure 7.17)



**Figure 7.17: Elongation of the newly forming RNA transcript**

3. Termination: In prokaryotes, the polymerization continues passing the termination sequence of DNA and RNA polymerase enzyme falls off ending the transcription..  
After termination, the newly synthesized pre mRNA in eukaryotes is subjected to RNA processing. The mature RNA leaves the nucleus.

## II. Translation

Once the mRNA is in the cytosol, translation process is initiated. Ribosomes read the message written as a sequence of triplet codons in an mRNA and translate it into a sequence of amino acids in a polypeptide with the assistance of transfer RNAs (tRNA). A tRNA attached to the correct amino acid from a pool in the cytosol, transports it to the ribosome which adds the amino acid to the growing end of the polypeptide chain by making a peptide bond. The key players in translation are tRNAs .

A specific tRNA molecule binds a specific amino acid to its one end. Its structure also carries, at a specific location, a triplet of nucleotides, which is complementary to the codon in the mRNA that code for the amino acid it carries. This triplet is known as anticodon and it can base-pair with the codon (figure 7.18). This is how tRNA does the translation, by acting as an adapter molecule between the triplet codon and the amino acid specified by it.

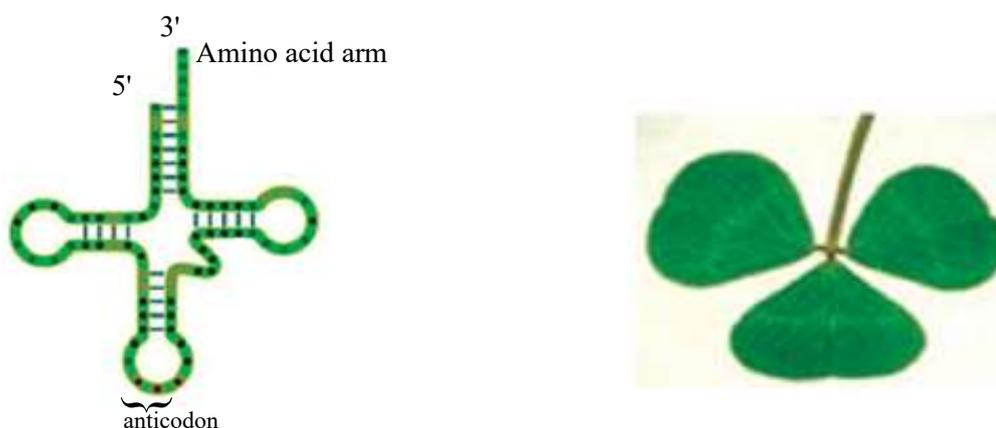


Figure 7.18: Two dimensional model of tRNA showing clover leaf structure

### Structure of ribosome

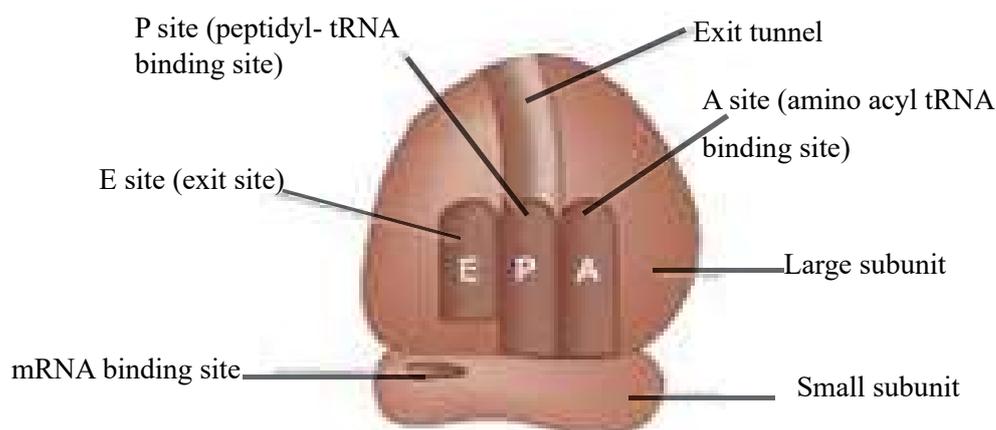
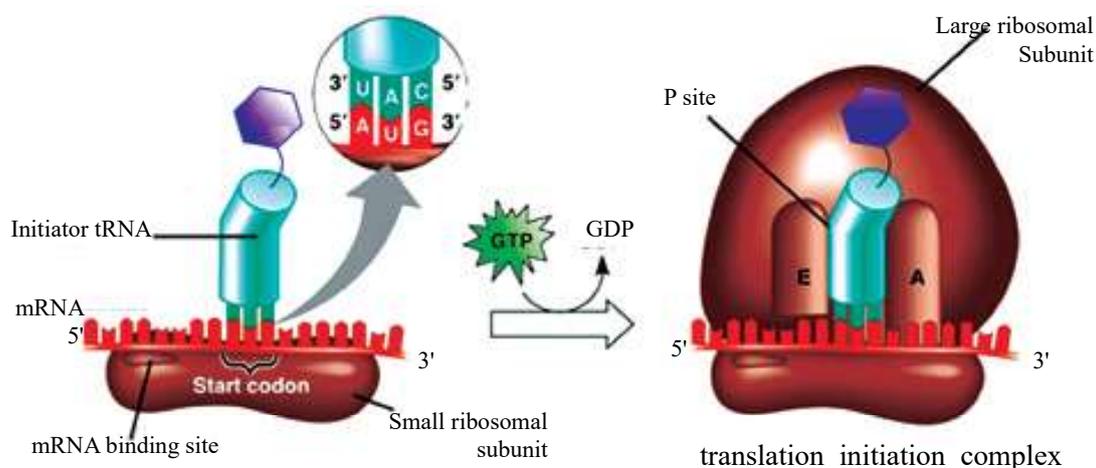


Figure 7.19: Structure of ribosome

### Process of translation

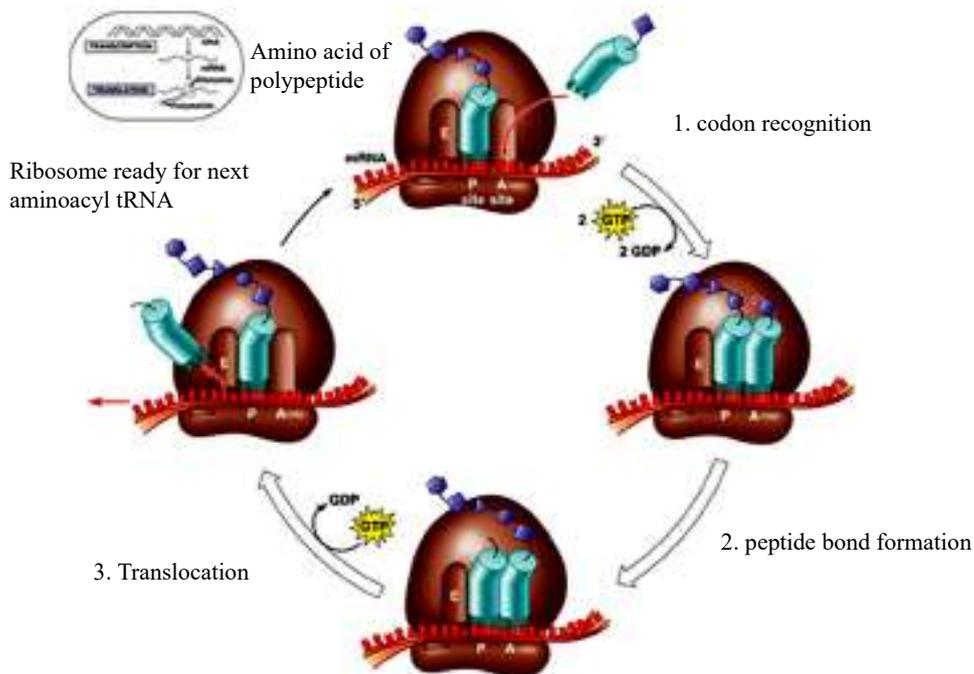
The translation is also completed in three stages.

1. **Initiation:** The first step in initiation is binding of small subunit of ribosome to mRNA and to the initiator tRNA which carries methionine as the first amino acid. Then, the two subunits of the ribosome combine to form the functional ribosome. This complex of ribosomal subunits, mRNA and initiator tRNA, is called transcription initiation complex (Figure 7.20). Then the mRNA moves until the AUG start codon, aligns with the P site of the large subunit. Then, the anticodon of the initiator tRNA forms hydrogen bonds with AUG start codon. This signals the initiation of the translation.



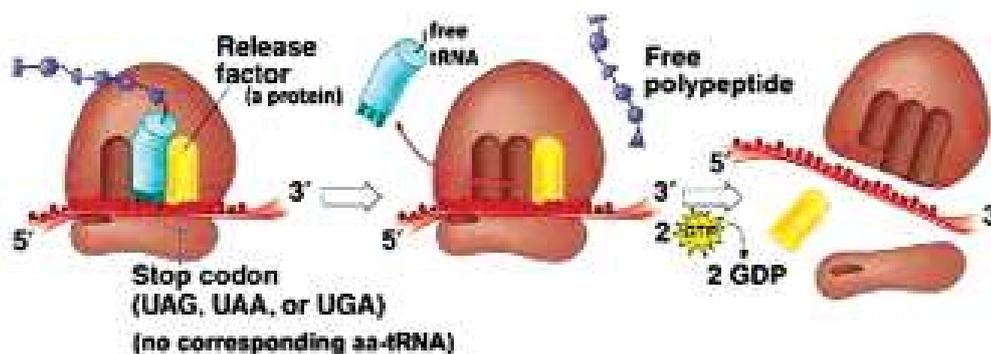
**Figure 7.20. Formation of translation initiation complex**

2. **Elongation:** In this stage, amino acids are added to the C- terminus of the growing polypeptide chain by peptide bonds, one after the other governed by the triplet codons. Elongation is completed by a three-step cycle. At the end of the initiation stage, P site is occupied with tRNA attached to methionine and A site is empty and is aligned with the next codon. The second tRNA, charged with the corresponding amino acid is brought to the A site, matching the codon and anticodon. This first step in the cycle is the codon recognition. As the second step, a peptide bond is formed between the carboxyl group of the growing polypeptide chain in the P site with the amino group of the amino acid in the A site. An rRNA catalyses this reaction. The third step is the translocation of the mRNA. The mRNA moves codon by codon unidirectionally. In the process, the tRNA with the growing polypeptide chain in the A site is moved to the P site. The released tRNA in the P site will move simultaneously to the E site, from where it is released to the cytosol. The A site is now aligned with the next codon, so that this cyclic process can continue. GTP is used for the energy requirement of the elongation process. See Figure 7.21 for an illustration of elongation stage in translation.



**Figure 7.21: Three-steps that occur in cyclic manner in elongation stage in translation.**

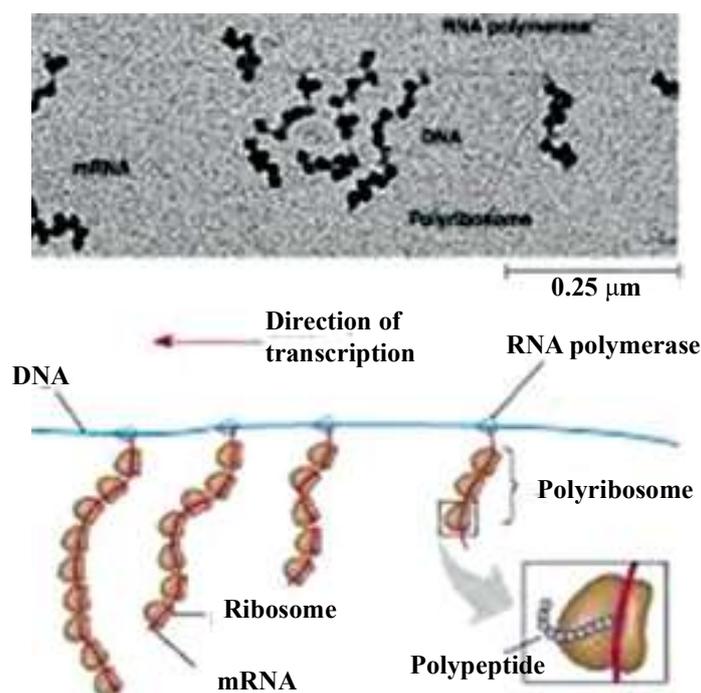
- 3. Termination:** When the mRNA moves, it finally aligns one of the stop codons: UAG, UAA or UGA with A site. They do not code for any amino acid, hence there is no tRNA which comes into A site. This releases the completed polypeptide chain to the cytosol. The ribosome and the remainder of the translation assemble then fall apart (Figure 7.22).



**Figure 7.22: Termination of the protein synthesis**

### Polyribosomes/ Polysomes:

When the mRNA moves away for a sufficient distance, a second ribosome can bind to it. Depending on the length of mRNA, a number of ribosomes can be attached to the mRNA simultaneously. As such, mRNAs that are being translated actively are associated with multiple ribosomes attached to a string of mRNA, forming polyribosomes or polysomes. Forming polyribosomes increases the rate of translation since they allow simultaneous translation by several ribosomes.



**Figure 7.23: Polyribosomes of prokaryotic organism - mRNA is still growing and attached to the DNA**

### **Fate of the proteins**

The newly synthesized polypeptide is the primary structure of the polypeptide. This is not functional as a protein as it is. The polypeptide has to assume its functional form by folding (refer unit 02) and sometimes with post-translational modifications.

Certain polypeptides have additional segments than what is required for its function. For example, a short segment of amino acids are present in certain polypeptides to act as signal peptide. Signal peptide guides the polypeptide to a particular location in the cell or to be secreted. This is referred to as protein trafficking. Once the polypeptide is in place, the extra piece of the peptide chain is no longer needed and maybe removed enzymatically.

The post-translational modifications include chemical modification of certain amino acids by attaching sugars (glycoproteins), lipids (lipoproteins), phosphate groups (phosphorylated proteins) and other additions. The first amino acid, methionine, maybe removed enzymatically. Enzymes may also cut the initial polypeptide into two or more pieces which form a functional protein, by joining different combinations. For example, the protein insulin is produced as a single polypeptide, but cut at two places to remove the central piece. The other two polypeptide chains are joined together to form the functional insulin.

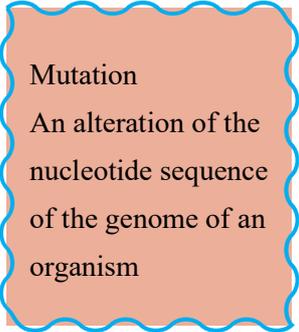
## Selective Degradation of Proteins

The amount of a protein in a cell is determined on one hand by the rate of synthesis and on the other by the rate of degradation. The selective degradation of proteins is an essential mechanism in regulation of cellular activities. Certain proteins are degraded in response to specific signals. Faulty or damaged proteins are recognized and rapidly degraded to avoid bad effects due to mistakes in polypeptide synthesis or errors in folding. Some proteins for example regulatory proteins, need to be rapidly degraded after their function. The structural proteins may remain for a longer period.

## Mutations

The phenotype of an organism basically depends on the genetic information stored in DNA and the final outcome is a result of the interaction between genetics of the organisms and the effects of the environment.

Alterations in DNA may bring about certain changes in the characters of individuals in a species, which result in phenotypic variation among organisms. These changes occur permanently, and are called mutations.



**Mutation**  
An alteration of the nucleotide sequence of the genome of an organism

### **Mutations are the sources of variation seen among individuals of a species.**

The effect of a mutation may be neutral, beneficial or deleterious. Deleterious mutations may be lethal or at least they are less favourable than the original phenotype. A mutation may also cause complete loss of a function. In rare occasions, the function of a polypeptide may be enhanced due to a mutation. These are beneficial mutations. Entirely new functions may also be resulted by mutations. For example, an enzyme specific to a substrate may change due to mutation in such a way that it changes its specificity to act on another substrate. The product due to the mutation is capable of catalyzing a new biochemical reaction.

There are two major types of mutations based on the scale of alterations in the genetic material; small-scale changes occurring in the sequence of nucleotides in a gene or large-scale changes in the number or the structure of chromosomes. These are known as gene mutations and chromosome aberrations or chromosome mutations, respectively.

## Gene mutations

The permanent alterations of DNA sequence of a gene are called gene mutations. They may be occurred due to rare errors occur during DNA replication. These are called spontaneous mutations. In addition, certain external factors can also cause mutations at a higher rate. Since these factors generate mutations, they are called mutagens. Mutagenic agents can be classified as chemical or physical factors. X-rays and UV rays are examples for mutagenic physical agents.

The mutagenic agents can introduce mutations to replicating DNA in a cell. Mutations are also the cause of carcinogenesis. Therefore, mutagens are carcinogens and carcinogens are mutagens. These chemicals and radiations need to be handled with utmost care.

## Types of Gene Mutations

These are small-scale mutations involving only one nucleotide pair or more than one nucleotide pair. If only one pair is altered, these are called point mutations. There are three types of gene mutations. They are:

1. A single nucleotide pair substitution – change one nucleotide pair with another
2. Nucleotide pair insertions – addition of one or more nucleotide pairs
3. Nucleotide pair deletions – removal of one or more nucleotide pairs

The substitution of a pair of nucleotide is a point mutation. Insertions or deletions can be point mutations, or may involve more than one nucleotide pair.

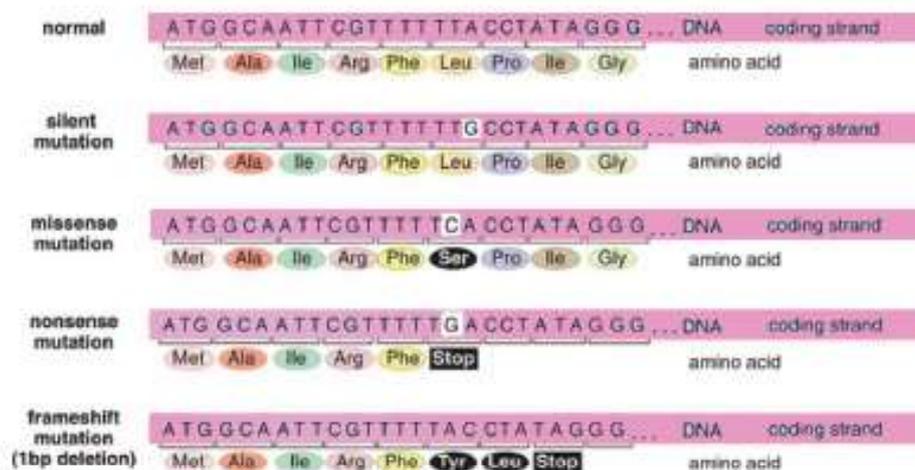
### Substitution

Here one nucleotide pair is replaced by another pair (Figure 7.23). There is no change in the length of the gene. Because the same amino acid may be coded by more than one codon. Some substitutions are silent mutations. Substitution of one nucleotide pair of a gene may not have an effect on the polypeptide it codes for. Because the same amino acid may be coded by more than one codons. The third letter in the codon triplet has a wobble, meaning that even if the third letter of a codon is replaced by another letter the same amino acid is coded in the third letter (for example, the codon 5'-GGC-3' on the mRNA will be modified to 5'-GGU-3', if 3'-CCG-5' triplet on the DNA template strand be altered as 3'-CCA-5' by substitution G with A). A substitution may also change one amino acid in the polypeptide. Therefore, the meaning of the primary structure of the polypeptide is changed slightly; hence, these mutations are missense mutations. This substitution of the amino acid with another amino acid may or may not have significant impact on the tertiary or quaternary structure (functional form) of the protein. Occasionally, this may render the protein a higher activity or even with new properties. However, very often, these changes are either neutral or detrimental, making the protein useless or less efficient.

It is also, possible that a point mutation converts a codon coding for an amino acid to a stop codon. This causes premature termination of the protein synthesis, and therefore it is called non-sense mutation (figure 7.23). The result is a shorter polypeptide than original, which is usually non functional.

### ***Insertion and deletion***

These mutations bring about drastic changes in polypeptides compared to substitutions (note: nonsense mutations due to substitutions may also result in major changes). Insertion or deletion of a nucleotide or a couple of nucleotides will result in shift in the reading frame, reading wrong codons after the point of mutation. Therefore, such mutations are called frameshift mutations (figure 7.23), resulting in extensive missense. The polypeptide may not be functional at all, unless the insertion or deletion occurred is very close to the termination codon. It may also introduce a new stop codon, which was not in the original sequence. If that is the case, the translation terminates causing nonsense mutation. However, if the insertion or deletion is a triplet or a multiple triplet, the reading frame, will be back to the original reading frame immediately after the point mutation (Figure 7.23). In such cases, one or a small number of amino acids will be added or removed, respectively from the entire sequence. The message will only be slightly changed and the polypeptide may be functional, depending on the importance of the mutated region of the polypeptide in its correct folding.



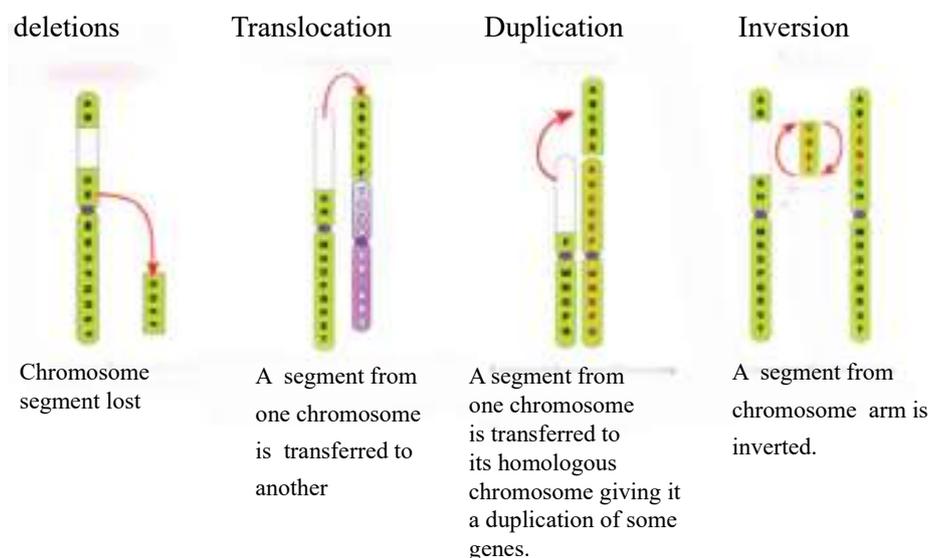
**Figure 7.23: Types of Gene mutations**

## Chromosome Aberrations/ Chromosome Mutations

Since many genes are involved, most of the chromosomal mutations are lethal, while others are deleterious. Spontaneous abortions or miscarriages in mammals occur due to unusual numbers or structures of chromosomes. Such mutations also bring about various developmental disorders. Beneficial chromosome mutations are extremely rare. In plants, some chromosomal mutations cause beneficial variations.

### I. Mutations due to alterations in chromosome structure:

Large chunks of chromosomes, which may include several genes to several hundred genes, may be lost, moved to another chromosome (cut and paste), copied and moved to another chromosome (copy and paste), or the orientation changed in chromosome mutations. These four types of chromosome mutations are called deletion, translocation, duplication and inversion (Figure 7.24).



**Figure 7.24: Four types of chromosomal mutations**

The loss of a part of a chromosome removes several genes, and hence often these changes are lethal. In translocation, there is no loss of total amount of DNA. However, the expression of genes can be altered due to the change in the environment at the new location. The cutting of the chromosome may occur within a gene, and if this happens, that gene cannot function. In duplication, an extra piece of DNA carrying many genes is present in another location of the genome. This situation may also alter the gene expression, usually leading to deleterious impacts on the phenotype. The change of the orientation of a chunk of chromosome or inversions can also result in changes in gene expression; most of these are adverse variations.

## II. Mutations due to alterations in chromosome number:

In addition to the structural changes of the chromosomes, a cell may contain an entire chromosome or even a set of chromosomes in excess to the normal number of chromosomes. A cell may also get one chromosome less than the normal number. When there is one more or one less chromosome in a cell, that situation is referred to as aneuploidy. Here the ploidy level has not changed, but when a complete set of chromosomes are present in excess, then ploidy level is said to be increased, for example to triploid, tetraploid, hexaploid etc.

Aneuploidy is resulted in by the mistakes in meiosis. During meiosis I, the two sets of chromosomes in a diploid cell must separate and move towards the two poles of the cell. However, due to abnormal arrangement of homologous chromosomes, both chromosomes of a pair may migrate to one pole, so the other end has one less chromosomes. The resulting cells or gametes in sexual reproduction also have either one more or one less chromosomes than the haploid number of chromosomes. A similar result is obtained when chromatids of a chromosome do not separate and migrate to the opposite poles of the cell in meiosis II. The inability to separate a pair or pairs of chromosomes in meiosis is called nondysjunction (Figure 7.25). When a gamete with one less chromosomes, unites with a normal gamete, the resulting zygote is an aneuploid with  $2n-1$  chromosomes. This type of cells is called monosomic, since a specific chromosome has only one of it. A gamete with one more than the usual haploid set of chromosomes may fuse with a normal gamete. The zygote will carry a chromosome in triplicate, and hence  $2n+1$ . This aneuploidy status is called trisomic for that chromosome.

Such abnormalities can take place also in mitosis. Ploidy level can also increase by abnormal separation of chromosomes. A triploid ( $3n$ ) can result in fertilization of an abnormal diploid egg. If a zygote does not divide after the first mitotic division, it will carry four sets of chromosomes and be developed into a tetraploid ( $4n$ ).

Animals with higher ploidy level are very rare. Plants on the other hand, can tolerate higher ploidy levels, and often perform better than their diploid individuals.

Examples of plants having higher ploidy levels:

Banana – Triploid ( $3n$ ); Wheat – hexaploid ( $6n$ ); Strawberries – Octaploid ( $8n$ )

Polyploids are more common in invertebrates than in vertebrates. Among vertebrates, polyploidy is observed in a few fishes and amphibians.

Polyploids are rather normal compared to aneuploids. Although having higher number of chromosomes than usual, polyploids maintain the genetic balance, whereas genetic balance is lost in aneuploids.

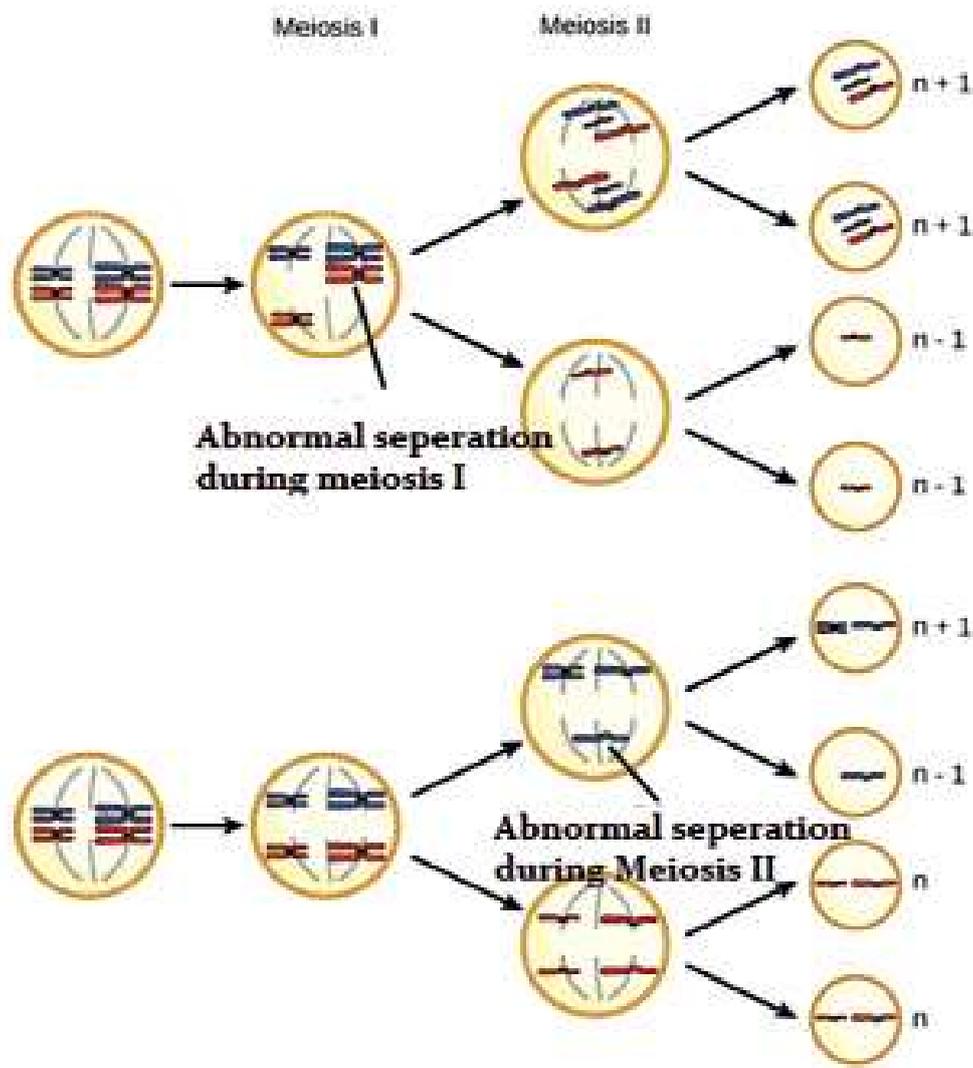


Figure 7.25: Abnormalities in meiotic division causing aneuploidy

## Human Genetic Disorders

### I. due to Gene Mutations

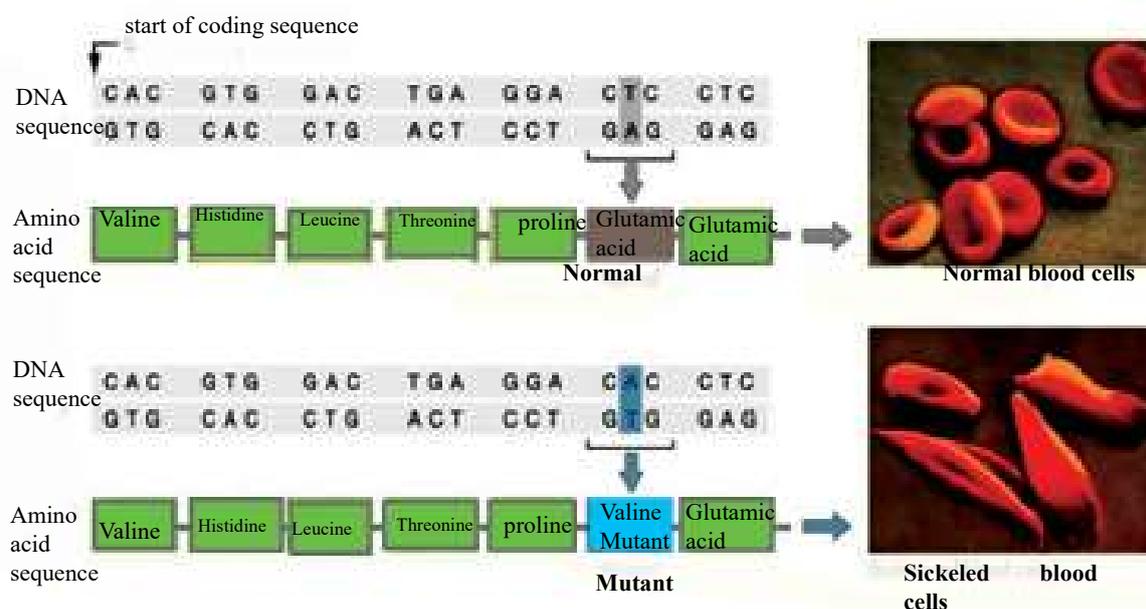
Two examples of human genetic disorders by gene mutations are described below.

### ***Colour Blindness***

Colour blindness or Colour Vision Deficiency is a genetic disorder, more common in males than in females, due to mutations on one or more genes located in X chromosome. These genes code for proteins that absorb different wavelengths of visible light. These are visual pigments called photopsins, which are categorized as red, green or blue. A person with a normal colour vision can distinguish different colours and their hues because they have all three categories of pigments in the retina. The absorption of different proportions of different wavelengths by the pigments is resolved by the brain as the colour of the object. In human genes coding red and green pigments are located in X chromosomes and gene for blue pigment is on the chromosome 7. Since males have only one X chromosome and the relevant genes are absent in Y chromosome, any defect in one or both of these genes will make the phenotype. In females at heterozygous condition a defective allele in one X chromosome is masked by the good allele in the other. Therefore colour vision deficiency is more common in males (5-8% of males) than in females (less than 1% of females). Colour blindness nearly always affects the perception of red or green colours, because these are the genes that are sex linked.

### ***Sickle Cell Anemia***

Sickle cell anemia is a genetic disease prevalent in human populations in Africa and other warmer regions of the world. A mutated allele of a gene coding for  $\beta$ -globin subunit of hemoglobin, the oxygen carrying pigment, causes an abnormality of the hemoglobin molecules. The presence of this abnormal hemoglobin in red blood cells changes the shape of the RBCs to curve as a sickle from its disk-shape. Individuals with this disorder have fewer numbers of RBCs, and hence develop anemia. This is because the sickled RBCs breakdown prematurely. The mutation substitutes glutamic acid at a particular place in the primary structure of the  $\beta$  globin with valine (Figure 7.26), which results in abnormal folding of hemoglobin. The mutated allele is codominant, which means both normal  $\beta$  globin and mutated  $\beta$  globin are produced in individuals who are the heterozygous for this locus. Therefore, they have both good and bad hemoglobin and hence both normal and sickled RBCs are present. They are normally healthy and are the carriers of the mutant allele. Since the mutated alleles causes severely detrimental effects in homozygous individuals, normally they should have been eliminated from the human population by natural selection. However, there is prevalence of malaria in warmer countries like in Africa, the heterozygous individuals will survive malaria attacks better than individuals with homozygous wild type alleles. This is because, the malaria parasite can not survive in sickled RBCs. Therefore, at heterozygous individuals, parasite density remains at a lower level.



**Figure 7.26: The molecular basis of sickle cell anemia**

## II. Due to Chromosomal Mutations

Chromosomal mutations bring about drastic changes in amount of genetic material or chromosome structure, leading to abortions of the fetus in mammals. If they survive, they show a peculiar set of abnormal characteristics in the phenotype which are called syndromes.

Three genetic disorders of humans due to aneuploidy are described below.

### ***Down syndrome:***

Down syndrome is also known as trisomy -21, because the cells of the affected individual contain an extra copy of chromosome 21. The syndrome shows characteristic facial features, short body, heart defects (which can be corrected) and developmental delays. They have a high risk of developing leukemia and Alzheimer disease. Almost all males and half of the females with Down syndrome are sexually under developed and sterile. Their life span is shorter than normal, but can live past middle age with proper medical treatment. However, they have a lower risk of high blood pressure, arteriosclerosis (hardening of the arteries), strokes and many types of solid tumors. Despite their abnormalities, many live independently and are employed. The risk of having a baby with Down syndrome increases with the age of the mother. This is caused by nondisjunction in meiosis-I. While Down syndrome is due to trisomy of an autosome, there are human genetic disorders due to aneuploidy in sex chromosomes as well. The aneuploidy conditions in sex chromosomes cause Turner syndrome due to monosomy and Klinefelter syndrome due to trisomy.

***Turner syndrome:***

Turner syndrome is due to monosomy in X chromosome. In very rare cases, there are females with only one X chromosome, and hence their genotype is XO. This is the only known viable monosomy in humans. These individuals are phenotypically female, but they are sterile because their sex organs do not mature. When girls affected with Turner syndrome are treated with estrogen replacement therapy, they develop secondary sex characters.

They have a short stature and some may have an extra skin on the neck (webbed neck). Other characters are puffiness or swelling (lymphedema) of the hands and feet, skeletal abnormalities, heart defects, high blood pressure and kidney problems. Most of them have normal intelligence.

***Klinefelter syndrome:***

This is due to rare condition having an extra X chromosome in the genotype of XXY. Since it carries a Y chromosome, these individuals are males. Although these people have male sex organs, they are sterile. Their testes are abnormally small. One out of the two X chromosomes is inactivated. Yet these males may have enlarged breasts and may develop other female body characteristics. They have subnormal (less than normal) intelligence.

XYY trisomy will make males and XXX trisomy will make female in females. They do not show any syndrome and have normal male and female characteristics, respectively. They are fertile and slightly taller than the average.

***Genetic Counseling***

Genetic counseling is a service which is important for families having genetic disorders or families having a risk of genetic disorders. This service is intended to estimate the risk a couple may have in conceiving a child with genetic disorders and providing necessary advice to avoid such instances. Genetic counseling is a profession that requires, on one side, a good knowledge of human genetics to understand, how traits obeying the rules of simple Mendelian inheritance would behave and on the other side, an ability to provide guidance to minimize the risk of having a child with a genetic disorder. If the family already has such a child, the genetic counselor would also provide advice how to manage the situation and how to plan the birth of next child.

Some of the genetic disorders are multifactorial, which means several factors including multigene inheritance and that is also affected by environment. For example, heart attacks and diabetes may be inherited, but the risk of developing the disease is affected by external environmental factors such as life style and food habits. As such, a clear pattern of inheritance of the disease cannot be traced. The risk of the effect of a trait that follows simple Mendelian laws of inheritance on a child to be conceived can be estimated by studying the history of the family with regard to a

particular disorder, and hence, becomes the subject of genetic counseling. If the disorder is caused by a dominant allele, it can easily be observed in the potential parents. However, if the allele is recessive, a parent or both the parents with normal phenotype may be homozygous for dominant allele or heterozygous carrier. Tracing the history of the family with regards to the disease using pedigree analysis, would allow estimation of probability of parents being carriers and accordingly would estimate the probability of the risk of having a child with the disorder. The information available through pedigree analysis, sometimes is sufficient to determine exactly the genotype of one or both parents. The genetic counselor explains the situation to the potential parents and guides them to choose the most suitable option in having a child.

Techniques are now available to determine whether the already conceived fetus carries the mutant alleles. For this, the cells of the early fetus are sampled and DNA is sequenced to find out the presence or absence of the mutant allele and, if present, whether the fetus is homozygous or heterozygous. This information is very important to take well informed decision to keep the fetus or to abort it. Legislations of some countries allow abortions of such fetuses which would otherwise be born with genetic disorders. This however is a difficult decision for parents to make. Therefore genetic counselor has a task of guiding the potential parents to make the best decision possible.

## **Gene Technology**

### **Tools, Techniques and Methods in Gene Technology**

This section examines the process of gene technology starting from DNA isolation, through identification of desired DNA sequences to gene technology or recombinant DNA technology. The isolated DNA needs to be cut different pieces of DNA must be joined and at times DNA must be copied *in vitro*. These involve several enzymes acting on DNA. Distinguishing a unique DNA sequence from the rest of the DNA, requires separation of DNA based on the size of the fragments and identification of it. In making genetically modified organisms, this DNA needs to be transferred to a recipient organism using a suitable method. Copying of DNA is possible *in vivo* using cloning and *in vitro* using Polymerase Chain Reaction (PCR). Sequencing of DNA has become a very important technique in many studies in DNA.

### **Isolation of DNA**

Gene technology begins with isolation of the target DNA sequence from the total genome of the donor cells. Purified DNA is required for many applications such as studying DNA structure and chemistry, examining DNA-protein interactions, carrying out DNA hybridizations, sequencing, PCR, performing various genetic studies or gene cloning.

Since DNA molecules are very long, the entire length of a DNA molecule cannot be isolated, except for shorter DNA molecules such as plasmid DNA and viral DNA. However, breaking of DNA or shearing has to be minimized during the extraction process.

The basic principles and major steps of DNA isolation can be identified as follows.

- **Homogenization or disruption of cells:** DNA is located in the nucleus of the eukaryotic cells and it is concentrated in nucleoid in prokaryotic cells. The first step in DNA isolation is releasing the DNA from the cells by breaking cells or lysis of the cells. Cells can be lysed by mechanical methods such as grinding and homogenization or enzymatically by lysozyme to break bacterial cell wall.
- **Inhibition of DNase:** When the cells are broken, the DNA may get in touch with DNA degrading enzymes such as Deoxyribonuclease (DNase). Therefore, DNA must be protected from such enzymes causing shearing. Chelating agents are added to remove metal ions required for nuclease activity.
- **Dissociation of nucleoprotein complexes:** DNA needs to be freed from the proteins that bind to DNA. DNA-protein interactions are disrupted with SDS, phenol, or proteolytic enzymes.
- **Removal of contaminating materials:** All other molecules in a cell are contaminants of DNA. Removal of this contaminants is required for some applications.
- **Precipitation of DNA:** Here the DNA dissolved in aqueous phase is precipitated with cold (0 °C) ethanol. The precipitate is usually re-dissolved in a buffer. RNA is removed by limited treatment with DNase free RNAase (ribonuclease).

### Enzymes Reacting with DNA

Enzymes are required in cutting, joining and copying of DNA *in vitro*.

1. **Restriction endonucleases:** There are several different types of nucleases in cells having different functions. In gene technology, cutting DNA at precise locations is important. The enzymes that recognize specific sequences of DNA and cuts at or near these sites are called restriction endonucleases. The position where the DNA sequence is cut is called as restriction site or cleavage site (Figure 7.28).  
eg. *EcoRI*- Source: *E. coli*
2. **DNA Ligase:** Cut DNA fragments from different sources are joined together by forming a phosphodiester bond by DNA ligase to obtain recombinant DNA molecules (Figure 7.27). T4 DNA ligase is the most commonly used DNA joining enzyme in gene technology. The source of this enzyme is T4 bacteriophage.

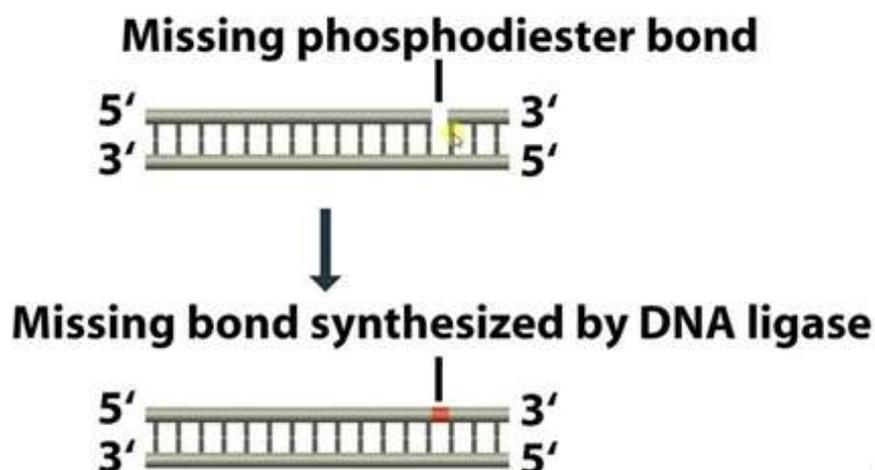


Figure 7.27: Formation of a new phosphodiester to fill the gap between adjacent nucleotides

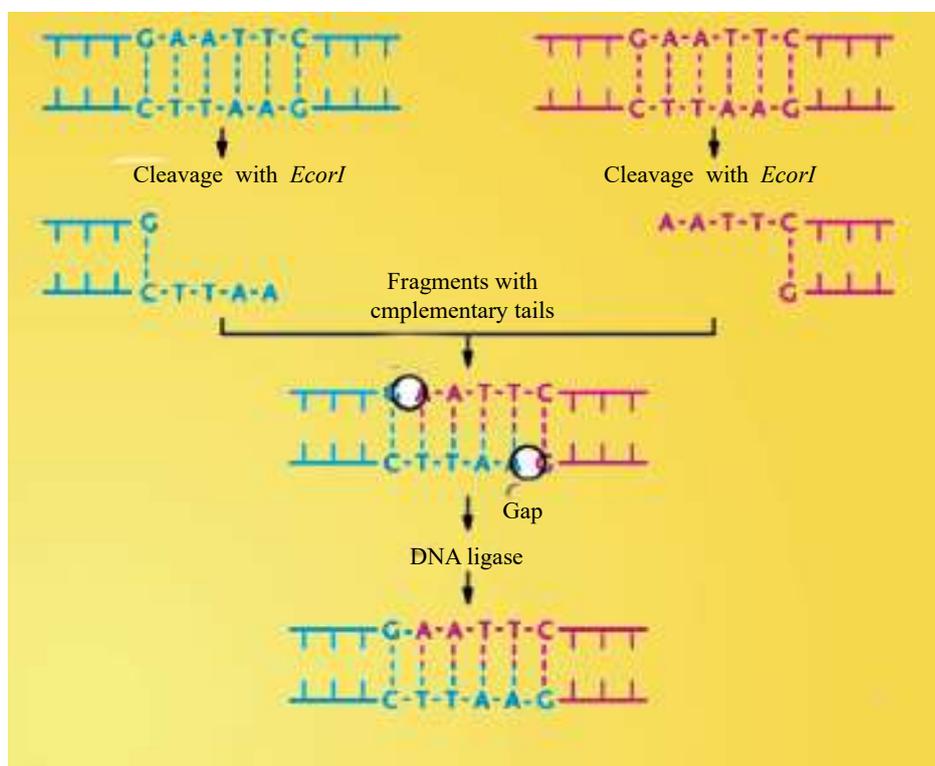


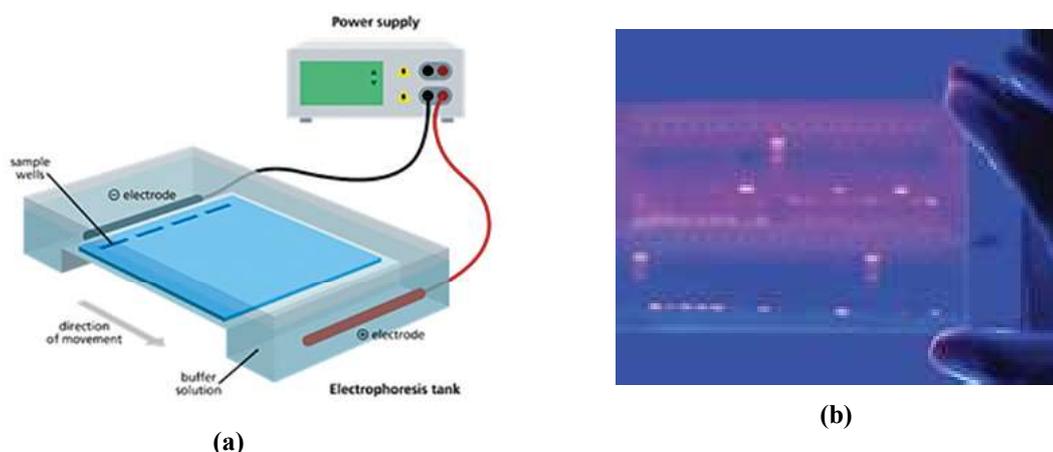
Figure 7.28. Cutting of DNA of different origins with *EcoRI* restriction enzyme and joining fragments of the different DNA by DNA ligase to form a recombinant DNA molecule.

3. **DNA Polymerases:** These are enzymes that add complementary deoxyribonucleotides to the template strand in a growing DNA strand, so that they copy DNA. These are therefore very important in gene technology especially in PCR and DNA sequencing. The most widely used DNA polymerase is Taq DNA polymerase. This is a heat stable enzyme isolated originally from the thermophilic bacterium *Thermus aquaticus*.

In addition to the enzymes reacting with DNA, enzymes which can make DNA on an RNA template is also very useful in gene technology. These enzymes are called reverse transcriptase, because their action is the reverse of the transcription. This is used to make cDNA (copy DNA or complementary DNA) on an mRNA template.

The DNA shearing or cutting with restriction enzymes makes a mixture of DNA fragments of different sizes. In DNA fingerprinting, using PCR, DNA strands having different sizes are obtained. Therefore in many applications with DNA, separation of DNA molecules becomes important. Separation of fragments having different size on a gel matrix is the most practical way of doing this.

**Agarose gel electrophoresis:** Electrophoresis is a technique that separates large charged molecules, (such as DNA, RNA and proteins) according to their mobility in an electric field. The speed of a molecule moving in an electric field depends on its net charge and the size. In gel electrophoresis, the molecules are moving through small pores of a matrix of gel. This restricts the movement of the molecules and helps in separation according to the size; larger molecules move slowly compared to smaller molecules. In the case of nucleic acids, the net charge depends on the length of the molecule, and therefore the separation is based on the size. For the separation of DNA, Agarose Gel Electrophoresis is the most frequently used technique. Agarose is purified agar, obtained from a type of seaweed. This forms a polysaccharide matrix. In the Agarose gel electrophoresis equipment, the gel is placed in buffer and a cathode and an anode are placed on either end of the gel (Figure 7.29(a)). When a current is applied using a power supply, the negatively charged DNA molecules migrate towards the anode through the gel. When preparing the gel, wells are formed, and the DNA is loaded into these wells. The separated DNA can be stained by ethidium bromide and be visualized by exposing to UV light (Figure 7.29(b)).



**Figure 7.29: (a) Agarose gel electrophoresis equipment (b) Visualizing separated bands of DNA on a gel using UV light**

Although stains like ethidium bromide show the presence of double stranded DNA band on an agarose gel, those stains cannot differentiate a band with a specific nucleotide sequence from the others. In order to identify such a band among many other bands, a DNA probe is used.

**DNA Probes and hybridization:** A DNA probe is a fragment of single stranded labeled DNA used to detect the presence of complementary nucleic acid sequences by hybridization. Labeling is a modification of the DNA strand in such a way that it gives a signal which enables the detection of that DNA strand. The labeling can be done by, for example, incorporation of a radioactive isotope, or addition of a fluorescent molecule to the structure of the probe. This single stranded DNA stretch can hybridize to complementary single strand of DNA or RNA. Therefore before hybridizing with the probe, the double stranded DNA needs to be denatured to make room for the probe. The denatured bands on the gel need to be transferred to a nitrocellulose or nylon filter membrane by a process called Southern Blotting. The bands are then fixed to the membrane. Then the labeled probe is added to the membrane and allowed to renature. The probes will bind strongly only to the complementary sequences, which are fixed to the membrane. When the membrane is washed, the probe is removed except the probes bound to the bands with target nucleotide sequence. If the probe is radioactively labelled, the band with the target sequence can be identified by autoradiography of the membrane. If the probe is labeled with a fluorescent dye, the band can be identified using UV light.

### Recombinant DNA Technology

All organisms on Earth have evolved from a common ancestor and their genetic information is stored in DNA, except in some viruses. At the chemical level, DNA is the same in all organisms. Further, all organisms share the same genetic code, so the same peptide is encoded by a gene whether it is expressed in a bacterium, a plant or an animal. This forms the basis of recombinant DNA technology, where DNA from two or more different species are joined together and inserted into a host to obtain a new genetic combination which is of value to science, medicine, agriculture, industry and environmental applications.

Recombinant DNA molecules:  
DNA molecules made by laboratory methods of genetic recombination which brings together DNA from different sources, creating sequences that are not found naturally.

All the techniques mentioned below are needed to make a recombinant DNA molecule (rDNA).

**They are;**

- Isolation of DNA from different sources.
- Restriction digestion of isolated DNA with restriction enzymes.
- Separation of DNA fragments by gel electrophoresis.
- Identification of the correct fragments with desired nucleotide sequences using probes.
- joining the DNA fragments from multiple sources using DNA ligase.

Inserting DNA molecules to host cells is a difficult step. Cells show resistance to DNA uptake. This is important for the survival of organisms because invading DNA can cause genetic alterations which are usually harmful.

Therefore, a large number of copies of the recombinant DNA molecules are necessary to make sure at least a few host cells will receive a copy. If the desired DNA fragment is a shortone, *in vitro* multiplication can be done by a technique called DNA cloning.

**DNA Cloning**

In DNA cloning, the DNA replication machinery of a host cell is used to copy the desired DNA. However, inserting a fragment of DNA into a host cell will not be copied, unless an origin of replication (Ori) is present in that. Therefore, in order to replicate the recombinant DNA molecule or DNA of interest, it has to be combined with DNA with Ori and that can be replicated independent of the chromosomal DNA (chromosomal DNA replicates only once in a cell division). In a bacterial host, there are several copies of plasmids and also when infected with a bacteriophage, a large number of copies of viral DNA per bacterial cell are present. As such the DNA molecule of interest can be integrated into these self-replication units, which are called vectors.

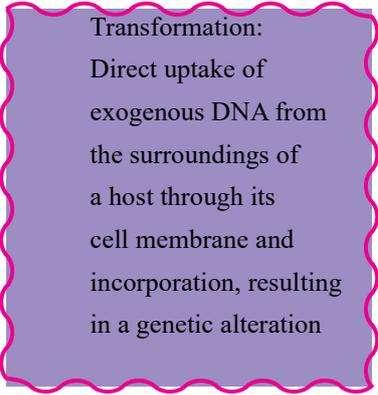
**Vectors:** The vectors are vehicles to carry the DNA of interest into a host for multiplication or cloning. The vectors used in cloning of DNA are called cloning vectors. When the vector is carrying the foreign DNA, it is called a recombinant vector.

Making a recombinant vector also follows the same procedure for making the recombinant DNA molecule. Here the gene of interest has to be cut with a restriction enzyme and the vector (plasmid or the viral DNA) should also be cut with the same enzyme. These two should be mixed and allowed to integrate and ligated using DNA ligase (Figure 7.30). The site in the vector, where the DNA to be cloned is inserted is called cloning site. In order to be able to use several restriction enzymes to cut DNA (both the vector and the DNA to be cloned), the cloning site contains a sequence which carry sites for many restriction enzymes. Therefore, this is called multiple cloning site. A host cell, usually a bacterial host which can copy the vector, is then transformed by the recombinant vector. The host then will copy the plasmid carrying the DNA of interest. A number of recombinant plasmid is present in each descendent cell of the colony of bacterial host.

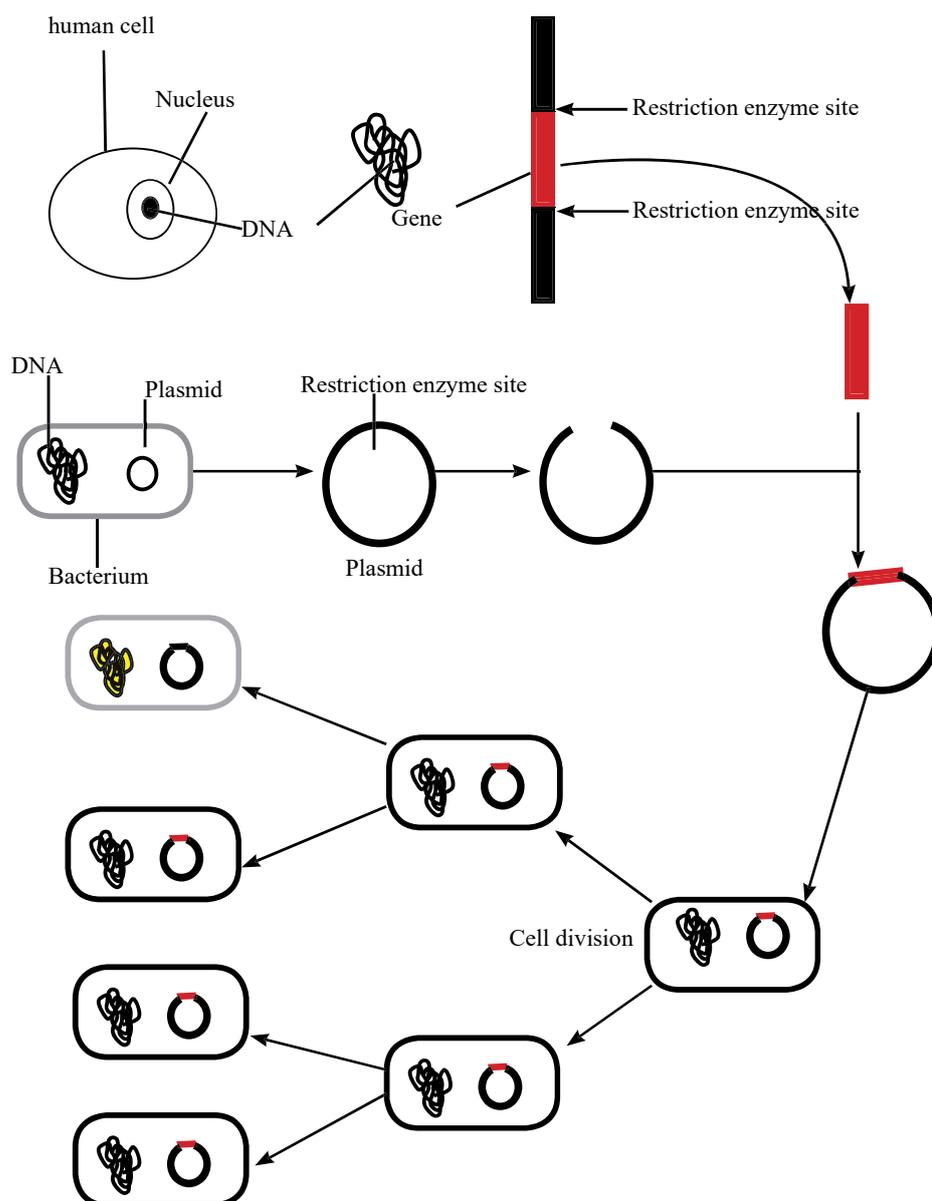
## Types of vectors and their differences

Any self-replicating unit in a particular host cell can be used as a vector. In bacteria, plasmids and bacteriophages are used as vectors. Plasmids are present in yeast cells also. Therefore they can also be used as vectors in yeasts. The yeast cloning vectors are called yeast artificial chromosomes or YACs. They are plasmids, but are called chromosomes, because they carry sequences of centromeres. They work as chromosomes when they are linearized. In addition, they also have autonomously replicating sequences (ARS) to enable them to replicate independent of the cell division. All of these vectors carry genes which are not necessary for a vector. These are removed and this space is used to insert the DNA of interest. The yeast vectors should contain sequence of centromere and autonomously replicating sequences (ARS).

As described above, main purpose of cloning vector is to copy DNA in an *in vivo* system. For this, number of copies in a single host has to be high. So this condition is fulfilled by bacterial plasmids, bacteriophages and YACs. The transformation of cells is a very inefficient process. However, using bacteriophages as vectors overcome this problem, because the vector can be inserted to the host cell by the mechanism of infection of the bacteriophage. An advantage of YACs is that they are large, therefore, large amount of DNA can be copied using them. It has also another advantage, because they operate in the eukaryotic system.



Transformation:  
Direct uptake of  
exogenous DNA from  
the surroundings of  
a host through its  
cell membrane and  
incorporation, resulting  
in a genetic alteration



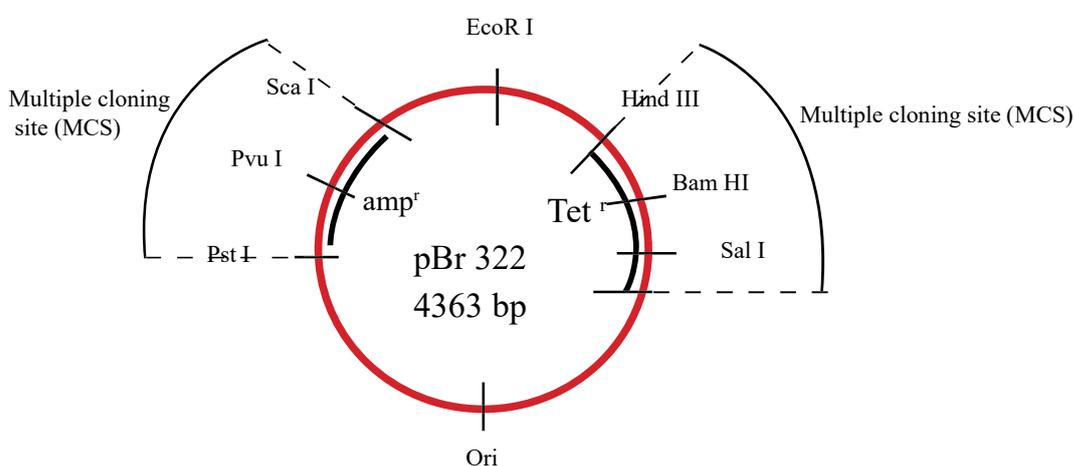
**Figure 7.30: Cloning of a gene of interest using a plasmid vector and bacterial host**

The copies of the DNA of interest or recombinant DNA is retrieved by harvesting the host cells, releasing the vectors by lysis, isolation of the vectors and cutting the DNA with the same restriction enzymes that was used originally to isolate the fragment of DNA. The recombinant DNA is then isolated after separation and detection on an agarose gel after electrophoresis.

**Use of marker genes:** The efficiency of the transformation of the host cells with the recombinant plasmid vector is very low. This means, that for one transformed host cell, there are millions or billions of cells that are not transformed. Both transformed and untransformed cells will make

colonies in a suitable medium, but these cannot be distinguished. Therefore, some sort of marker gene should be engineered into the cloning vector, so that the few colonies originating from the transformed cells can be screened among many untransformed cells. Most common markers are antibiotic resistance genes. The host cell is sensitive to the particular antibiotic, and hence will not grow on a medium containing the antibiotic. Since the vector is carrying the gene for resistance, the transformed cells can grow in the medium with antibiotic. Such markers are called selectable markers, since they allow the growth of only the transformed cells.

There is another problem to be solved. The transformation does not necessarily mean that the insert is there. Not all vectors are recombined with the gene of interest. Therefore, another marker is required to distinguish the colonies with the vector containing the insert from those with vector only. The essential features that should be present in a cloning vector are shown in Figure 7.31.

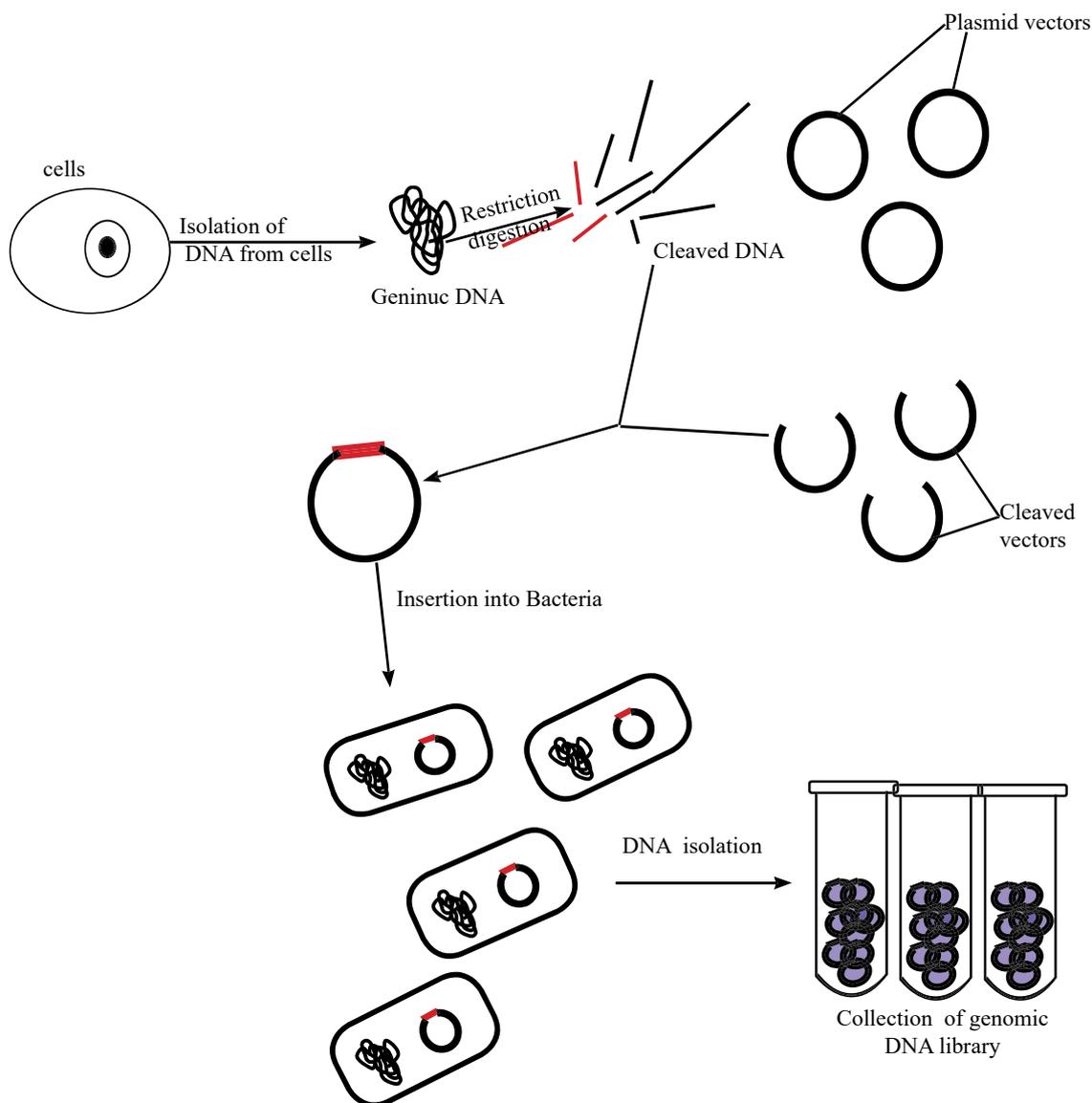


**Figure 7.31: An example of a cloning vector (pBR322) showing essential features (ori, multiple cloning site, markers)**

### DNA Libraries

When a genome is cut into random pieces by shearing using mechanical force or by restriction enzymes, it creates an extremely large number of different sequences, depending on the size of the genome. All these pieces can be integrated into cloning vectors and the recombinant vectors can be used to transform bacterial hosts. These hosts can then be cultivated in a suitable medium for selection of transformed cells and screening for transformed cells with vectors carrying an insert. Since there was no selection for a particular segment of DNA in this case, each transformed cell with an insert may carry a different piece of DNA of the genome selected earlier. When all colonies are isolated and cultivated separately, the collection of these colonies is referred to as a genomic DNA library (Figure 7.32). DNA libraries are, a collection of microbial cultures each propagating a different fragments of a total genomic DNA which are cloned in a population of

identical vectors. Inserts of each colony can be sequenced separately in order to have a complete sequence of the genome. This is how the elucidation of sequence human genome under the Human Genome Project started.



**Figure 7.32: Steps in construction of a genomic DNA library**

There is another type of DNA libraries. These are called cDNA libraries. These libraries contain complementary DNA obtained by reverse transcription of the mRNAs isolated from cells/ tissues. Collection of mRNAs of a cell is called transcriptome. mRNAs are isolated and reverse transcribed into a DNA strand complementary to the mRNAs. The enzyme used here is reverse transcriptase. The second DNA strand is replicated on the first DNA template using DNA polymerase to obtain double stranded cDNA. The DNA fragments are cloned and similar procedure is followed as for genomic DNA library in order to construct a cDNA library.

DNA libraries are basically used as sources of DNA fragments for sequencing. cDNA libraries also reflect the pattern of expression of genes.

### DNA Delivery Systems

A cell contacting foreign DNA is called a transformed cell. Getting the foreign DNA in a cell can be done using several methods.

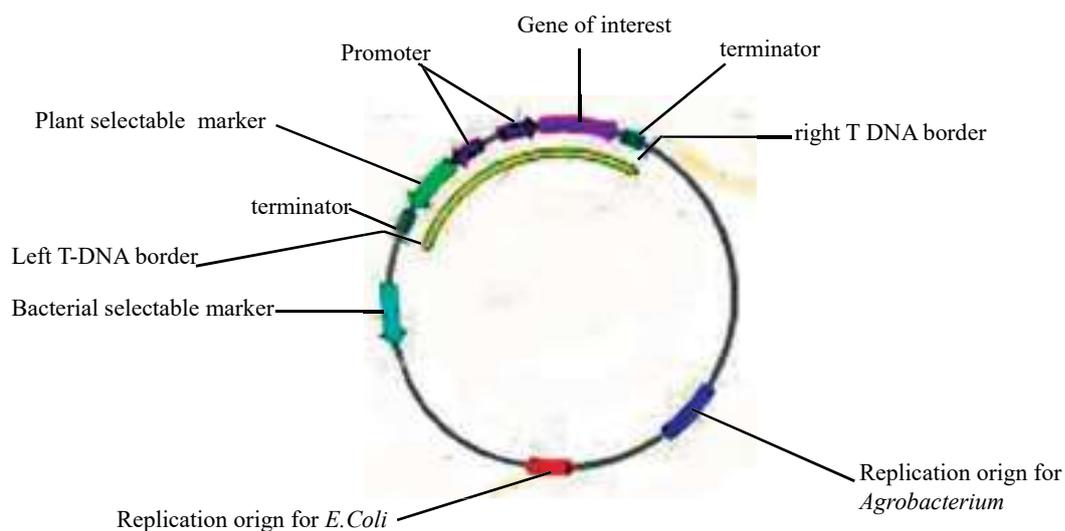
- **Transformation:** In this method a large number of copies of DNA of interest is mixed (e.g. a recombinant vector) with host cells. This is based on the ability of a cell to take up DNA from its surroundings through the cell membrane. The efficiency is very low in getting the DNA into the cells. Various treatments can increase the competency (ability to take up DNA from outside) of host cells.
- **Transduction:** This method is based on the ability of bacteriophages to infect host cells. Viruses infecting plants and animals can also be used as vectors to deliver foreign DNA into plant and animal hosts. The gene of interest is integrated into the modified viral genome and packaged into the protein capsid. This viral particle can pass the recombinant DNA as in its normal infection process. The capsid protects the DNA and this method is more efficient than transformation.
- **Gene Gun:** In this method, small particles of a heavy metal such as gold are coated with a large number of copies of the DNA of interest and the particles are shot at high velocity into the cells to be transformed. The equipment used is the gene gun (Figure 7.33).



**Figure 7.33: Gene Gun**

***Agrobacterium* mediated gene transfer:** *Agrobacterium* is a soil bacterium which can infect plants. Their mode of infection is very special. The infection causes a tumour on the plant, and the bacterium is living inside it. The disease is called crown gall disease. The cells in the tumour or gall are actually genetically transformed by a segment of a plasmid of *Agrobacterium*. This plasmid is called Ti (tumour inducing) plasmid (Figure 7.34). A part of this plasmid can actually be transferred to plant genome, and hence called transfer DNA or T-DNA. T-DNA contains genes which induce formation of a tumour and also characters associated with pathogenicity. What is needed for transfer of the DNA is the right and left border sequences of the T-DNA. Therefore

scientists have removed most of the bacterial genes, including virulent genes from T-DNA and have made room for inserting genes of interest into this space between the two border sequences. *Agrobacterium* can deliver this modified T-DNA with inserted gene to plant cells through its infection ability. However plant cells will not become sick because the virulent genes have been removed from T-DNA. This is called disarming the T-DNA.



**Figure 7.34: Ti Plasmid vector**

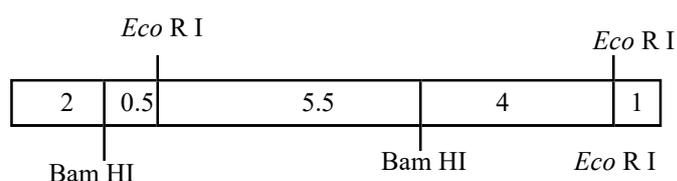
### DNA Analysis

When the morphological characters are used to classify, the number of characters available is limited, so that usually the smallest group identified is a species. When more characters are available, more divisions such as subspecies, strains, varieties etc. become possible. Biochemical properties (i.e. enzyme activities) are useful characters in classification to separate organisms into smaller groups. Since characters are controlled by the combination of the genetics of the organism and its environment, above mentioned characters may vary depending on the environment. Hence if one needs to look how two groups of organisms are similar or different genetically, he has to investigate at the DNA level.

Various techniques for DNA analysis have been developed to facilitate the identification of genetic similarities and differences among organisms and some of these can be used even to identify an individual. These techniques are used in conjunction with techniques such as DNA isolation, gel electrophoresis and use of probes that were discussed above.

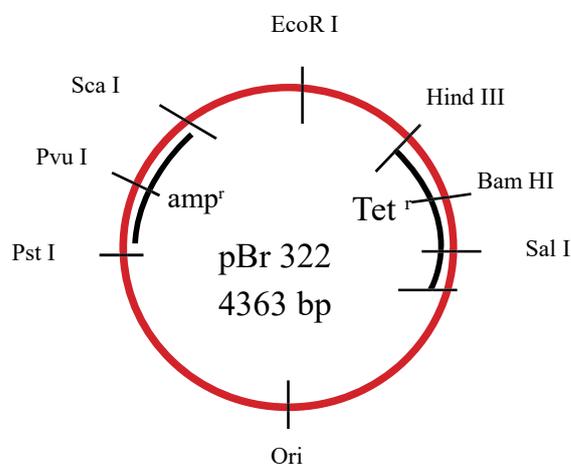
## Restriction Maps

As shown earlier, restriction enzymes cut dsDNA into fragments at specific sequences. Depending on the number of restriction sites and where they are located, a number of fragments of varying sizes will be generated. Different restriction enzymes cut at different sites and generate different numbers of fragments having different sizes. A restriction map is a diagram showing the position of each restriction site with respect to each other and the distance between these sites (Figure 7.35).



**Figure 7.35: Restriction map of a small segment of DNA**

Restriction maps are very important in construction of cloning vectors: Cloning vectors are cut by restriction enzymes at the cloning site to insert the fragments of DNA from other sources to the cloning site. Restriction map of a commonly used plasmid vector is shown in Figure 7.36.



**Figure 7.36: Restriction map of a pBR322 plasmid vector**

## DNA Sequencing

A DNA molecule is made of two complementary and antiparallel strands each comprising four bases; adenine, guanine, cytosine and thymine, arranged linearly in a sequence. DNA sequencing is a process to determine the precise order of these bases in a DNA molecule.

The techniques have been improved greatly since the introduction of DNA sequencing in 1977. The technology of DNA sequencing that was available by the time of obtaining the sequence of entire human genome in 2003, under the human genome project was called first generation sequencing technology. Those methods were time consuming and it was possible to determine the sequence only of short DNA fragments. The technology developed since then to next generation sequencing or second generation sequencing and to the most modern third generation sequencing technology. The most modern technology can sequence strands of lengths of millions of nucleotides, and therefore, the time required for sequencing has reduced greatly. Although the Human Genome Project took 15 years to complete the sequencing of human genome, one can today obtain his/ her genome sequenced in several hours at a cost 1000 US\$ (2018).

With the development of the technology of DNA sequencing, its applications also have been widened.

### **Applications of DNA sequencing**

**Molecular Biology:** Information on base sequence of DNA is important in understanding the functions of DNA. Genes coding for a polypeptide can be located by studying the DNA sequence. Certain domains in the DNA sequence of a gene specify the function of the protein. For example, whether the protein will be a transmembrane protein or whether it is a DNA binding protein. DNA sequencing has revealed the presence of multiple copies of genes in human genome. Although amino acid sequence of a peptide can be determined using an amino acid sequencer, it has now become easier to work out the amino acid sequence via DNA sequence.

**Evolutionary biology:** DNA is passed from generation to generation. Over time, changes occur and accumulated in the DNA. Therefore the similarities and differences in the sequences of DNA of individuals within a species and among different species reveal their evolutionary relationships. Sequencing of DNA samples obtained from ancient humans remains preserved, for example, as mummies or buried in ice or as fossilized remains has given insight into when the *Homo sapience* evolved, and how they migrated to conquer the world.

**Medicine:** Certain families inherit some genetic disorders. Sequencing of DNA reveals if a healthy person is a carrier or not. How a particular disease causing allele is distributed among family members is very important in assessing the risk and to plan a management. Similarly diagnosis of cancer is also possible through DNA sequencing. The response to a drug given for cancer can be followed by sequencing DNA carried in the blood of a patient. If a drug is responding, then the DNA sequences related to cancer must be reduced in blood. DNA isolated from a placenta of a fetus is useful in early diagnosis of presence of genetic disorders.

**Forensics:** Except for identical twins, it is extremely rare that two individuals share exactly the same sequence of DNA. That means DNA sequencing can identify an individual having the same DNA sequences as DNA material from (blood, hair, sperm, saliva etc) found in a crime scene. Similarly paternity testing is another use of DNA sequencing.

**Metagenomics:** Microbiome is the totality of microorganisms in a particular habitat including human body and different environments. Conventional methods for studying the microorganisms in a microbiome are based on culturing in pure cultures. However, a large number of microorganisms are unculturable and hence, are largely neglected. Metagenomics is a science in which DNA present in an environment is extracted as community DNA and studying this sample as a whole. Sequencing some specific sequences in this community DNA and analysis using suitable software, will reveal the number of different species and their identity. Some of these are presently known and many others may be new species. Therefore, metagenomics studies are important in ecology, epidemiology and other fields.

### **DNA Fingerprinting**

The unique set of genetic markers of an individual makes its DNA fingerprint or genetic profile. The presence/ absence of markers are nowadays determined by mostly PCR using specific primers for the marker (see below). These markers are called Small Tandem Repeats (STR markers) or Microsatellite DNA. Eukaryotic DNA contains some non-coding sequences where two to six base pairs are repeated tandemly (one after the other) 100 to 1000 times, so that the lengths of these repeats vary. Since they are non-coding, variations do not have an impact on phenotype. These are variable in individuals, so that can be used as makers. The advantages of using STR markers are

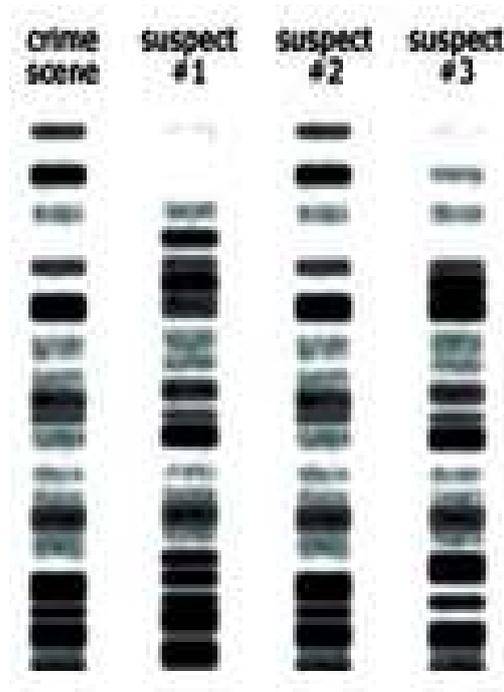
- they occur frequently in genome
- easily amplified by PCR
- highly variable polymorphisms and
- a large number of characterized STRs are available.

The method used earlier was probing specific sequences using labeled markers as describes earlier (see DNA Probes and hybridization).

In DNA profiling, a set of markers (probes or PCR primers) is used. A DNA fingerprint cannot be obtained by using one marker, since there are many individuals with the same banding pattern. When more and more markers are used in combination, the probability of finding the same pattern reduced. It has been calculated that, if 13 markers are used the probability becomes between 10 billion to several trillions. Since the world population is in the region of seven billion, it is highly unlikely that two individuals to have the same genetic profile/ fingerprint.

### Applications of DNA Fingerprinting

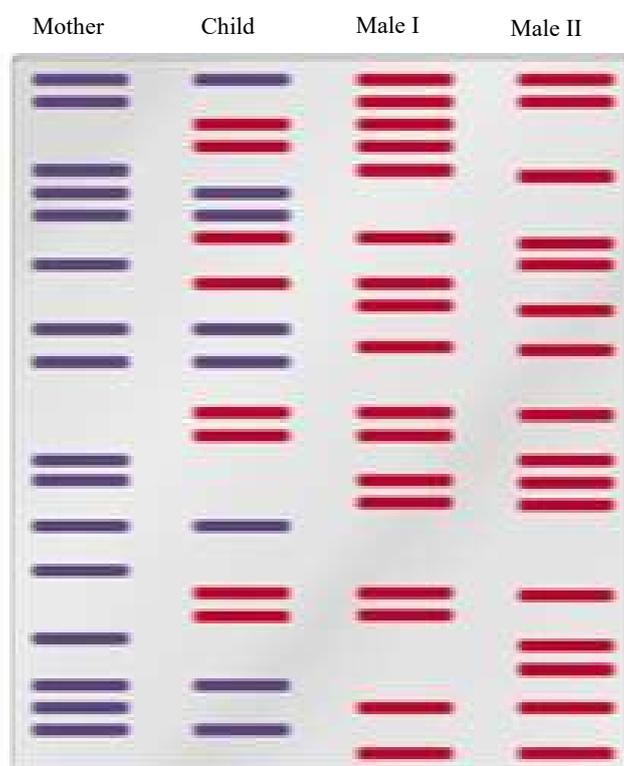
**Criminal identification and victim identification** (Figure 7.37) – The fingerprints of the suspects are matched with fingerprints obtained from biological material obtained from a crime scene. The expert’s opinion on the identity of the criminal is accepted by the Courts of law.



**Figure7.37: Comparison of DNA fingerprints of a sample from the crime scene and three suspects.**

The profile of suspect #2 matches with that of the crime scene sample.

**Paternity testing** (Figure 7.38). The DNA fingerprint of a child is never identical to that of either the father or the mother. However, the child shares some markers from the father and others from the mother. Therefore, when the paternity of a child is in question, DNA profiling can be accurately used to confirm or rule out a person as the father of that particular child (Figure 7.38).



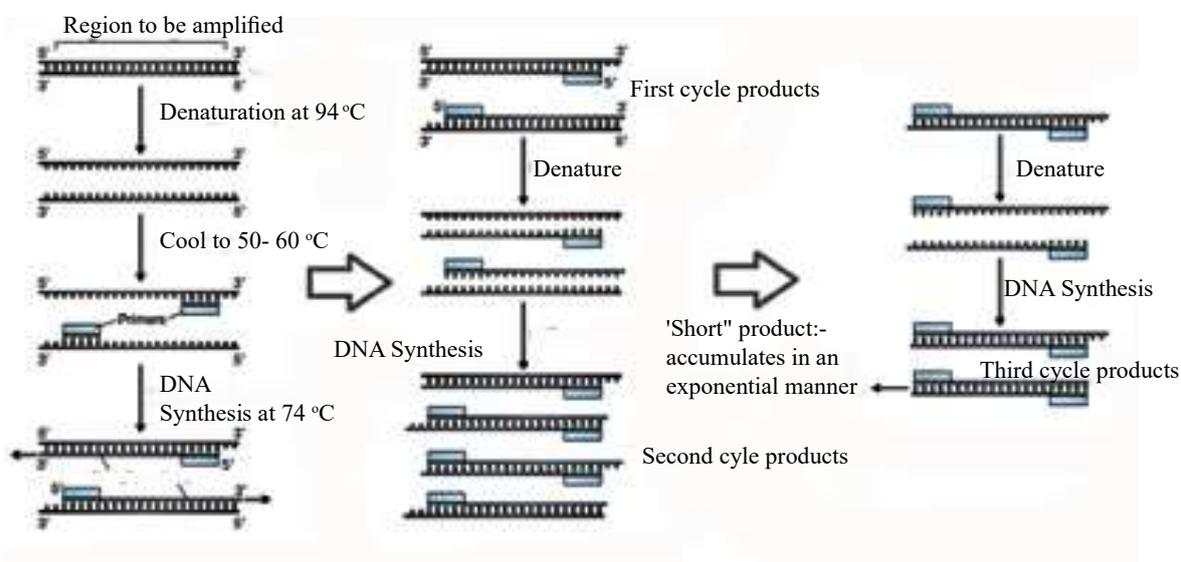
**Figure 7.38: Use of DNA fingerprinting for paternity testing**

**Identifying infectious agents:** When probes or primers are known to obtain the fingerprint of a pathogenic infectious organism, DNA fingerprinting can detect the presence or absence of this organism in the patient or in food or water.

### **Polymerase Chain Reaction (PCR)**

Polymerase Chain Reaction (PCR) is used to copy sequences of DNA *in vitro*, mimicking the DNA replication. As in replication, a DNA polymerase enzyme is needed to catalyze the reaction of elongation of the new DNA strand. The raw materials needed are the deoxyribonucleotide triphosphate (dNTPs), which are the four deoxyribonucleotides (dATP, dGTP, dTTP and dCTP). A single stranded template DNA is required. Since the DNA polymerase cannot initiate DNA replication, a primer is also needed. In PCR, a primer is a specific sequence of DNA with a small number of nucleotides (oligonucleotide) which is complementary to a sequence at 3' end of the target DNA to be copied. To copy both the strands two primers, each binding to either of the two strands at 3' end, are used. In the cell, the primer is an RNA sequence. In addition to these,  $Mg^{2+}$  is also required. These become the ingredients in PCR mix.

The DNA fragment in which the sequence to be copied is present in dsDNA, and hence it needs to be denatured. Denaturation is done by heating the PCR mix to 95 °C. At this temperature most of the enzymes will denature, and therefore it may be necessary to add DNA polymerase after the denaturation. However, the enzymes of the thermophilic organisms are resistant to high temperature. Therefore, the common heat resistant DNA polymerase used in PCR is Taq DNA polymerase which is obtained from thermophilic bacterium *Thermus aquaticus*. The primer will bind to the complementary sequence of the denatured template DNA. This happens at a lower temperature and this step is called annealing. The annealing temperature will depend on the sequence and the length of the primer. Once the annealing of the primer is achieved, then the primer extension (DNA synthesis) will take place at a different temperature. This is the optimum temperature of the DNA polymerase used. When sufficient time is given, a complementary copy of the template DNA is completed. At the end of this first thermal cycle (denaturation, annealing and extension temperatures), one copy of each strand is produced. However, these are longer than the intended copy of the target DNA sequence (Figure 7.39). After a couple of PCR cycle, an exact copy of the target DNA is synthesized. After this, copies of the target DNA will be produced in an exponential manner (i.e. 2, 4, 8, 16, etc.) after each cycle. A typical PCR will have 35 to 40 cycles. At the end, millions of copies of the desired DNA sequence will be produced from a single template DNA molecule. The PCR product formation in the first three cycles is shown in Figure 7.39.



**Figure 7.39: PCR products formed at the end of first to third cycles**

The repeating cycles are automated and are done in a PCR machine (thermal cycler) (Figure 7.40). PCR mixture is prepared in PCR tubes and these are inserted in to the wells in PCR machine.



**Figure 7.40:.** A PCR machine showing the wells where the PCR tubes are inserted

PCR is a rapid method of obtaining a large number of copies of DNA with high accuracy.

PCR is an essential technique in cloning procedure which allows generation of large amounts of pure DNA from tiny amount of template strand and further study of a particular gene.

#### **Applications of PCR**

- Analyzing clinical specimens for the presence of infectious agents (e.g. HIV, hepatitis, malaria)
- Analysis of mutations causing genetic diseases. (e.g. cystic fibrosis, sickle cell anaemia, phenylketonuria)
- Used in forensics laboratories. Since PCR can make a large number of copies from a small number of template DNA, it is especially useful because only a tiny amount of original DNA is required (e.g. droplet of blood or a single hair).
- PCR is an essential technique in cloning procedure which allows generation of large amounts of pure DNA from tiny amount of template strand and further study of a particular gene.
- Sequencing of DNA depends on PCR.
- PCR has been used to identify, and to explore relationships among species in the field of evolutionary biology.
- In anthropology, it is also used to understand the ancient human migration patterns. In archaeology, it has been used to spot the ancient human race.
- PCR commonly used by paleontologists to amplify DNA from extinct species or cryopreserved fossils of millions of years old, and can thus be further studied to elucidate on their evolutionary relationships.

## Applications of Genetically Modified Organisms (GMOs)

The host with the extra trait introduced by genetic engineering techniques is called a genetically modified organism (GMO). Some related terms are Genetically Engineered Microorganisms (GEMs), transgenic organisms and living modified organisms (LMOs). The food and feed obtained from GMOs are called Genetically Modified Food (GMF). Since majority of the crop plants, farm animals and pets animals used today are genetically modified by domestication, it is important to note that what is referred to as GMOs in today's context are essentially the organisms derived as a result of rDNA technology.

(Note: It is important to realize that animal cloning is not a gene technology. It does not include any of the above mentioned steps.)

The steps in the process making a genetically modified plant or an animal are as follows.

1. Identification of a suitable gene
2. Isolation and purification of the gene
3. Amplification of the gene by cloning
4. *In vitro* modifications of the gene of interest
5. Amplification of modified gene by cloning
6. Transformation of the recipient cells (microbial cells, cells or protoplasts of plants or fertilized eggs of animals)
7. Screening for expression of the inserted gene
8. Monitoring for stable integration of the modified gene
9. Backcrossing to introduce the new trait to other varieties of crops and breeds of animals

The applications of gene technology can be found in many fields, including agriculture, medicine and industry.

### Applications of GMO in Agriculture

With the growing human population and decrease in agriculture land, it becomes obvious that , the crop yield per unit area needs to be increased. In order to achieve an economically sustainable agriculture, a higher crop yield should be obtained with a low cost of production. Improving the quality of foods is also a major concern in agriculture, in addition to the quantity. The green revolution from 1930s to 1960s increased the crop yield by introducing high yielding crops and use of artificial fertilizer and pesticides. However, impact of green revolution is also limited and is now being overcome by the genetically modified plants (GM crops). When a single plant cell is genetically modified, it can be regenerated in a plant, as plant cells are totipotent. When a useful

trait is introduced into a crop, it can be introduced to other varieties of the same crop by plant breeding.

Most significant contributions in crop yield enhancement by gene technology in agriculture are due to the production of GM crops that are resistant to

- pests and diseases
- herbicides and
- environmental stresses.

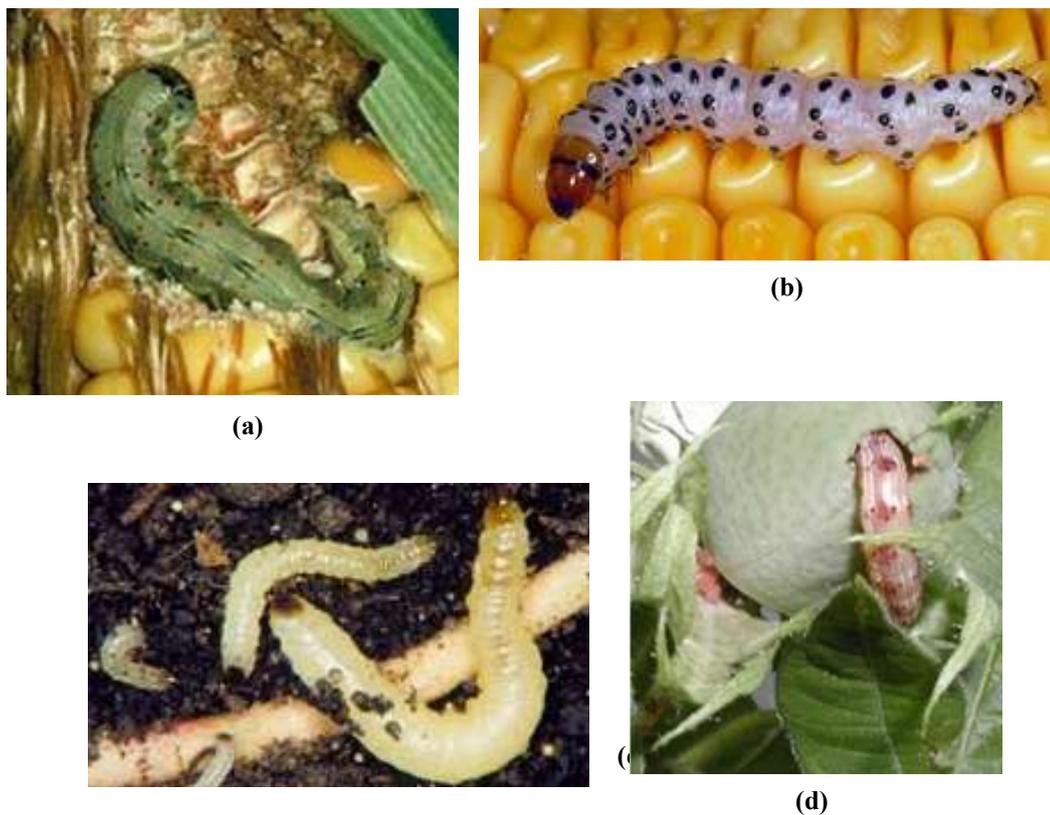
In addition, crops with higher nutritional values are also available, e.g. golden rice enriched with vitamin A, increased triglycerides content in Canola oil.

**Plants Resistant to Pests:** Several GM crops have been engineered with a gene producing a toxic protein that kills the plant eating larval stages of certain lepidopteron and coleopteron insects. Most widely cultivated pest resistant GM plants are cotton, maize, canola and potato. A GM rice variety resistant to lepidopteron insects is also available.

The protein is called Bt toxin, since the protein originally came from a bacterium; *Bacillus thuringiensis*. Different strains of this bacterium produce several different Bt toxins. When larvae feed on plant parts that express Bt toxins, they die due to the ingestion of the toxin.

Bt toxins are not harmful to mammals and are therefore considered safe for human consumption. However Bt maize (Bt corn) is grown mostly for biofuel and for animal feed. Since the toxin is present in the plant tissues, the only insects that are killed are plant pests and therefore Bt crops are also considered safe for beneficial insects. Figure 7.41 show some insect pests of corn and cotton. Bt toxins are natural products and therefore, are biodegradable.

However, the insects, when exposed to the same toxin over the time, develop resistance to that toxin, rendering the GM crop useless. Several solutions to delay the resistance development in insects have been proposed. Since the pollen grains containing the toxin can escape from the field where Bt crop is planted, insects that are not feeding on the crop may also be killed by accidental ingestion of such pollen. Therefore, Bt crops has a potential danger for non-target insects.



**Figure 7.41: Some insect pests of maize: a) Corn earworm, b) European corn borer and c) Corn rootworm. (d) Cotton bollworm**

### Plants Resistant to Diseases

A well known example of disease resistant crops developed by genetic engineering is the availability of new varieties of papaya resistant to papaya ringspot virus (PRSV). This virus is limiting the success of papaya cultivation worldwide. The same virus also attacks the cucurbits. Squash with resistance to the virus has been successfully developed and cultivated.

Other examples for disease resistant crops are potato varieties resistant to Potato Virus Y (PVY), Potato Leaf Roll Virus (PLRV) and late blight disease.



**Figure 7.43: Infected papaya fruit with papaya ring spot virus**

**Plants Resistant to Herbicides:** The herbicide tolerant crops (HTCs) are developed in order to be able to spray a broadspectrum herbicide to control weeds when the crop has been established in the field. When the crop is resistant to the herbicide that is sprayed, it can kill all the weeds without harming the crop. The advantage in this is that the farmers can wait and see if the weeds become a problem and can use herbicide only when it is necessary. This reduces the herbicide usage. However if the same herbicide is used repeatedly, the weeds resistant to that particular herbicide will develop. These are called superweeds. When a crop with resistance to one herbicide is planted after a GM crop with resistance to the same herbicide, leftover seeds of the previous crop may germinate and become a weed which cannot be controlled by the same herbicide. In order to avoid these problems, rotation of crops with tolerance to different herbicides can be practiced.

The best known examples of herbicide tolerant crops (HTCs) are crops modified to tolerate the herbicide glyphosate. These plants are known as “RoundUP Ready” crops because the commercial name of glyphosate is RoundUP. The examples of commercially available RoundUP Ready crops are cotton, maize, canola, soybean, sugar beet and wheat.

Another type of popular herbicide tolerant crops are called “Liberty Link” and “InVigor” and are resistant to glufosinate. Examples of glufosinate resistant crops include cotton, maize, canola, soybean, sugar beet and rice.

Cotton modified to tolerate Bromoxinol are called BXN Cotton.

### **GM Plants with other Important Traits**

Other agriculturally important traits include improving product quality.

One of the priorities in this area is to increase the nutritional value of crops.

- GM canola varieties with increased triglyceride component and with increased phytase enzyme to breakdown indigestible plant phytate to release phosphorus, GM potato with reduced amylase and increased amylopectin content and soybean with increased oleic acid content in the seed are commercially available.
- A rice variety named Golden rice with increased levels of provitamin A is an interesting example of product quality enhancement. The commercially available variety is modified with genes from *Pantoea ananatis*, a plant pathogenic bacterium containing a yellow pigment.
- Tomato with delayed fruit ripening to increase the flavour and reduce the rate of softening is another interesting development in GM crops. The source of the gene is tomato itself. A part of the gene is copied in reverse direction by changing the orientation of the promoter.
- Non-browning apples due to reduced polyphenol oxidation and maize with increased thermostability of amylase which helps in bioethanol production are other examples.
-

Among plants that can be genetically modified to tolerate the environmental stresses, only maize and soybean having drought resistance have been commercialized.

### **Applications in Medicine**

Human insulin, vaccines and other therapeutics are being produced using GMOs. These pharmaceuticals produced by GMO are cheaper, since mass production at low cost is possible and are considered safer.

Producing human insulin by genetically engineered *E. coli* is the best known example of the use of genetically modified organisms in producing pharmaceuticals. Insulin extracted from animals had various side effects on diabetic patients. The cost of production was also very high due to the limited amount of source to extract insulin. Since those insulins are not the same as human insulin, they were less effective. Nowadays the entire insulin supply is from *E.coli* genetically engineered by inserting insulin gene from human. Therefore the bacteria derived insulin is exactly the same as its original product.

Hepatitis B vaccine used today is a recombinant vaccine produced in yeast. Engineering plants to produce vaccines in their edible parts is a concept being experimented. The idea is to express an antigenic protein in the plant cells. When the edible part with the antigen (e.g. fruit) is consumed by someone; the person will develop antibodies against the antigen, so that immunity is developed against a particular disease. These are called edible vaccines, and if this becomes successful, low cost, safe vaccines can be produced and the delivery of the vaccine would be painless. Storage will also not be a main problem. Therefore these are more important in less developed regions of the world.

GM mammalian cells grown in cell culture are used to extract factor VIII used to treat haemophiliacs and tissue plasminogen activator (tPA) used to treat heart attack and stroke patients.

After the completion of human genome project, the causes for various genetic diseases are being identified with ease and speed. When the cause is identified, how to correct the mistake in the defective genes can also be worked out. The incorrect gene then can be replaced with the correct one by gene technology. If the problem is the expression of a specific gene, this technology can also influence the expression of that gene. This treatment is called gene therapy or human gene transfer. The DNA is delivered to the target cells extracted from the patient by using a viral vector or as naked DNA using various techniques. The cells with corrected gene are reintroduced into the appropriate tissue of the patient. Although the concept of gene therapy has a long history since 1972, only a few applications are available to date.

A gene therapy to treat leukemia is the first of that type in the USA. Another example of gene therapy is to replace the mutated beta-globin gene causing sickle cell anemia with the correct gene. During this procedure, bone marrow derived haematopoietic stem cells are extracted from the patient, the normal beta globin gene is inserted into these cells and return the modified cells back into the patient. The corrected bone marrow stem cells will produce normal erythrocytes.

The concept of personalized medicine is being developed, which is based on genetic information of the patient to treat or prevent diseases.

GM insects have been employed to control insect vector born disease. GM mosquitoes have been engineered which do not allow entry of malaria parasite into the gut, therefore breaking the life cycle of the parasite. Release of these mosquitoes into the wild would reduce incidence of malaria. Another example is the making of GM male mosquitoes carrying a male sterile gene. Mass release of these male sterile insects results in mating with females, but not producing offspring. This technology is call ‘sterile insect technology’ (SIT). In field trials in Brazil, the *Aedes aegypti* populations have reduced by 95% by the introduction of sterile GM males.

### **Applications in Industry**

Use of GMOs in industry has made it possible to produce novel products with low cost while minimizing environmental impacts. Industries based on organisms or their products run at ambient temperatures and pressures, demanding less energy.

Apart from the booming pharmaceutical industry based on GMOs and GM crop industry, some products originated from GMOs are produced industrially. Some enzymes for food processing and detergents are products of GEMs. Chymosin (rennin or rennet) is the first approved enzyme produced by GMO. This is used in cheese industry to coagulate milk to separate whey. Chymosin has been obtained by extracting it from stomachs of slaughtered calves. Since the supply was limited, the cost was high and it affected dairy industry. The chymosin gene from cattle has been engineered in yeast cells and these recombinant yeasts are the source of chymosin today. The price has gone down considerably and the product is purer and devoid of contaminants of animal origin. Amylomaltase is another enzyme produced by a GM *Bacillus* sp. This enzyme modifies starch to be used as an ingredient in dairy industry.

Aspartame, a strong sweetener, is a food additives produced by GM *E. coli*.

### Concerns of using GMOs

The most significant risk factor in use of GMOs is their possible unintended effects. Since this is a relatively new technology, public is reluctant to accept it readily. However the public also accepts the unlimited potentials of the GMOs. The debate between pro-GMO and anti-GMO groups, organizations and individuals is intensive. Some of the controversial issues about GMOs are mentioned below.

### Health Issues

#### Health Issues

1. Data of some experiments involving rats, mice and other animals showed some health implications after feeding with genetically modified food such as potato, maize, tomato and soybean. The reports include damage to various tissues such as stomach, liver and kidney and even increase in deaths. However, many other scientists question the methodology used in such experiments and they also claim that they could not reproduce the results. Therefore, more independent research is needed to confirm or to reject such claims.
2. Allergy development due to consumption of GM food or inhaling pollen of GM crops is discussed as another health issue. The integration of foreign DNA in the host cells may change gene expression or cause mutations, leading to unpredictable products. Some of them may be allergenic, toxic or even carcinogenic. However, concrete scientific research findings are not available or the findings are doubtful. The technology is now developed so that the insertion can be done at precise locations without disturbing other functions of the host.
3. The possibility of horizontal gene transfer of antibiotic resistant genes used as marker gene is also highlighted as a potential health issue. The GM foods contain such genes and are ingested in large quantities by consumers. Although this is a possibility, all organisms have barriers to horizontal gene transfer and therefore, chance of horizontal gene transfer into humans is very low. Horizontal gene transfer among bacteria is more probable, and hence transfer of antibiotic resistance to pathogenic organisms may have some health related issues. However, the antibiotics used in rDNA technology are not used in chemotherapy.

On the other hand, the humans as well as all other animals have been eating food of animal or plant origin ever since they were evolved, but there is no evidence to show genes transferred due to eating food.

### **Environmental Issues**

1. The development of insect tolerant crops may harm the non-target insect by accidentally ingesting the toxin produced in the GM crops. The toxin may be dispersed in pollen and deposit on non-crop plants which the insects feed on. An experiment has shown that Monarch butterfly larvae feeding on milk weed leaves dusted with pollen from GM crops die. However, proponents of GM argue that the amount of pollen dusted on the leaves is very much higher than the amount that could be deposited naturally.
2. Cross pollination will transfer the transgene (the foreign gene) to other non-GM varieties of the same crop as well as wild relatives of the crop. It can therefore, contaminate organic or non-GM farming.
3. When Bt gene is transferred to wild plants, the insects feeding on them will die causing environmental imbalances.
4. When the herbicide resistance genes are transferred to weeds, they cannot be controlled using the same herbicide, and therefore become super weeds.
5. The spread of a foreign gene in naturally growing plants is called gene pollution.
6. Since the herbicide tolerant crops are resistant to a particular weedicide, farmers may tend to over use this herbicide to keep their fields clean. If that is the case herbicide tolerant weeds will develop by frequent exposure of the weeds to the same herbicide. It is however argued that the farmers will spend money just to have a clean field, and they can use a "wait and see approach" in order to spray herbicides only when necessary rather than spraying as a precaution. Rotation of crops with tolerance to different herbicides will avoid development of such super weeds.
7. As GM crops are being accepted by farmers as well as consumers, the extent of land under cultivation will be dominated by GM crops limited to very few varieties. When the crop diversity is reduced to such a small number, the tolerance to environmental impacts become very low and a single environmental event may wipe out entire crop fields, leading to food scarcity.
8. Narrowing down of crop diversity will contribute to loss of genes from the crop gene pool.

### **Socio-economic Issues**

1. The newly developed GM crop varieties will be owned by the developers and patented. Therefore the farmers are compelled to purchase their seeds every year from giant seed companies with a monopoly, spending a lot of money. Poor farmers may not be able afford to buy the seeds, and therefore, there is a risk of widening the gap between the rich and the poor farmers.

2. There is a growing public concern whether it is ethically correct to patent crops and biological resources including genes that are found in nature. Some crops and products that have been developed traditionally and used by indigenous people have been patented under some biotechnology companies.
3. The consumer has the right to decide whether he/ she purchases GM or non-GM food. To secure this right, the regulatory agencies need to implement a system of labeling to indicate clearly whether the product is GM or not and if it is GM, then what are the changes that have been made. Labeling is mandatory in some countries. However products labeled non-GM have often been found to be contaminated with GM when tested.
4. Biological resources of a country or region with high biodiversity and traditional knowledge are taken away by biotechnology companies without any authorization by the countries and people or without paying compensation for product development. This is called biopiracy.
5. The manipulation of the nature in making GMOs contradicts the beliefs of certain religions.

In order to address potential risks and hazards of GMO, GMF and associated processes, a thorough testing and screening procedures have come into effect. As such the process of producing and commercialization of GMO and GMF are under strict control of several legislations and authorities in order to safeguard the consumer, society and environment. Approval of some GMOs has taken 25 years from the creation to market (e.g. GM Atlantic Salmon which grow twice as faster than non GM).

An example of an international agreement is Cartagena Protocol. Many countries also have their own legislations, such as National Biosafety Framework of Sri Lanka.

### **Cartagena Protocol on Biosafety**

Cartagena Protocol on Biosafety to the Convention on Biological Diversity is an international agreement signed on 15<sup>th</sup> May, of 2000 in Montreal, Canada as a supplement to the Convention on Biological Diversity (CBD) signed in 1992, at the Rio- Earth Summit and is effective from 1993. Cartagena Protocol on Biosafety came into effect from 11<sup>th</sup> September, of 2003. It is called Cartagena Protocol on Biosafety since it had been originally scheduled to sign in Cartagena in Colombia. The provisions in CBD were not sufficient to cover many aspects in biodiversity related to the GMOs. The number of signatories to the Cartagena Protocol has exceeded 100. This includes Sri Lanka which gave formal consent to the protocol on 28<sup>th</sup> April, of 2004.

The aim of the Cartagena Protocol on Biosafety is to protect the biological diversity from the

potential risks caused by genetically modified organisms or living modified organisms (LMOs) created as a result of modern biotechnology. CBD defined biotechnology as “any technology that uses biological systems, living organisms, or derivatives thereof, to make or modify products or processes for specific use”. The Protocol is based on ‘precautionary principle’ of CBD so that products of new biotechnologies should follow rigorous control measures to avoid any potential risk to the environment or human health and is applied to transboundary movement, transit, handling and use of LMOs that may have adverse effect on the conservation and sustainable use of biological diversity. This also applies to risks to human health. The provisions of the Protocol are intended to allow developing nations to balance public health against economic benefits. Countries and states may take appropriate actions to limit entering of an LMO to their territory if they feel that there is lack of scientific information to ensure that the LMO is safe on environment or human health. The LMOs maybe intended to be introduced into the environment or to be used as food or feed. The shipment should accompany with appropriate documentation which identify the LMO and whom to contact for further information. Sufficient information should be supplied by the importer or exporter to the importing party to arrive at an informed decision on accepting or rejecting the LMO imports and how to handle them in a safe manner.

The Protocol established a ‘Biosafety Clearing House’ (BCH) to assist parties to implement the protocol by facilitation exchange of scientific, technical environmental and legal information on and experience with movement of LMOs.

Sri Lanka signed the Protocol in May, 2000 and it is in force in Sri Lanka from July, 2004. Ministry of Environment and Natural Resources was identified as the responsible institution for coordinating the activities related to the Protocol.

### **National Biosafety Framework of Sri Lanka**

The drafting of the National Biosafety Framework of Sri Lanka (NBFSL) was completed in 2005 by Ministry of Environment and Natural Resources (now Ministry of Mahaweli Development and Environment, MoMDE). This is based on a precautionary approach in accordance with Cartagena Protocol on Biosafety with the objective of ensuring that the risks likely to be caused by modern biotechnology and its products will be minimized and biodiversity, human health and environment will be protected in a maximum way regulating the transboundary movements through formulation of relevant policies, regulations, technical guidelines and establishment of management bodies and supervisory mechanisms.

National Biosafety Framework of Sri Lanka is the starting point for a permanent legislative framework on Biosafety in Sri Lanka. Based on the NBFSL two policies have been formulated.

- National Biosafety, Policy 2005 is one of those. The policy on biosafety sets the overall framework in which adequate safety measures will be developed and put into force to minimize possible risks to human health and the environment while extracting maximum benefits from any potential that modern biotechnology may offer.
- A National Policy on “Access to Biological Resources, sustainable Use and Benefit Sharing” has been formulated in 2013 by MoMDE with the goal of “ensuring conservation and sustainable use of biological resources and the fair and equitable sharing of benefits arising from them” in accordance with Cartagena Protocol on Biosafety and the National Biosafety Framework of Sri Lanka.

However these policies have not yet been enacted by law.

The Target 12 of the National Biodiversity Strategic Action Plan for 2016-2022 of Biodiversity Secretariat of the MoMDE states that “by 2022 biosafety is assured”. The actions to be taken in this direction are

- (1) strengthening policy on biosafety
- (2) implementing biosafety master plan and formulate biosafety legislature
- (3) strengthening risk assessment procedures for new technologies
- (4) strengthening capacity for risk assessment
- (5) developing and implementing legal instruments to protect native biodiversity and indigenous crops from contamination from GMOs and
- (6) enhancing Sri Lanka’s scientific capacity on biosafety.

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# 08

## Environmental Biology

**What is environment ?** The environment is the complex of physical, chemical, and biotic factors that act upon an organism or an ecological community and ultimately determine its form and survival

**What is environmental biology?**

**Definition:** A scientific study of the origins, functions, relationships, interactions, and natural history of living populations, communities, species, and ecosystems in relation to dynamic environmental processes.

In other words, Environmental biology is the study of different biological components of the environment and its relationship is with each other and with the abiotic components.

**Why learn Environmental Biology?**

By learning of environmental biology will be able to understand the structure and the functions of the environment we live in. Specifically to:

- understand how biotic and abiotic components of the environment are linked and interact with each other,
- recognize organizational levels of the environment,
- learn about major processes of an ecosystem,
- recognize different components of terrestrial and aquatic ecology,
- understand how human activities are altering ecological systems and
- understand how natural changes in the environment (such as climate change, flooding, erosion etc) affect species and habitats.

**Organizational levels of the environment**

Several levels of organization are recognized by environmental biologists.

Levels of organization include the individual/ organism , population, community, ecosystem, and

biosphere.

- Individual/ Organism – The first level is an individual. An individual is any organism or living thing. An organism has its own characters in physiology, evolution and behavior in relation to environmental factors.
- Population – A Group of individuals of the same species, living in the same area and producing fertile offspring through interbreeding.
- Community – A collection of populations of different species living in the same area interacting with each other.
- Ecosystem – An ecosystem is a collection of communities as well as the abiotic factors with which they interact.
- Biosphere – includes the entire portion of Earth that is inhabited by life.

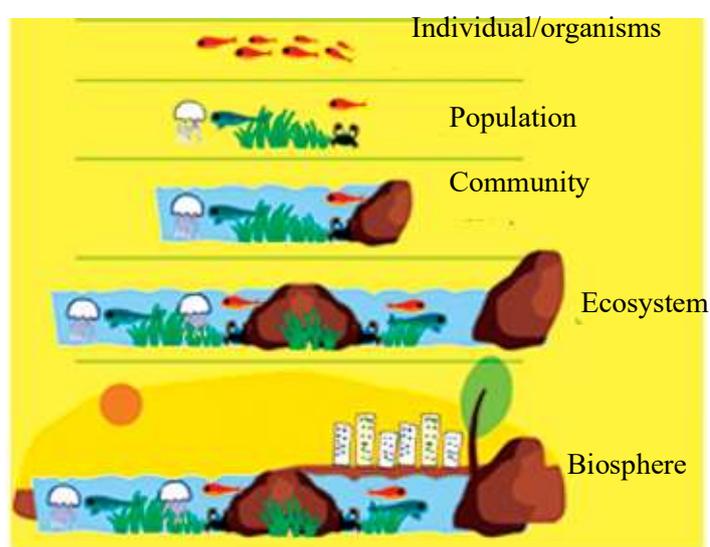


Figure 8.1: Organizational levels of an ecosystem

### Major biotic and abiotic components in the environment

All Organisms live in a complex environment that includes several components which are categorized under two major groups:

- Abiotic components – The nonliving components (physical and chemical factors) of the environment such as water, air, light, temperature, nutrients, sunlight, soil. Abiotic resources are usually obtained from the lithosphere, atmosphere, and hydrosphere. Abiotic components limit the geographic range (distribution) and the abundance of species.
- Biotic components – the living components of the ecosystem include plants, animals, fungi, bacteria and protists etc.

### Structure and function of ecosystems: The concept of “Niche” and “habitat”

To understand how an ecosystem work, one should first look into the concepts of “niche” and “habitat.”

**Niche**

A niche is the role that a particular organism plays in the ecosystem. Each organism plays a particular role in its ecosystem. In other words, a niche is how an organism “makes its living.” This involves how the organism gets its energy, which usually has to do with what an organism eats, and how the organism passes that energy through the ecosystem. An organism's niche also includes how the organism interacts with other organisms, its role in recycling nutrients, tolerance to environment conditions such as temperature, soil moisture, etc. Therefore niche of an organism describes what it needs to live and what it does in a particular ecosystem.

**Habitat**

The habitat is the physical area where a species lives. Many environment factors are used to describe a habitat. The average amount of sunlight received each day, the range of annual temperatures, and average yearly (annual) rainfall describe a habitat. These and other abiotic factors of the environment such as soil condition will determine the kind of traits an organism must have in order to survive there. A habitat should not be confused with an ecosystem: the habitat is the actual place of the ecosystem, whereas the ecosystem includes both the biotic and abiotic factors of the habitat.

**Interactions between abiotic and biotic components of the environment**

There are different types of interactions in an ecosystem. They are:

- Biotic-biotic interactions- e.g. competition, feeding relationships, symbiotic relationships between individuals and species.
- Biotic-abiotic interactions- e.g. water uptake of plants from soil
- Abiotic-abiotic interactions-e.g. chemical reactions occurring in the soil.

Feeding relationships within an ecosystem develop food webs and food chains through which energy is passed from one organism to another.

**Energy transfer in an ecosystem**

The main energy provider for the earth is the sun. Using sunlight the green plants produce their own energy and store in tissues. The source of energy required by all living organisms is the chemical energy of their food. Ultimately, chemical energy in any organism is obtained by the conversion of the radiant energy of sun which are stored in tissues of plants and animals.

**Trophic level:**

The producers and consumers in ecosystem can be arranged into several feeding groups, each known as trophic level (feeding level). In any ecosystem, producers represent the first trophic level, herbivores represent the second trophic level, primary carnivores represent the third trophic level and top carnivores represent other levels.

## Food chain

A food chain is a linear sequence of organisms through which nutrients and energy pass from one trophic level to another trophic level of an ecosystem beginning with a primary producer. Let's look at the parts of a typical food chain, starting from the bottom (the producers) and moving upward.

### primary producers

At the base of the food chain lie the primary producers. The **primary producers** are autotrophs and are most often photosynthetic organisms such as plants, algae, or cyanobacteria. These organisms convert light energy to chemical energy or in another words produce organic matter.

Primary production – is the amount of organic matter produced by autotrophs in a given area during a given period of time. (Unit:  $\text{gm}^{-2}\text{day}^{-1}$  or  $\text{kg ha}^{-1}\text{year}^{-1}$ )

The organisms that consume (eat) the primary producers are called primary consumers. Primary consumers are usually herbivores (plant-eaters), though they may be algae eaters or bacteria eaters.

The organisms that consume the primary consumers are called secondary consumers. Secondary consumers are generally carnivores (meat-eaters).

The organisms that consume the secondary consumers are called tertiary consumers. These are carnivore-eating carnivores, such as Eagles or big fish species.

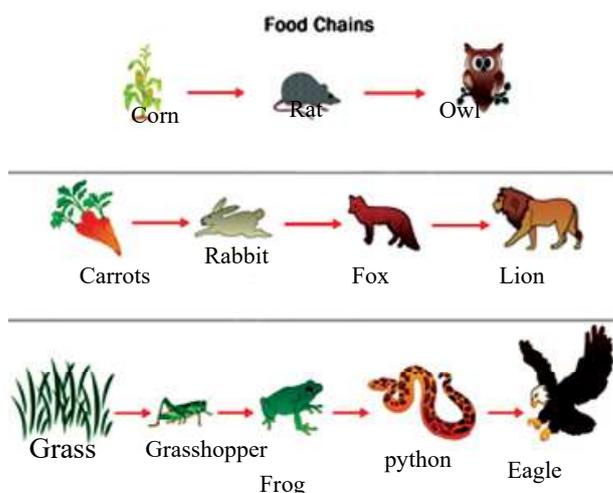


Figure 8.2: Food chains in a terrestrial ecosystem

### Food web

In a natural ecosystem isolated food chains do not exist. The food chains are interconnected with each other to form food webs. The food web is an interconnected feeding relationships in an ecosystem. (Campbell, 10th edition, 2015)

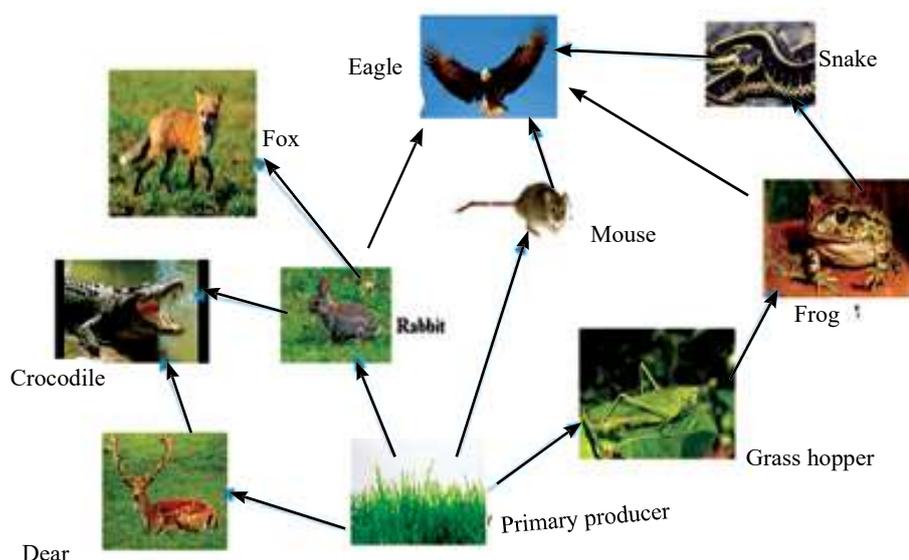


Figure 8.3 : Example for food web in a terrestrial ecosystem

### Energy loss along food chains

The flow of energy along a food chain is always unidirectional. At each trophic level in the food chain, a considerable fraction (about 90 %) of the potential energy is lost as heat and respiration. As a result, organisms in each trophic level pass on lesser energy (about 10 %) to the next trophic level than they actually receive. This limits the number of trophic levels in any food chain to four or five. The most ecologically efficient food chain is the shortest one. Longer the food chain the lesser energy is available for top members. Because of this tapering off of available energy in the food chain a pyramid is formed that is known as an ecological pyramid. Shorter food chain has more energy available even at the highest trophic level than that of longer food chain.

### Ecological pyramid:

The trophic structure of an ecosystem can be indicated by means of ecological pyramid. The higher the steps in the ecological pyramid the lower will be the number of individuals and the larger their size.

The concept of ecological pyramid was developed by Charles Elton; these pyramids are also known as Eltonian pyramids. The pyramids are a graphical representation which depicts the

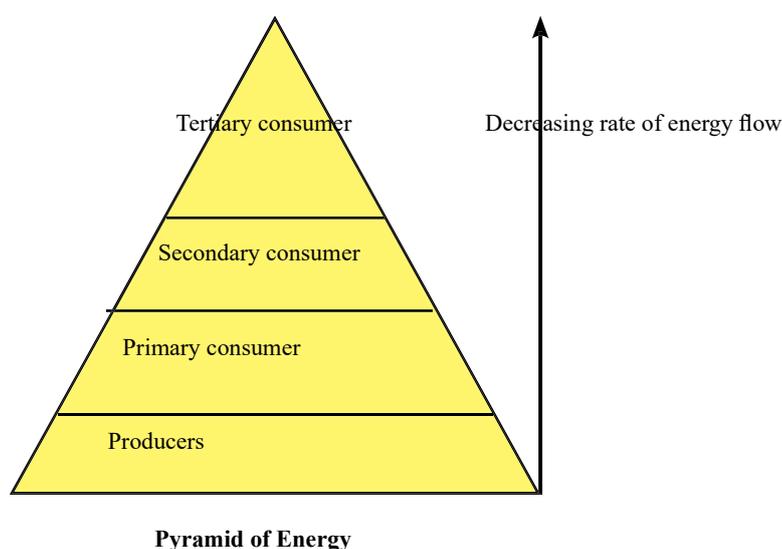
number of organisms, biomass and productivity at each trophic level. All ecological pyramids begin at the bottom with the primary producers and they proceed to various trophic levels such as herbivores consume plants, carnivores prey on herbivores and so on. The highest level is at the top of the food chain. There are three types of ecological pyramids:

- Pyramid of energy
- Pyramid of numbers
- Pyramid of biomass

### Pyramid of Energy

Pyramid of energy represents the amount of energy at different trophic levels. The pyramid of energy or the energy pyramid describes the overall nature of the ecosystem. As there is considerable loss of energy during the flow of energy from organism to other, the energy pyramid always upright and vertical.

- This pyramid shows the flow of energy at different trophic levels.
- It depicts the energy is minimum as the highest trophic level and is maximum at the lowest trophic level.
- At each trophic level, there is successive loss of energy in the form of heat and respiration, etc.



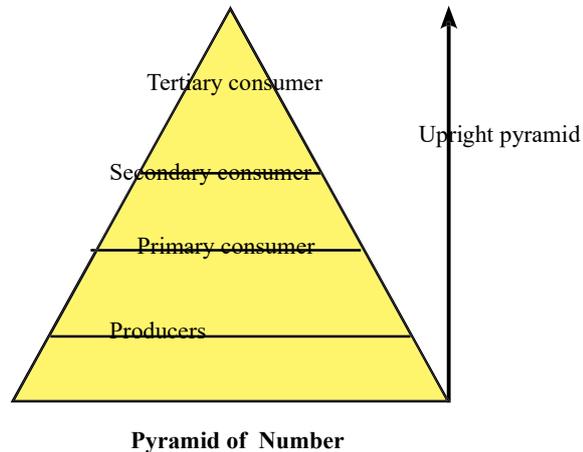
### Pyramid of Numbers

The pyramid of numbers depicts the relationship in terms of the number of producers, herbivores and the carnivores at their successive trophic levels. There is a decrease in the number of individuals from the lower to the higher trophic levels. The number pyramid varies from ecosystem to ecosystem. There are three types of pyramid of numbers:

- Upright pyramid of number  
e.g. Producers → Herbivores → Carnivores

- Inverted pyramid of number.  
e.g. Host  $\longrightarrow$  Parasites

This type of pyramid number is found in the aquatic and grassland ecosystem, in these ecosystems there are numerous small autotrophs which support lesser herbivores which in turn support smaller number of carnivores and hence this pyramid is upright.



### Pyramid of Biomass

The pyramid of biomass is more fundamental. In this pyramid there is a gradual decrease in the biomass from the producers to the higher trophic levels. As the fresh weight of biomass contains a larger amount of water, dry weight of the biomass represents the amount of energy available in the form of organic matter of an organism.

There are two types of pyramid of biomass, they are:

- Upright pyramid of biomass and -This occurs when the larger net biomass of producers support a smaller biomass of consumers.  
Example: Forest ecosystem.
- Inverted pyramid of biomass.  
e.g. In some aquatic ecosystems phytoplanktons supports larger primary consumers.

#### 8.4: Upright pyramid of Biomass



### Cycling of materials in an ecosystem

The materials available for living organisms in the ecosystem is limited. Therefore these materials should be recycled. The material stock in the ecosystem is decreased when the organisms such as animals, plants, etc utilize them. Therefore when the organism is died, they are decomposed by decomposers. Thus those materials will be available for the organisms and absorb them into their body, assimilate and the excess will be removed as waste materials to the environment.

### What is a biome?

A biome is a large geographical area which is classified based on the predominant vegetation adapted to that particular environment. The climate and geography of a region determines what type of biome can exist in that region. Each biome consists of many ecosystems whose communities have adapted to the small differences in climate, topography and soil conditions within the biome. Major terrestrial biomes include tropical forest, savanna, desert, chaparral, temperate grassland, temperate broad leaf forest, northern coniferous forest and tundra.

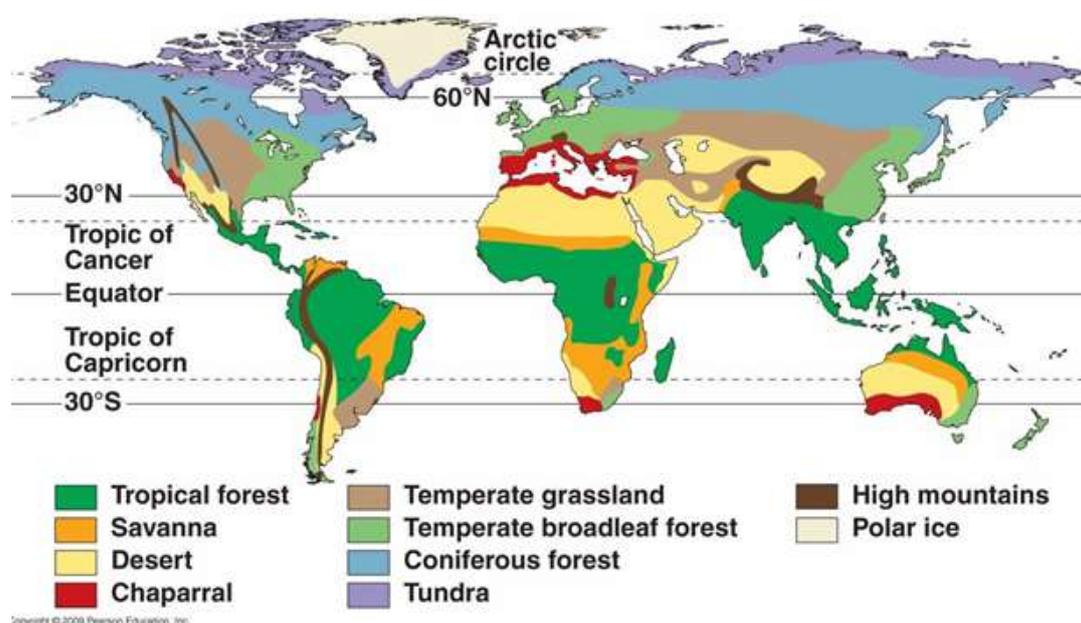


Figure 8.5: World distribution of different types of biomes

#### 1. Tropical forests

This biome is distributed close to the equator and extends to the sub equatorial areas. Therefore both tropical rainforests and tropical dry forests are included.

The average annual rainfall in tropical rain forests is about 2000 -4000 mm and in tropical dry forests it is about 1500- 2000 mm. Seasonal rainfall with a dry season of 6-7 months is prominent in the dry forests and a fairly constant rainy season is prominent in the rainforests.

Tropical rainforests have an average temperature of 25 -29 °C, whereas in the tropical dry forests it may reach up to 33 °C.

In tropical rainforests an emergent layer, canopy and sub canopy layers are visible. These are followed by an understory layer which consists of shrubs, and large herbaceous plants. The forest floor consists of small herbaceous plants, mushrooms, and a thick layer of dried plant litter. Therefore the vegetation is arranged in several vertical layers and stratification is observed.

Evergreens are prominent in tropical rain forests whereas in dry forests leaves of deciduous species fall in the dry season. Epiphytes are common in this biome. However in dry forests they are less common. Shrubs with thorns as well as succulent plants often occur in dry forests. Tropical forests constitute the higher diversity of plants and animals out of all terrestrial biomes. It has many mammal species and 5-30 million species of arthropods some of which have not been fully described yet. Animals in this biome have adaptations to suit the environment.

Animals in the rainforests show year round activity. Camouflage is common among small animals. Large animals may have markings in their bodies. Birds often migrate to other regions during dry period.

Human involvement in agriculture and development related activities has led to destruction of these forests.



**Figure 8.6: A tropical forest**

## **2. Savanna**

Savanna is spread close to the equator and subequatorial areas.

The average annual rainfall in this biome is around 300-500 mm. A prolonged dry season of approximately 8-9 months is prominent. The average temperature range is 24- 29 °C. However in subequatorial areas seasonal variations may occur.

Savannas are characterized by a landscape that supports scattered trees in a tall grass cover. During dry periods the dried grasses catch fire easily. Therefore grasses adapted to withstand drought, naturally grow in savannas. These grasses have a very good underground connectivity. The few herbs found among grass cover contain small leaves to reduce transpiration and thorns to be protected from herbivores.

Seasonal rains encourage a fresh growth of grasses to compensate the loss of biomass due to consumption by herbivores.

Many insects (mainly termites), lions, zebras are the common animals in this region. Many animals have effective locomotion for long distance migration and long range vision for



**Figure 8.7: A Savanna**

hunting. Human settlement from a very early time has been reported in these areas. Due to rearing of cattle and hunting of animals by humans the population of mammals have been decreased. Fires caused by human aid help to maintain the grass cover of this biome in one way but it may also have a negative impact by suppressing the growth of trees.

### 3. Desert

Desert is a temperate or tropical biome, commonly occurring near 30° north and south latitudes (e.g. Sahara), and in the center of continents (e.g. Gobi desert in north central Asia). The distribution of this biome is determined by the non availability of water such as in areas with annual average rainfall is less than 3000 mm per year. The temperature varies seasonally and daily and exceeds 50 °C in hot deserts while in cold deserts it drops below -30° C.

Deserts have more bare lands. If the vegetation is found they are sparse and widely scattered. Desert plants have several adaptations to withstand the high temperature and scarcity of water.

- Succulent plant body (e.g. Cacti and Euphorbs)
- Most plants have C4 pathway of photosynthesis
- Deep roots in shrubs
- Ability to tolerate heat and desiccation
- Reduced surface area of leaves
- Presence of spines/ thorns
- Presence of toxins in leaves
-

Nocturnal animal species are abundant in deserts. Water conservation is a prominent feature, with some species surviving solely on water obtained during the breaking down of carbohydrates in seeds. Animals include, snakes, lizards, beetles, ants, scorpions, rodents and birds.

Since these areas are converted into human settlements and agricultural lands, the natural biodiversity is reduced. Deep wells and well developed systems for transporting water has facilitated human settlement and agricultural lands.



**Figure 8.8 : A Desert**

#### **4.Chaparral**

Chaparral occurs in mid latitude coastal regions such as North America, Chile, Spain, Southern France and South Africa. Chaparral is best developed in Southern California.

Chaparrals receive an average annual rainfall of 300-500 mm. They experience rainy winters and dry summer. The average temperature is between 10 to 12 °C but can reach up to 40 °C.

The Chaparral biome is typically composed of dwarf forests and shrubs, and interspersed herbaceous vegetation which include grasses and herbs. Chaparral is highly prone to events of catastrophic wildfire. Therefore plants show the some of the following adaptations;

- Seed germination occurring only after a hot fire.
- fire resistant roots.
- Uses of food stored in the fire resistant roots for resprouting after a fire.
- Quick re -sprouting enables usage of nutrients released by the fire.
- Tough evergreen leaves in woody plants to survive in droughts.

Most of the native mammals in chaparrals are browsers. They include deers and goats. Chaparrals are rich in diversity of small mammals, and several species of amphibians, birds, reptiles and insects.

These areas have been reduced and disturbed due to human settlement, urbanization and agricultural conversions. Chaparral is vulnerable to fire caused by human activities.

#### **5. Temperate grasslands**

These grasslands occur under temperate climatic regimes that are intermediate to those that support forest and desert. Grasslands in North America are called prairie (they are often called

steppe in Eurasia and called pampas in Argentina).

Precipitation is highly seasonal with periodic drought. These grasslands have relatively dry winters and wet summers. The average rainfall is 300 to 1000 mm per year.

During winter seasons the average temperature falls below  $-10^{\circ}\text{C}$  and in summer it is about  $30^{\circ}\text{C}$ .

Grasses are the dominant plant species in these grasslands. The prairie is often divided into three types according to height of the dominant vegetation—tall-grass, mixed-grass, and short-grass. The height of grasses vary from few centimeters to two meters in tall grass prairie. Fire and droughts are occurred in these grasslands but many plants growing in those areas have adaptations to cope with fire.

Large grazers such as horses and many types of burrowing mammals (prairie dogs in North America) are found in these areas.



The tall-grass prairie is now an endangered natural ecosystem, because it has been almost entirely converted to agriculture and farmlands.

**Figure 8.9 : Temperate grassland**

## 6. Temperate broadleaf forest

Distribution of temperate broadleaf forests are at mid latitudes in the northern hemisphere. The average annual precipitation is between 700 to 2000 mm. Significant amount of precipitation is received throughout the year in summer as rain and in winter as snow.

Average temperature during winter is zero while in summer it is up to  $35^{\circ}\text{C}$ . Summer is hot and humid and allow a favourable time to the growth of trees.

The dominant trees are mostly deciduous. A vertical layering (stratification) can be seen in temperate broadleaf forests. They are closed canopy layer, one or two strata of understory trees, shrub layer and herb layer. There are only few epiphytes can be found.

Many mammals can be seen and they hibernate during winter seasons while the birds species migrate to areas where climate is warmer.

Forests are disturbed due to logging, clearance for agriculture and for human settlements.

### 7. Northern coniferous forest

The largest biome on earth is the northern coniferous forest. It is extended as a broad band from upper band of Arctic tundra.

Precepitation: Annual average precipitation is around 300- 700 mm and a periodic drought is also common.

The temperature is  $-50^{\circ}\text{C}$  in winter and  $20^{\circ}\text{C}$  in summer.

The northern forest is dominated by coniferous trees. e.g. Fir, Pine, spruce are common tree species.

Many conifers are conical in shape and this shape of trees prevents accumulation of snow, thus preventing the breaking down of branches. They have needle like leaves that prevent high transpiration.

The diversity of shrubs and herbs in these forests is less than that of temperate broad leaf forests because of the limitations in precipitation and warm temperature.

Commonly found mammals are brown bears, moose, and siberan tigers. During some period of time dominant plants can be killed by sudden attacks of insects.

These areas are not heavily populated by humans, but logging is a severe threat.



**Figure 8.10 : Northern coniferous forest**

### 8. Tundra

This biome covers expansive areas of the arctic region, amounting 20% of earths land surface. Alpine tundra occurs at high altitudes on mountains, while arctic tundra occurs at high latitudes. Most tundras receive very small inputs of water as precipitation, but nevertheless their soil may be moist or wet because there is little evaporation in such cold climates, and deep drainage may be prevented by frozen soil.

The arctic tundra annually receive an average precipitation around 200 to 600 mm and the alpine tundra receives  $> 1000$  mm precipitation respectively.

During the winter time temperature falls below  $-30^{\circ}\text{C}$  and during the summer temperature it is always less than  $10^{\circ}\text{C}$ .

Mostly herbaceous plants can be seen that includes different types of grasses and forbs. Shrubs, mosses, lichens and trees also can be found in tundra. A layer of permafrost which is a permanently frozen layer of soil can be seen. This permafrost layer restrict the growth of the roots of plants.

Large grazing mammals such as Caribou and reindeer (migratory), musk oxen (resident) and predatory wolves, foxes, bears together with many species of migratory birds that nest during summer can be seen in this biome.

This area is sparsely colonized by humans, but heavily used for extraction of minerals and oils.



**Figure 8.11 : The tundra**

### **Ecosystems of Sri Lanka**

Sri Lanka is in the north-equatorial tropical zone. Therefore it experiences a climate with high rainfall and temperature which permits the country to be blessed with a wide array of terrestrial and aquatic ecosystems. The ecosystems can be broadly classified into groups as follows.

1. Terrestrial ecosystems-
  - a. Forests-Lowland rain forests, Dry monsoon forests, Montane forests, Thorn scrubs
  - b. Grasslands- Savanna, patana
2. Inland wetland ecosystems- Rivers and streams, Reservoirs, Marshes and swamps, villus
3. Ecosystems is with coastal areas- Lagoons and estuaries, Mangroves, Coral reefs, Sea shore, Sand dunes, Sea grass beds, Salt marshes.

The distribution of major vegetation types may be considered as broadly linked to the local climate (mainly rain fall and temperature), topography, and the edaphic (soil) conditions. A broad classification of major ecosystems of Sri Lanka is given below.

**Table 01: Classifications of eco systems in Sri Lanka**

Climatic zone	Parameters T: temperature (°C) R: Rainfall (mm) Alt: Altitude (m)	Forests	Grasslands	Other
Arid zone	T 32-36 R < 1000 Alt < 300	Tropical thorn scrubs	Arid	Salt marsh Mangroves
Dry zone	T 28-32 R: 1000-1500 Alt < 500	Tropical dry mixed evergreen forests	Damana Talawa Savanna	Sea shore Dunes Coastal marine,
Intermediate zone	T 24-28 R 1250-2000 Alt 500-1500	Tropical moist evergreen forests, Tropical sub montane forests	Savanna Dry Patana	Reservoirs, rivers streams, and riverine Wetland Marshes Villus
Wet zone	T 16-28 R > 2000 Alt 300-1000  Alt 900-1500  Alt > 1500	Tropical lowland wet ever-green  Tropical sub-montane  Tropical upper montane	Talawa  Dry Patana  Wet Patana	

Source; National Atlas of Sri Lanka, 2<sup>nd</sup> Edition, Survey department of Sri Lanka

### **Tropical wet low land rainforests:**

The tropical wet lowland rainforests are found below 900 m elevation in the south western quarter of the country. The mean annual temperature is about 28 °C, and the mean annual rainfalls varies between 2000 mm- 5000 mm in different locations without any dry period. The humidity is very high.

These forests are characterized by a tall canopy, sub canopy and a sparse shrub layer consisting mostly of the saplings of canopy trees. Sometimes an emergent layer is also seen above the canopy.

The vegetation is filled with a network of woody lianas that reach to the canopy layer. A diverse population of epiphytic lichens, mosses, liverworts ferns, orchids are found while many fungi inhabit decaying woods and soil. High endemism of floral and faunal diversity can be seen in these forests. E.g. Sinharaja, Nakiyadeniya and Kanneliya are some examples for wet lowlands rainforests. These forests are disturbed due to expansion of tea cultivation, agriculture, industrial and residential development.

Some tree species found in wet lowland rain forests are;

- S: Hora, T: Ennai (*Dipterocarpus zeylanicus*)
- S: Naa, T: Nagai (*Mesua ferrea*)
- S: Hal, T:Kungiliyam pinai (*Vateria copallifera*)

Some animal species found in the wet lowland rainforests are Purple faced langur, Sri Lanka slender loris, Golden wet zone palm civet.



**Figure 8.12 : Tropical wet lowland rain forests**

### **Tropical montane forests**

They occur at elevation beyond 1500 m above mean sea level. The average temperature is about

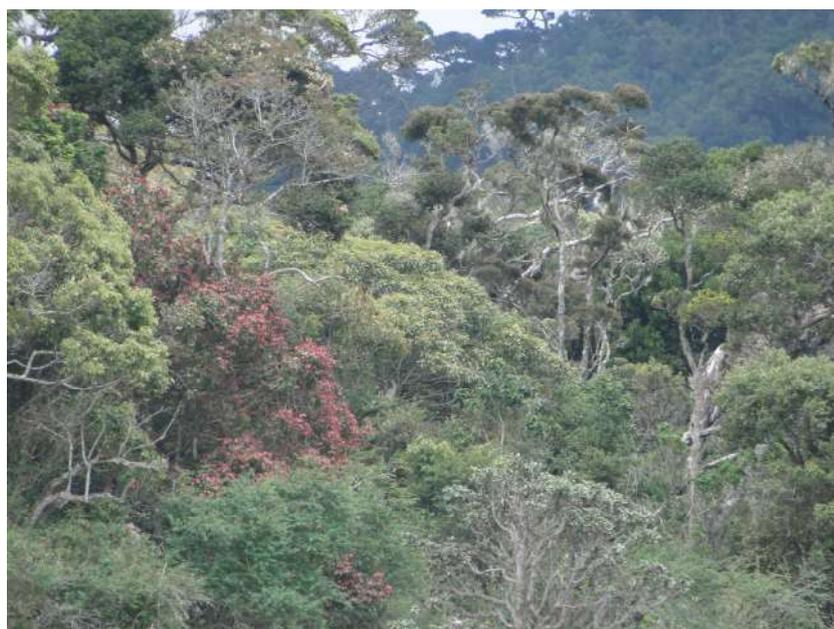
16 °C and rainfall is about 2000 mm without any dry period. The humidity is high as lowland rain forests.

These forests are characterized by a short canopy of about 13 m, with a dense shrub layer. There are trees with umbrella- shaped rounded crowns and twisted branches having leathery small leaves to accommodate the strong winds that prevail in the montane zone. High density of epiphytes cover the branches and stems of trees. Some plants found in these forests are;

- S: Keena, T: Pongu (*Callophyllum walkeri*)
- S: Walkurudu, T: Kaatu karuwa (*Cinnamomum ovalifolium*)
- S: Gal weralu, E: wild olive (*Elaeocarpus montanus*)

Some animals that inhabit montane rainforests of Sri Lanka are Sri Lanka Yellow eared bulbul, Sri Lanka highland Shrew, Sambar.

Montane forests are distributed in central hills including Knuckles range, Piduruthalagala, Hakgala. Montane forests were greatly affected due to tea cultivation.



**Figure 8.13 : Tropical montane forests**

### **Tropical dry mixed evergreen forests/Dry monsoon forests**

These forests have mean annual temperature of about 29 °C and rainfall around 1000 mm- 1500

mm., most of which falls during the northeast monsoon periods. There is a marked dry spell from May to August. Above forests are distributed in areas below 300m altitude.

These forests are characterized by having sparse canopy, a sub canopy and a well-developed shrub/herb layer. Some plant species naturally found in these forests are

- S: Weera T: Virai (*Drypetes sepiaraia*)
- S: Palu, T: Paalai (*Manilkara hexandra*)
- S: Kaluwara, T: Karun-kaali (*Diospyros ebenum*)

Some of the canopy trees are deciduous during the dry spell of the year. These forests harbor the largest elephant populations in Asia. The mammal fauna includes leopard, bear, deer. Most of the forests are protected as National parks such as Yala National park, Wilpattu National Park, Wasgamuwa National Park, Maduru-Oya national park, Ritigala Strict Nature reserve and many sanctuaries and forest reserves.

These forests are disturbed by ‘chena’ cultivation, human settlements, extraction of timber, poaching etc.



**Figure 8.14: Tropical dry mixed evergreen forests**

### **Tropical thorn scrubs**

These are called “scrubs” than “forests” as large trees are sparse and the vegetation is mainly consists of thorny shrubs. They are found in arid lowlands. The mean annual temperature is around 31 °C and rainfall is below 1000 mm with a longer dry period.

The following plant species are commonly found ;

- S: Gini- andara , T:Vindattai (*Dichrostachys cineria*)
- S: Ranawara, T: Avaram poo (*Cassia auriculata*)
- S: Heeressa, T: Pirandai (*Cissus quadrangularis* )

Due to the dry conditions many fauna species cannot be found. However Deer, Leopard, elephants can be seen in these areas. They are distributed near Hambantota, Yala, Mannar, Puttalam areas and disturbed due to various development pressures.



**Figure 8.15 : Tropical thorn scrubs**

### Savanna

Savannas have a thick grass cover and few scattered trees. These are common on hill slopes of the dry or intermediate zone. The thin layer of soil on hill slopes cannot support the growth of trees and the grass cover of savannas helps to bind soil particles and reduce erosion of soil.

Periodic fires are common to this ecosystem as the dried grass cover easily catch fire in dry period of the year. They have trees with fire resistant species.

Some plant species found in Savanna are trees are;

- S: Aralu, T: Kadukkay (*Terminalia chebula*)
- S: Nelli, T: Topu- nelli (*Phyllanthus emblica*)
- S: bulu , T: Adhan- koddai, tanti (*Terminalia bellirica*) and
- have grass species such as
- S: Mana, E: Citronella grass , Vasanai pullu (T) (*Cymbopogon nardus*)
- S: Iluk E: Cogon grass T:Tharpai pullu (*Imperata cylindrica*)

Savannas are seen in Bibile, Monaragala, Mahiyanganaya, Wellawaya areas. These are distributed

by periodic fires set by villagers for various reasons.

### Patana

There are two types of 'Patana' found in Sri Lanka; wet patana grassland and dry patana grassland based on the rainfall pattern and soil of the site.

'Wet Patana' grass lands are found in areas above 1500 m from the sea level with mean annual rainfall of over 2000 mm. Temperature ranges from 5°C to 18° C. Mist, fog and frost are common in these areas and do not experience any dry period.

The grasses do not reach more than one meter height and are called tussock grasses which include the *Chrysopogon nodulibarbis* and *Arundinella villosa*. The animals include a large Sambar and Wild- boare populations and few leopards. Extensive "Wet Patana" are found only in Horton plains.

Dry patana grasslands are found in altitudes between 500 m to 1600 m. They receive a rainfall about 1400 mm to 2000 mm with a definite dry period. Temperature ranges from 18°C to 24° C. The vegetation is made up of grasses that grow up to 1-2 m height such as Pangiri mana (*Cymbopogon nardus*) and *Themeda*/ (Pini bara tana)/ *Themeda tremula*.

"Dry Patana" is common on hill tops in in Hantana, Gampola, Welimada, and Haputale .

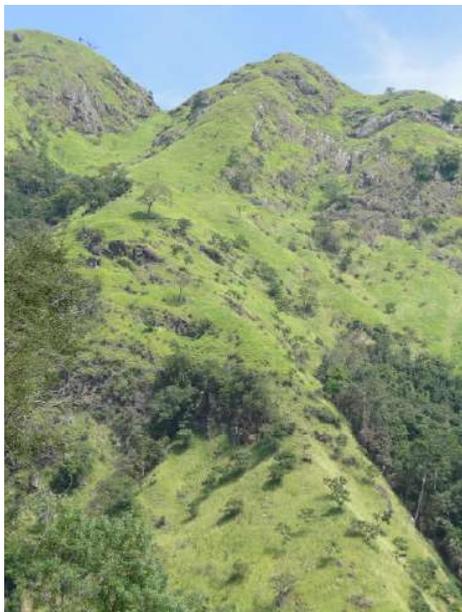


Figure 8.16 : Dry Patana



Figure 8.17 : Wet Patana

## Wetlands

Wetlands are simply habitats with permanent or temporary accumulation of water with associated plant and animals. According to Ramsar Convention, wetlands are defined as areas of marsh, fen, peatland or water, whether natural or artificial, permanent or temporary with water that is static or flowing, fresh, brackish or salt, including areas of marine water the depth of which at low tide not exceed six metres. The wetlands of Sri Lanka, which fit into the Ramsar definition, can be divided into three broad categories:

- Inland fresh water wetlands (e.g. rivers, stream, marshes, swamp forests and villus)
- Coastal wetlands (e.g. lagoons, estuaries, mangroves, sea grass beds, salt marshes and coral reefs)
- Man-made wetlands (e.g. tanks, reservoirs, rice fields and salterns)

## Rivers and streams

Sri Lanka has an extensive network of rivers, which drains a total of 103 distinct natural river basins. The river basins originating in the wet highlands are perennial, while many of those in dry zone are seasonal. There is hardly any vegetation to be found in running water.



Figure 8.18 : Streams

## Marshes and swamp forests

Inland freshwater marshlands are low lying areas which receive water through surface runoff, ground water seepage or flood water from rivers. These contain peat (partially decomposed organic matter), and water logged sticky clay soil. Many water birds, amphibians and fish species inhabit these areas. Plants that have adapted to grow in shallow stagnant water such as habarala (S) / Semaikilangu (T)- *Colocasia* species, Kekatiya (S)- *Aponogeton* spp, Reeds (E)/ Pan (S) species are abundant in inland marshlands.

Freshwater swamp forests are not common in Sri Lanka. It is a place where forest vegetation is inundated for a short period of time in the year as seen in the Waturana swamp at Bulathsinhala located in the 'kalu ganga basin'.

## Villus

Generally villus are the flood plains of the reservoirs. Villu grasslands possess a special link with

the wildlife in the area, especially elephants and bird populations. The vegetation is dominant with grasses (members of Poaceae) and sedges (members of Cyperaceae). Villu grasslands are located in areas such as Mahawelli flood plains, Wilpattu National park etc.



**Figure 8.19 : Villu**

### **Lagoons and Estuaries**

Lagoons are coastal wetlands generally separated from the sea by a sand barrier. Eg: Negambo and Bundala lagoons. Estuaries are formed in places where rivers enter the sea and does not contain a sand barrier separating it from seas (e.g. Maduganga/Benthota). The daily tidal fluctuation is a characteristic of these places.

### **Mangroves**

Mangroves ecosystem is an intertidal vegetation that covers fringes of the lagoons and estuaries. They share characteristics usually of saline/brackish water, loose soil and anoxic conditions. The vegetation is also exposed to intense sunlight.

Mangrove plants could be categorized into two groups; true mangroves that occur towards the boundary between sea and land and mangrove associates that occur more towards inland

Common true mangrove species are;

- S: kadol, T: kandal. (*Rhizophora* spp, *Bruguiera* spp, )
- S: Mas athu gas T: kannamaram (*Avicennia marina*)

Common mangrove associates are:

- S: Karan Koku, E: Golden leather fern (*Acrostichum aureu*) S:Katu- ikili E: Holly mangrove (*Acanthus ilicifolius*)

In order to protect from sunlight leaves of mangrove have a thick cuticle to reflect sunrays and/ or cut off excess radiation. Some have salt glands to secrete excess salts that are absorbed by the plants. There are special roots to intake atmospheric oxygen. Seeds of some mangroves germinate while attached to the mother plant (vivipary). This help the seed to establish successfully soon after falling from the tree.

Mangroves provide a unique habitat mainly for many crustaceans and mollusks.

Mangroves are found in Puttalam, Batticaloa, Trincomalee and Galle. Bentota and Negambo.



**Figure 8.20 : Mangroves**

### **Salt marshes**

These are marshlands restricted to the arid coastal regions of the country where soil dries up to form crystals of salts during the dry season. Low rainfall, high wind, high temperatures and loose sand blowing with salt are some of the major characteristics found in this ecosystem.

The vegetation has only few plant and animal species. Plants are short, contain fleshy succulent plant bodies. One common plant species is *Salicornia* sp. Salt marshes are common in Puttalam, Mannar, Hambantota and Vakaraï areas.



**Figure 8.21 : Salt Marsh**

### **Sea grass beds**

In large lagoon areas with low wave action, the floor of the shallow sea is occupied by sea grasses. These are not grass species but appear like grasses due to the shape of leaves. E.g. Plant species such as *Halodule* spp and *Halophyla* spp. are common sea grasses found in Sri Lanka (especially

from Kalpitiya to Mannar. Sea grasses grow in a compact cluster and provides the sea bed a favourable habitat for breeding of many fish species. However, these areas are often disturbed by the fisheries activities as these are the parking areas of fishing boats.



**Figure 8.22 : Sea grass bed**

### **Coral reefs**

Coral reefs are one of the natural wonders of the world. Coral reefs consist of calcareous structures secreted by a group of marine invertebrates. Coral reefs are famous for their spectacular beauty. They are considered as ‘rain forests of the sea’ because of their high productivity and high diversity of organisms inhabit them. Coral reefs can be seen in southern coast (Akurala to Tangalle), Gulf of Mannar, etc.

The reefs are habitats for a large number of fish species, invertebrates such as spiny lobsters, sea cucumbers, etc. Marine mammals and reptiles such as dolphins and sea turtles inhabit reefs occasionally.



**Figure 8.23 : Coral reefs**

### **Reservoirs**

There are no natural lakes in Sri Lanka, but there are numerous ancient irrigation tanks mainly

scattered in the lowland dry zone. Typical irrigation tanks include ‘Parakrama samudra’, ‘Kala wewa’, ‘Minneriya wewa and tissawewa.

Aquatic plant species commonly found in the reservoirs are

- S: Manel, E: Water lily (*Nymphaea* spp),
- S: Nelum. T: Tamarai (*Nelumbo nucifera*)
- S: Kekatiya, T: Koddi (*Aponogeton* spp).

Often free floating invasive alien plant species such as *Salvinia* and Japan jabara (S)/ water hyacinth (E) also can be seen in these tanks.



**Figure8.24 : Reservoirs**

### Sea shore

The long sea shore of Sri Lanka varies in nature. The most common sea shore type is sandy sea shores. The sea shore areas share the characteristics of high temperature throughout, and salt spray and high winds especially during the monsoon seasons. Most of the sea shore plants have adapted to these conditions. Examples for these plants are Muhudu Binthamburu (S), Beach Morning Glory (E)- atampu (T) (*Ipomea pescaprae*), Maha rawana revula (S), Ravannan meesai (T)- *Spinifex littoreus* .

The vegetation gradually become stable a distance away from the tide mark, with the stabilization of the soil. In these areas plant species such as wara (S)/ erukkalai (T)- (*Calotropis gigantea*), Wetakeiya (S)/ talai (T)- *Pandanus* spp etc. can be found.



**Figure 8.25 : Sea shore**

### **Sand Dunes**

Dunes are characterized by stunted or creeping vegetation on large masses of sand. The sand dune structure is determined by wind speed and direction. Dunes are raised beaches of sand and are characteristic of certain coastal areas in the arid zone. Eg: near Mullativu,



**Figure 8.26 : Sand dunes**

### **Biodiversity**

#### **Definitions**

Biodiversity includes all forms of “life” on earth.

Biodiversity is the variability among living organisms from all sources including terrestrial, marine, and other aquatic ecosystems and their ecological interactions with the environment.

Biodiversity is explained under three levels. They are genetic diversity, species diversity and ecosystem diversity.

a. Genetic diversity

The basic component of biological diversity is the genetic variation that exists both within and among species. This genetic variation is the basis for evolution.

b. Species diversity

This is simply the variation that can be recognized among different species. It includes the number of species (=species richness) and their abundance.

c. Ecosystem diversity is the variety of habitats, living communities and ecological processes in the living world.

Ecosystem diversity is the largest scale of biodiversity, and within each ecosystem, there is a great deal of both species and genetic diversity incorporated.

Ecosystem diversity on a global scale would be the variation in ecosystems in large regions (biomes) such as deserts, forests, grasslands, wetlands and oceans whereas in smaller localized regions it can be explained by means of different ecosystems.

### **The importance and values of biodiversity**

The individual components of biodiversity—genes, species, and ecosystems provide the human society with a wide array of goods and services. Genes, species, and ecosystems of direct, indirect, or potential use to humanity are often referred to as “biological resources”. Genes are used by plant breeders to develop new crop varieties. Many species are used as various foods, medicines, fibers, fuels and industrial products. This include food resources like grains, vegetables, fruits which are obtained from plant resources and meat, fish, egg, milk and milk products from animal resources. The biodiversity products can be harvested and consumed directly without passing through a formal market (non commercial goods). Example: fruits, fish, edible roots, leaves, nuts, flowers, meat, animal product like milk and honey, timber, firewood, fiber, wool, wax, resin, rubber, silk and decorative items, and traditional medicines, etc. Some products can be harvested and available through a formal market (commercial goods). Many industries such as food, textile, leather, silk, paper pulp are based on the direct use of biological resources. The ecosystems provide many services to us, such as air and water purification, erosion prevention and flood control.

Therefore the value of biodiversity is explained through its “goods” and “services” provided to humanity and sustenance of the environment.

- **Environmental service value:** This is the most important services provided by biodiversity in maintaining critical environmental functions. E.g. Carbon dioxide fixation through photosynthesis, maintaining of essential nutrition cycles, maintaining water cycle and recharging of ground water, soil formation and protection from erosion, regulating climate by recycling moisture into the atmosphere, water purification, pollination, etc.
- **Recreational value:** There is a great aesthetic value provided by biodiversity. Natural landscapes at undisturbed places are a delight to watch and also provide opportunities for recreational activities and hobbies such as bird watching, photography etc. Biodiversity provides inspiration in artistic activities like poetry, painting, dance etc. It promotes eco-tourism, helps to generate revenue by designing of zoological, botanical gardens, national parks etc.
- **Ethical value:** This is the right of all living beings to live on this planet, humans have no right to decide which species should exist since we are just a small part of the greater creation of nature.
- **Educational/Scientific value:** knowledge about biodiversity helps in new scientific discoveries and technological innovations to find solutions to the problems we face today. E.g. learning of other animals like nematodes, rats and primates has helped in understanding human body and development of medicines, knowledge about how animals react before a natural disaster is helpful in disaster management, Interacting with biodiversity is proven to be helpful in developing creativity, relieving stress and development of personality.
- **Social/Cultural/Religious values:** Biodiversity can be important to different societies and communities due to unique reasons E.g. Some wetland sites are sacred to Aborigines of Australia, twenty eight species of trees are sacred for Buddhists, Bulls are considered to be an important part of Hindu culture.



Figure 8.27 : Recreational value of Biodiversity

Animal and plant species die off all the time. It's how the biological world rolls. However, things have changed dramatically in recent decades. According to some scientists, Earth is currently in the midst of its sixth mass extinction. The last major extinction occurred some 65 million years ago, when a large asteroid slammed off the coast of Mexico, killing off the dinosaurs and most everything else. Today, scientists say the extinction rate is as much as 1,000 times faster than what should be natural rate would be. This is solely because of the very high and negative impact of humans on biodiversity due to high population and development. Virtually all of Earth's ecosystems have been dramatically transformed through human actions, for example, many mangrove and coral reef areas have been lost. Within groups of conifers, cycads, amphibians, birds, and mammals up to 50% of species are threatened with extinction, according to the IUCN Red List. Within many species groups, such as amphibians, African mammals, and birds in agricultural lands, the majority of species have faced a decline in the size of their population, in their geographical spread, or both.

### Threats to biodiversity

**Habitat loss/fragmentation:** Humans supplant natural ecosystems to grow food, harvest materials, and build our settlements. These actions alter or eliminate the conditions needed for plants and animals to survive. When natural habitats are converted into other human uses such as agriculture or built up area they are no longer able to support the species present in the original habitat. This result in the displacement or destruction of biodiversity. E.g. deforestation, filling of wetlands

Mass scale destruction of Mangrove in lagoons such as Negambo and Puttalam due to establishment of prawn culture destructed the biodiversity of mangroves in these areas.



**Figure 8.28 : Habitat loss**

When habitats are divided into fragments due to establishment of man built structures such as roads, the animals and plant species are forced to occupy smaller area in a crowded manner which

is harder for biodiversity to sustain as in previous habitat conditions.

**Overexploitation:** Harvesting or exploiting biodiversity products in a manner and a rate which it cannot recover within the periods of exploitation leads to danger of biodiversity being completely lost.

E.g. Over collection of indigenous medicinal plants from forests in Sri Lanka for export such as Kotalahimbutu (S) - (*Salacia reticulata*). Export of sea cucumber for medicinal purposes from Sri Lankan shores. Ebony(E)/Kaluwara (S), Karun-kaali (T)- (*Diospyrus ebanum*) is threatened due to over exploitation during the colonial period. Ebony has a very slow growth rate and take many years to grow. Intense commercial fishing has led to over fishing threatening decline of food fish like Tuna and Cod in world's oceans.

**Pollution:** Pollution simply means addition of unwanted materials to air, water, soil. Due to extensive use of agrochemicals that wash away with rain water into the water bodies make the water rich in nutrients (eutrophication) resulting in algal blooms. Algal blooms create oxygen-depleted zone in aquatic ecosystems and greatly reduce the populations of fish and other aquatic species.

Uses of synthetic fertilizers for tea in montane areas also has resulted pollution of rivers in many down stream areas affecting the water quality and making it unsuitable for human use

Releasing of Sulphur dioxide ( $\text{SO}_2$ ) and nitrous oxide ( $\text{N}_2\text{O}$ ) gasses react with water and make the rain water acidic resulting acid rains. Acid rains caused by air pollution contributes to the death of trees killing many buds, leaves and the seedlings and causing damage to the plant roots.



**Figure 8.29 : Water pollution affects aquatic biodiversity.**

**Introduction of invasive alien species:** Invasive alien species are alien (exotic) plants and/or animals whose introduction and spread outside their natural geographic range threaten native biodiversity. Alien invasive species compete against or prey on native species, which can lead

to their extinction. Once introduced, for a considerable period of time, they may lack natural predators in the new environment. This is a great opportunity for them to reproduce successfully and spread without limits to take over the environment. They can transport disease, out-compete native species, alter food chains, decrease biodiversity, and even change ecosystems properties by altering soil composition or creating habitats that encourage wildfires. E.g. Alien invasive species such as Lantana(E)/ Gandapana (S) / Nayunni (T)-(*Lantana camara*) does not allow germination and seedling growth of many other plants as it produces toxins which are added to soil through leaf litter. Extensive spread of Guinea grass(E)/ Gini-thana (S)/ Ginipullu (T) (*Panicum maximum*) in especially in the dry pathana areas facilitates fire due to its dry biomass during drought seasons.



**Figure 8.30 :** *Lantana* (Gandapana)

**Climate change:** Climate change is predicted to be the greatest long-term threat to biodiversity. Increasing temperatures and temperature extremes, increasingly severe droughts, rising sea levels, possible decrease in rainfall, regional flooding and reduced water availability change ecosystems. Many species will not be able to adapt themselves fast enough to keep up with the coming changes driving them to extinction or being endangered. Evidence suggests that the warming of the past century already has resulted in marked ecological changes, such as changes in growing seasons of crop species, distribution ranges, and patterns of seasonal breeding of animals.

**Biodiversity hot spots-** The areas with a high concentration of endemic species facing exceptional levels of threats have been described by Myers in 1988 as biodiversity hotspots. As a whole Sri Lanka has a high degree of endemism. Sri Lanka (wet zone of Sri Lanka) together with Western Ghats of India is considered as one of the Biodiversity hot spot in South Asian region.

### Extinction of species

- Existing species have to make room for new species either by changing themselves or by becoming extinct. Therefore natural extinction has to be recognized as part of the evolutionary process.
- The rate of evolution has been generally higher than that of extinction. Therefore there has been an increase in Biodiversity over time.
- Extinction is the elimination of the last member of a species from the earth.
- With the growth of human population and civilization, mankind has greatly increased the rate of extinction.
- The earth today is dominated by humans and no ecosystems on earth's surface is free from human influence.
- In general it is estimated that about 5-10% of the species may face extinction within the next 30 years.

Not only a species but also family or genus or a sub species (taxon) can become extinct if there had been a continuous pressure for its survival. The Red Data book published by the International Union for Conservation of Nature (IUCN) provides a list of threatened species and defines extinct and threatened species as follows.

#### EXTINCT (EX)

A taxon is Extinct when there is no reasonable doubt that the last individual has died. E.g. Dodo (Lived in Mauritius), Woolly Mammoth (Lived in North America), Legume (*Crudia zeylanica*)

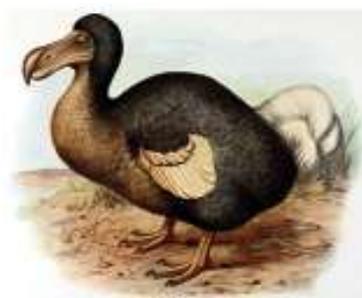


Figure 8.31 : *Dodo*

**Extinct in the wild (EW):** A taxon is extinct in the wild when it is known only to survive in cultivation, in captivity or as a naturalized population (populations) well outside its natural habitat. E.g; Giant tortoise of Seychelles

### Different categories of threatened organisms:

A species is said to be 'threatened' when it is about to leave the world. Threatened organisms are described under three categories. Critically endangered, endangered and vulnerable. The red data book has indicated other categories such as nearly threatened, least concern etc , but these are not considered as a 'threatened species.

#### CRITICALLY ENDANGERED (CR)

A taxon is critically endangered when the best available evidence indicates that it is facing an extremely high risk of extinction in the wild. Marbled rock frog (E)/Dumbaragalparadiyamadiya (S) and Maha madu (S)/ Ratchatha madupunai (T)- can be listed as one example to represent animals and plants critically endangered in Sri Lanka.

#### ENDANGERED (EN)

A taxon is endangered when the best available evidence indicates that it is facing a **very high risk** of extinction in the wild. Eg: Etha / Aliya (S)/ Yanai (T), Elephant (E), Wesak Orchid (S/E/T)

#### VULNERABLE (VU)

A taxon is vulnerable when the best available evidence indicates that it is facing a high risk of extinction in the wild. Punchi Leena (S)/ Dusky-striped, jungle squirrel (E) and Buttercup (E)- are among the vulnerable species found in Sri Lanka .

#### Endemic species:

An endemic species is a species that is confined to a particular area or country, and not found growing **naturally** anywhere else in the world.

Two examples for plant species endemic to Sri Lanka are:

*Dipterocarpus zeylanicus*(S: Hora, T: Ennai)

*Garcinia quaesita* (S: Goraka, T: Gorakappuli)

Examples of two animal species endemic to Sri Lanka are;

*Puntius nigrofasciatus* (E: Black ruby barb, S: Bulathhapaya, T: Veddiyan)

*Loris tardigradus* (E: Slender loris, S:Unahapuluwa, T:Thevangu)



Figure 8.32 : Black ruby barb

**Indigenous species:** A plant or animal species that occurs in its historically known natural range and that forms part of the natural biological diversity of a particular geographic area.

Examples from Sri Lankan indigenous species are:

Lula(S)/ Snake head (E)/ Viral (T)

Kitul(S)/ Thippilipanai (T)

**Exotic (alien) species:** A species that has been introduced from another geographic region to an area outside its natural range due to human activities. The introduction of species can be intentional or accidental. The following examples represent examples for intentional and direct introductions. Accidental introductions are indirect introductions often considered as ‘contaminations’ of direct introductions.

Tilapia (S/E), Japan meen (T) for inland fishery industry.

Rubber (S/T), Rupper (T) for plantation industry.



**Figure 8.33: Tilapia**

**Migratory Species:** Migration refers to the act of moving from one place to another in a manner that is seasonally determined and predictable. Migration takes place so that organisms can avoid unfavorable environmental conditions that limit breeding.

Suduredi hora(S)/ Indian fly catcher(E) /Inthianeepidipan (T)

Avichchiya (S)/Indian pitta- (E)/ Aarumanikuruvi (T)



**Figure 8. 34 : Indian fly catcher**

**Relict species:** The remnants of a once widespread species, which are now found in very restricted or isolated areas, due to fact that areas in which these species are found is lost in many parts of the world.

The Tuatara is an example which lives only on a few small islands of New Zealand. - *Ichthyophis* a primitive ancient legless amphibians with a worm like body. *Lingula* found in Tambalagamuwa bay in Trincomalee is an example for a relict species in Sri Lanka.

**Flagship species:** Flagship species is a species chosen as a symbol or icon to represent an ecosystem in need for conservation. These species are chosen for their vulnerability, attractiveness or distinctiveness in order to bring about support and acknowledgement from the public at large. Thus, the concept of a flagship species is that the publicity given to few key species, will help the conservation of entire ecosystem and all species contained therein.

The Bengal tiger of India, the giant panda of China, Blue magpie of Sri Lanka- (S: Lanka kehibella, T: Neelavudalperumkuyil) are examples.



**Figure 35: Blue magpie**

**Keystone species:** These are species that play a very important role in the stability and functioning of a system. If that species is removed the system tends to collapse. e.g. Planktons of a pond

**Invasive alien species- :** Invasive alien species are alien (exotic) plants and/or animals whose introduction and spread outside their natural geographic range threaten native biodiversity. Invasive alien species take the advantage of ‘human disturbances’ in the environment to establish and spread themselves. Their capability to tolerate wide range of environment conditions and high reproductive output help them to easily and successfully expand their populations. Although only a small percentage of alien species become invasive they damage biodiversity (ecosystem, species and genetic levels) in everywhere they invade and alter the services and ecosystem

values of the introduced environment. Therefore invasive alien species are considered as a major cause for depletion of biodiversity and environment degradation. The following examples represent invasive alien animal and plant species in Sri Lanka .

Kalutara Golubella (S)/ Giant African land snail (E) was introduced to Sri Lanka as a contamination of soil brought with some other plants. The soil contained eggs of the snail. Japan jabara (S)/ Water Hyacinth (E)/ Kulavazhai (T) was introduced to the country in nearly 110 years ago as an ornamental plant not knowing that it will become a serious invader afterwards.

### **Conservation**

A principal goal of conservation is to ensure the long term survival of as many species as possible. Species that are in danger of extinction have to be specially protected and steps should be taken to ensure their continued reproduction and survival. Conservation can be done in two ways.

***In-situ* conservation:** The species is protected and its reproduction facilitated in its natural habitat. Basically a large enough population and adequate, appropriate, habitat space has to be ensured. E.g. National parks such as Yala and Minneriya national parks, Forest reserves such as Kanneliya, Piduruthalagala

***Ex-situ* conservation:** The species is taken out of its natural habitats, and looked after in places where its survival and reproduction are ensured.

Zoological gardens and Botanical gardens of a country play a key role in *ex-situ* conservation.

## **Global Warming and Climate Change**

### **Definition**

Climate change refers to a significant, long-term changes of climate attributed directly or indirectly to human activity, that alters the composition of the global atmosphere in addition to natural climate variability observed over comparable time periods (United Nations Framework Convention on Climate Change /UNFCCC, 2011). In the meantime, the Intergovernmental Panel on Climate Change (IPCC) defined the climate change as “a statistically significant change in the state of the properties of the climate which persists for an extended period, typically decades or longer”. This definitions refer to any change in climate over time, whether due to natural variability or as a result of human activity.

Global warming is the increase the average temperature of the Earth’s surface (atmospheric and

oceanic temperatures) due to enhanced greenhouse effect [or Greenhouse gasses, (GHG)].

Climate change includes warming and the effects arisen due to warming such as melting glaciers, extremes of rains or more frequent drought etc. It can say in another way, global warming is one symptom of much larger problem of climate change.

### **Contributing factors on global warming and climate change**

#### **1. Increase of the emission of CO<sub>2</sub> and other greenhouse gasses (GHG) due to human activities**

- 1.1 Carbon Dioxide (CO<sub>2</sub>) is produced by burning of organic matters and it is the most common greenhouse gas to contribute to the global warming and climate change events. Burning of fossil fuel (for running vehicles, electricity generation, industries etc.) is the main cause of the emitting of CO<sub>2</sub> and burning of forests, solid waste are the other main causes contributing the CO<sub>2</sub> emission.
- 1.2 Methane (CH<sub>4</sub>) is another greenhouse gas which has a higher global warming potential. Main emitting sources are anaerobic decomposing (at manure management and waste management), cattle farming, paddy cultivation and enteric fermentation. Even though the CH<sub>4</sub> is a greenhouse gas with high global warming potential, it is less abundant in the atmosphere compared to CO<sub>2</sub>.
- 1.3 Nitrous oxide is (N<sub>2</sub>O) another greenhouse gas also with higher global warming potential which is mainly released as by product of fertilizer production and use, other industrial processes, the combustion of certain materials (biomass), nitric acid production, and fossil fuel combustion in internal combustion engines. Nitrous oxide can remain very long time in the atmosphere.
- 1.4 Manmade industrial gases, namely, Perfluorocarbons (PFCs), Hydrofluorocarbons (HFCs) and Sulfur Hexafluoride (SF<sub>6</sub>) are also considered as greenhouse gases with a very high global warming potential.
- 1.5 Black carbon or the carbon particles which are suspended in lower atmosphere also identified as another cause for global warming. Those particles released as a result of the incomplete combustion of fossil fuels, and other organic matters. These particles are extremely small, ranging from 10 μm to 2.5 μm. These Black Carbon have an enormous ability to absorb heat and it cause to increase the air temperature. These particles have ability to absorb heat than CO<sub>2</sub>.  
Carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), tropospheric (ground level) ozone (O<sub>3</sub>), and nitrogen oxides (NO<sub>x</sub>) are also considered as gases which have indirect radiative forcing effect. Water vapor, non-methane volatile organic compounds (NMVOCs), and Aerosols are also considered as GHGs.

## 2. **Deforestation and decrease of the vegetation cover of the world**

Deforestation is another main cause for global warming. At present the conversion of tropical forest lands to commercial agricultural plantations like palm oil contributes to the mass deforestation all over the world. Forests remove carbon dioxide from the atmosphere and fix through photosynthesis (carbon sequestration). Deforestation reduce the carbon sequestration capacity, carbon capture on the planet (i.e soil carbon) and increase the atmospheric CO<sub>2</sub> concentration.

## 3. **Destroying the large quantity of phytoplankton by UV radiation due to the depletion of the ozone layer.**

This is also similar to deforestation. Phytoplankton which live in warm seas are very useful to maintain the Oxygen and carbon dioxide balance. Normally the carbon absorption capacity of phytoplankton are higher than terrestrial plants. Though the phytoplankton are unicellular microscopic organism they have been distributed in large area and they were responsible for the 60%-70% of absorption of atmospheric carbon. Due to depletion of the ozone layer UV radiation which comes from sun can destroy this kind of tiny organisms and may cause to reduce the CO<sub>2</sub> absorption capacity of oceans and increase the global temperature.

### **Effects of the global warming and climate change**

#### **1. Sea level rising**

Scientists predict an increase in sea levels worldwide due to the melting of two massive ice sheets in polar regions and thermal expansion of water. However, many island nations around the world will experience the effects of sea level rise.

#### **2. Extreme weather events**

Occurrence of extreme weather events such as prolonged drought, intensive rainfall and its effects such as floods and landslides and storms have been increased for last decades was considered as a negative impact of climate change. It causes loss, damages and disasters.

#### **3. Less production of foods (Threat to food security)**

Due to unexpected extreme weather condition the crop production will be getting loss around the world. It may be due to heavy rains or the severe droughts.

#### **4. Degradation of coral reefs**

The corals bleaching and degradation due to rises in sea temperature is a severe danger for whole ocean ecosystems and many other species which lives on coral reefs for their survival. According to the recent reports coral populations will collapse by 2100 due to increased temperatures and ocean acidification and its effects

**5. Increase the insect population.**

Due to increase of the insect population some diseases such as mosquito-borne malaria and dengue will spread than present. As well the over growth of the insect populations will be a massive threat to food production

**6. Loss of Biodiversity**

Climate change and global warming may cause reduced biodiversity, Ecosystems structure will be change and some species may move from their present range of occurrence and may survive while some others will not be able to move and could become extinct.

**Ozone Layer depletion**

Most ozone particles are concentrated in the region of stratosphere in between 10-50 km of the atmosphere, and this layer is identified as the 'Ozone Layer'. This is very much important to protect living things from burning from too much ultraviolet (UV) radiation emitting from the sun

Naturally, the total concentration of ozone in the stratosphere remains relatively constant with the concentration of 300 to 350 Dobson Units (D.U). Ozone depletion is described when levels fall below 200 D.U due to man-made ozone depleting chemicals (ODCs) as in the stratosphere over the South Polar Region. This thinning of the ozone layer refers as Ozone hole.

**Contribution factors for the Ozone layer depletion**

Ozone depletion occurs when the natural balance between the production and destruction of stratospheric ozone is disturbed or lost. This is mainly due to chlorine and bromine released from man-made compounds called ozone depleting substance such as CFCs, MeBr, Helene and HCFC.

**Effects of the Ozone Layer depletion**

Depletion of the ozone layer in the stratosphere will increase penetration of solar UV-B radiation which is likely to have profound impact on human health with potential risks of eye diseases, skin cancer and infectious diseases.

It is a known fact that the physiological and developmental processes of plants are affected by UV-B radiation.

UV-B radiation is likely to result in changes in species composition (mutation) thus altering the bio-diversity in different ecosystems. It directly destroyed phytoplankton which form the foundation of aquatic food webs in the sea and it cause to reduce the composition of food web in the sea ecosystem.

UV-B can also cause damage to early development stages of fish, shrimp, crab, amphibians and other animals.

**Desertification**

Desertification means “process of land degradation in arid, semi-arid and dry sub-humid areas resulting from various factors, including climatic variations and human activities.”(UNCCD, Paris, 1994),

**Contribution factors for desertification**

The main driving forces of desertification can be separated into climate variations and human activities, according to the UNCCD definition. It is known that human activities have a great influence in the climate change and it has caused desertification

Deforestation is another main factor to desertification because of it is directly influencing to reduce the rainfall, precipitation, soil humidity and water recharge of underground reservoirs

Over-exploitation of water and soil, uncontrolled mining and excessive use of agro-chemical products and as well as poor land management practices also caused to desertification.

**Effects of the Desertification**

Decrease ecosystems services and reduced biodiversity in affected areas. Decreasing of the vegetation cover induce water scarcity, destroys habitats of animal and plant species and reduces agricultural activities mainly the growth of crop species. It may effect to the food security of the people as well as for the animals. The process of desertification presents a serious impact on the human well-being and health of the people living in the areas affected by droughts and land degradation

Due to the desertification carbon storage capacity of plants and soils will also be reduced in the long run.

**Acid rains**

Acid rain is one of the most serious global environmental issue which, emerged due to air pollution mainly due to Sulphur dioxide (SO<sub>2</sub>) and oxides of nitrogen. These pollutants are released from anthropogenic activities such as combustion of solid waste, fossil fuels in thermal power plants and vehicle engines.

Acid rain is a commonly used term for acid deposition, which includes rain, snow, fog and dry particles that fall from the sky. Uncontaminated precipitation is also slightly acidic and normal rain water may be about a 5.6 on the pH scale because carbon dioxide is dissolved and carbonic

acid is formed. Acid rain may show a lesser pH.

### **Contributing Factors of Acid Rains**

Mainly caused by the release of sulfur dioxide and nitrogen oxides into the atmosphere due to burning of fossil fuels, where they are converted into sulfuric and nitric acid respectively.

### **Effects of the Acid Rains**

Acid rain directly causes severe damage to building structures and marble statues

Increases the acidity of fresh water ecosystems such as streams, lakes, and marshes and it causes to change the structure and the composition of above fresh water ecosystems.

Cause to destroy soil organisms and loss the soil fertility.

It cause to leach metals such as copper, aluminum, and some heavy metals such as lead and mercury in the soil and into runoff as well as drinking water

Acid rain causes significant damage to forests. It directly affects trees and other small plants which are important to the ecosystem

### **The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)-1975**

The aim of CITES is to ensure that international trade of specimens such as horns and skins of wild animals and whole plant or parts of plants does not threaten their survival. According to CITES, the export of some species (as listed) requires prior permission and presentation of an export permit. An export permit shall be granted only if export of that species will not be detrimental to that species survival. Some examples from Sri Lanka are skin of Sri Lankan Leopard (S: Kotiya, T: Siruthaipuli), plants of *Cycas* - Madu (S) / Madupanai (E).

### **Conventions related to conservation of the environment**

#### **Convention on Biological Diversity (CBD)- 1992**

The Convention on Biological Diversity (CBD), known informally as the Biodiversity Convention, addresses all aspects of Biodiversity conservation.

It has three main goals:

- the conservation of biological diversity (or biodiversity)- e.g. conservation of genetic materials and species and ecosystems.
- the sustainable use of components of biological diversity- e.g. imposing limits to control overexploitation.

- The fair and equitable sharing of benefits arising from genetic resources- e.g. exchange of genetic material, species between countries without conflict.

### **The Convention on Wetlands (Ramsar Convention)-1971**

The Convention on Wetlands, called the Ramsar Convention, provides the framework for the conservation and wise use of wetlands and their resources. In Sri Lanka, there are six wetlands declared as Ramsar sites: Anawilundawa, Bundala, Kumana, Maduganga, Vankalai and Wilpattu.



**Figure 8.36 : Anawilundawa wetland**

### **International Convention for the Prevention of Pollution from Ships (MARPOL)- 1973**

International Convention for the Prevention of Pollution from Ships (MARPOL) is the main international convention covering prevention of pollution of the marine environment by ships from operational or accidental causes. The objective of this convention is to preserve the marine environment in an attempt to completely eliminate pollution by oil and other harmful substances and to minimize accidental spillage of such substances.

### **Montreal Protocol- 1989**

Montreal Protocol on Substances that Deplete the Ozone Layer is an international treaty designed to protect the ozone layer by phasing out the production of numerous substances that are responsible for ozone depletion.

### **Kyoto Protocol-1977**

The Kyoto Protocol is an international agreement linked to the United Nations Framework Convention on Climate Change (UNFCCC), which commits its Parties by setting internationally binding green house gases (GHGs) emission reduction targets. In 2012 Doha, Qatar, the "Doha Amendment to the Kyoto Protocol" was adopted. During this commitment period (2013-2020)

parties are committed to reduce GHG emissions by at least 18 percent.

### **Basel Convention -1989**

The Basel Convention on the Control of trans boundary movements of hazardous wastes and their Disposal intends to protect human health and the environment against the adverse effects of hazardous wastes. Its scope of application covers a wide range of wastes defined as “hazardous wastes” based on their origin and/or composition and their characteristics, as well as two types of wastes defined as “other wastes” such as waste containing heavy metals such as Lead (Pb) and Mercury (Hg) and wastes from hospitals which contains contaminants.

### **Environmental Legislation and Policies in Sri Lanka**

The Constitution of the Democratic Socialist Republic of Sri Lanka has provision for the protection, preservation and improvement of the environment. The government of Sri Lanka has formulated many legislation and policies focused on environmental conservation and most of them are revised from time to time to accommodate updates. Legislation is a set of regulations for which stakeholders is given a penalty when it is violated. Policy is a set of practices that is followed by stakeholders and there is no such penalty imposed when it is not practiced.

Fauna and Flora Protection Ordinance and National Environmental Act (NEA) are Some examples for key legislation on environment conservation.

### **Fauna and Flora Protection Ordinance (FFPO)**

The Ordinance, No.2 of 1937, and subsequent amendments, make provisions for the protection of wildlife and flora in the country. The authority responsible for enforcing this law is the Department of Wildlife Conservation (DWLC). Operation and management of National Parks, Strict Natural Reserves, Jungle Corridors and Sanctuaries are conducted under FFPO.

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**Notes:**

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**The resource book is prepared according to the subject content and learning outcomes of the G.C.E. (A.L) Biology new syllabus which is implemented from 2017.**

**The content of this Resource book declares the limitation of the G.C.E. (A.L) Biology new syllabus which is implemented from 2017.**